

MACHINE LEARNING APPLICATIONS IN EMERGENCY MANAGEMENT

by

Dixizi Liu, M.S.

A thesis submitted to the Graduate Council of  
Texas State University in partial fulfillment  
of the requirements for the degree of  
Master of Science  
with a Major in Engineering  
August 2021

Committee Members:

Zhijie (Sasha) Dong, Chair

Damian Valles Molina

Lawrence Fulton

**COPYRIGHT**

by

Dixizi Liu

2021

## **FAIR USE AND AUTHOR'S PERMISSION STATEMENT**

### **Fair Use**

This work is protected by the Copyright Laws of the United States (Public Law 94-553, section 107). Consistent with fair use as defined in the Copyright Laws, brief quotations from this material are allowed with proper acknowledgement. Use of this material for financial gain without the author's express written permission is not allowed.

### **Duplication Permission**

As the copyright holder of this work I, Dixizi Liu, authorize duplication of this work, in whole or in part, for educational or scholarly purposes only.

## **DEDICATION**

To my dear family and friends. I could not have made this far without you. The year of 2021 is a very unique yet meaningful year to me. I am so grateful for your unfailing support and continuous encouragement throughout my years of study at Texas State University.

## ACKNOWLEDGEMENTS

Foremost, I would like to express my sincere gratitude to my advisor Dr. Zhijie (Sasha) Dong, Ph.D., Assistant Professor in the Ingram School of Engineering at Texas State University, for the continuous support of my master's study and research, for her patience, motivation, enthusiasm, and immense knowledge. Her guidance helped me in all the time of research and writing of this thesis. She has also taught me the methodology to carry out the research and to present the research works as clear as possible. I am extremely grateful for her trust, insightful ideas, and constructive criticisms to help me enhance the quality of my thesis.

Besides my advisor, I would like to deeply gratitude to the rest of my thesis committee: Dr. Damian Valles Molina, Ph.D., Assistant Professor in the Ingram School of Engineering at Texas State University, and Dr. Lawrence Fulton, Ph.D., Associate Professor of Health Administration at Texas State University, for their continued support, insightful comments, and encouragement throughout my research. They have also been a source of inspiration in optimizing machine learning models and improve emergency management. I offer my sincere appreciation for the learning opportunities provided by my committee.

My sincere thanks also go to Dr. Vishu Viswanathan, Ph.D., Professor in the Ingram School of Engineering at Texas State University, for his advice, continued support, and dedication during my master's study at Texas State University.

I also would like to express my special thanks to Dr. Tongdan Jin, Ph.D.,

Professor in the Ingram School of Engineering at Texas State University, and Dr. Clara M Novoa, Ph.D., Associate Professor in the Ingram School of Engineering at Texas State University, for their selfless and kindness in helping and supporting my Ph.D. application.

I would also like to express my sincere thanks to Dr. Brian David Smith, Ph.D., Research Coordinator in the Graduate College at Texas State University for his selfless, patience, generous help, professional advice, and dedication in supporting my scholarship applications.

Last but not the least, I would like to thank my parents Yin Liu and Jiangxin Liu, for their love, caring, and sacrifices for educating and preparing me for my future. I am sincerely grateful to them for giving birth to me at the first place and supporting me spiritually throughout my life.

## TABLE OF CONTENTS

	<b>Page</b>
ACKNOWLEDGEMENTS .....	v
LIST OF TABLES .....	ix
LIST OF FIGURES .....	x
LIST OF ABBREVIATIONS .....	xii
ABSTRACT .....	xiii
CHAPTER	
1. BACKGROUND AND OVERVIEW .....	1
2. PART I: OPTIMIZING DEEP NEURAL NETWORKS TO PREDICT THE EFFECT OF SOCIAL DISTANCING ON COVID-19 SPREAD .....	6
2.1. Introduction .....	6
2.2. Literature Review .....	10
2.2.1. Evolutionary algorithms for deep learning models .....	10
2.2.2. Particle swarm optimization algorithm .....	11
2.2.3. Deep learning application for COVID-19 research .....	13
2.3. Proposed Approach .....	15
2.3.1. Improved particle swarm optimization algorithm .....	15
2.3.1.1. Basic particle swarm optimization algorithm .....	15
2.3.1.2. Generalized opposition-based learning .....	16
2.3.1.3. Self-adaptive strategy .....	17
2.3.2. The Proposed hybrid IPSO-DNN model .....	19
2.3.2.1. Deep neural networks .....	19
2.3.2.2. Hybrid IPSO with DNN .....	20
2.4. Data .....	22
2.4.1. Social distancing metrics .....	23
2.4.2. Spread levels of COVID-19 .....	24
2.5. Model Performance .....	25
2.5.1. Parameters analysis for IPSO algorithm .....	25
2.5.1.1. Micro-population size analysis .....	26
2.5.1.2. Self-adaptive selected probability analysis .....	26

2.5.2. Model comparisons .....	27
2.5.3. Results and discussions .....	34
2.6. Conclusions and Future Work .....	46
3. PART II: EXPLORING THE CONTAGION EFFECT OF SOCIAL MEDIA ON MASS SHOOTINGS .....	49
3.1. Introduction .....	49
3.2. Literature Review .....	53
3.2.1. Contagion effect of media on mass shootings .....	53
3.2.2. Contagion model .....	55
3.2.3. Sentiment analysis of Twitter data .....	56
3.3. Sentiment Analysis of Twitter Data using Machine Learning Models .....	58
3.3.1. Twitter data .....	58
3.3.2. Machine learning models .....	61
3.3.3. Results and discussions .....	64
3.4. Self-excited Contagion Models Integrated with Twitter Prediction ..	68
3.4.1. Mass shooting data .....	68
3.4.2. The proposed contagion model .....	70
3.4.3. Improved contagion model for COVID-19 .....	73
3.4.4. Results and discussions .....	74
3.5. Conclusions and Future Work .....	76
REFERENCES .....	79



## LIST OF TABLES

<b>Table</b>	<b>Page</b>
1. Description of Eight Social Distancing Metrics .....	24
2. Definition of Four Levels of COVID-19 Spread .....	24
3. Results Obtained by Friedman and Iman-Davenport Tests under Different Micro-Population Sizes .....	26
4. Ranking Results Obtained by Friedman’s Test under Different Micro-Population Sizes .....	26
5. Results Obtained by Friedman and Iman-Davenport Tests under Different Selected Probabilities .....	27
6. Ranking Results Obtained by Friedman’s Test under Different Selected Probabilities .....	27
7. Results of Six Models for COVID-19 Social Distancing Prediction .....	33
8. Results of Five States for COVID-19 Social Distancing Prediction .....	35
9. Data Preprocessing of Mass Shooting Tweets .....	59
10. Prediction Performances Results of SVM on Mass Shooting Tweets .....	65
11. Prediction Performance Results of LR on Mass Shooting Tweets .....	65
12. Prediction Performance Results of the Proposed IPSO-DNN Model on Mass Shooting Tweets .....	66
13. The Notation of the Proposed Self-Excited Contagion Model .....	72
14. The Additional Notation of the Improved Contagion Model for COVID-19 .....	74
15. The Prediction Results of Different Contagion Models from 2013 to 2020 .....	76

## LIST OF FIGURES

<b>Figure</b>	<b>Page</b>
1. A DNN Model with N Hidden Layers .....	20
2. Flowchart of the Proposed IPSO-DNN Model .....	22
3. The Exemplary Social Distancing Dataset of Baldwin County, Alabama .....	25
4. Comparison Accuracy Results of Different Models .....	32
5. Comparison Computing Time Results of Different Models .....	32
6. Accuracy Results of All Selected Five States Obtained from IPSO-DNN .....	34
7. Cumulative COVID-19 Cases & Daily Growth Rate in Washington .....	37
8. Daily New Cases & Time to Double Cumulative Cases in Washington .....	37
9. Cumulative COVID-19 Cases & Daily Growth Rate in California .....	39
10. Daily New Cases & Time to Double Cumulative Cases in California .....	39
11. Cumulative COVID-19 Cases & Daily Growth rate in New York .....	41
12. Daily New Cases & Time To Double Cumulative Cases in New York .....	42
13. Cumulative COVID-19 Cases & Daily Growth Rate in Florida .....	44
14. Daily New Cases & Time to Double Cumulative Cases in Florida .....	45
15. Cumulative COVID-19 Cases & Daily Growth Rate in Texas .....	45
16. Daily New Cases & Time to Double Cumulative Cases in Texas .....	46
17. Examples of The Twitter Raw Dataset .....	59
18. Sentiment Results of Mass Shooting Tweets .....	60

19. The Flow Chart of Artificial Neural Networks .....	62
20. The Flow Chart of Sentiment Analysis Using Machine Learning Models .....	64
21. The Accuracy Performance Comparison of Different ML Models .....	67
22. The Precision Measures Comparison of Different ML Models .....	68
23. The Recall Measures Comparison of Different ML Models .....	68
24. The Number of Mass Shootings from 2013 to 2020 in the United States .....	70
25. The Change of Contagious Effects in Power Law Kernel Function .....	72
26. Prediction Accuracy Results of Different Contagion Models .....	75

## LIST OF ABBREVIATIONS

<b>Abbreviation</b>	<b>Description</b>
ML	Machine Learning
COVID-19	Coronavirus Disease from 2019
DNN	Deep Neural Networks
EAs	Evolutionary Algorithms
PSO	Particle Swarm Optimization
IPSO	Improved Particle Swarm Optimization
IPSO-DNN	The Hybrid Model IPSO and DNN
SVM	Support Vector Machine
LR	Logistics Regression
ANN	Artificial Neural Networks

## **ABSTRACT**

Emergency prediction and management are characterized by high dynamics and complexity, and inaccurate prediction and inefficient management can result in the loss of human lives and substantial environmental and economic consequences. Traditional methods for emergency management, such as linear regression and time series analysis, have limitations in handling large-scale data and conducting in-depth analysis. Machine learning (ML) is a branch of artificial intelligence, which plays a vital role in emergency management through modeling and predicting with high accuracy and efficiency.

A novel coronavirus disease 2019 (COVID-19) has killed and infected millions of people around the world since late 2019. Controlling the spread of COVID-19 pandemic is a very important and emergent topic in the United States. Moreover, the number of mass shootings in the United States has risen sharply in 2020 under the COVID-19 pandemic. Therefore, in this thesis, we explore ML models to improve emergency management by focusing on two different types of emergency, coronavirus pandemic (i.e., COVID-19) and mass shootings.

For COVID-19, we focus on exploring the evolution algorithm and ML to model the effect of social distancing on the spread of COVID-19. Deep Neural Networks (DNN) form a powerful deep machine learning model that can process unprecedented volumes of data. The hyperparameters of DNN have a major influence on its prediction performance. Evolutionary algorithms (EAs) form a heuristic-based approach that provides an opportunity to optimize deep learning models to obtain good performance. Therefore, we

propose an evolutionary deep learning model called IPSO-DNN based on DNN for prediction and improve Particle Swarm Optimization (IPSO) algorithm to optimize the kernel hyperparameters of DNN in a self-adaptive evolutionary way. In the IPSO algorithm, not only a micro population size setting is introduced to improve the search efficiency of the algorithm, but also the generalized opposition-based learning strategy is used to guide the population evolution. In addition, the IPSO employs a self-adaptive update strategy to prevent the premature convergence and then improves the exploitation and exploration parameter optimization performance of DNN. In Part I, we show that the IPSO provides an efficient approach for tuning the hyperparameters of DNN with saving valuable computational resources. We explore the proposed IPSO-DNN model to predict the effect of social distancing on the spread of COVID-19 based on mobility and social distancing metrics. The preliminary experimental results reveal that the proposed IPSO-DNN model has the least computation cost and yields better prediction accuracy results when compared to the other comparison models. The experiments of the IPSO-DNN model also illustrate that aggressive and extensive social distancing interventions is crucial to help slow the spread of the COVID-19 epidemic in the United States.

For mass shooting, we concentrate on predicting the future number of mass shooting incidents in the United States based on the public's attitudes on Twitter. In recent years, social media plays a prominent and very important role in the spread of mass shooting incidents and brought about a significant contagious effect on future similar incidents. Therefore, we propose a self-excited contagion model based on

sentiment analysis of Twitter data on mass shootings. We explore different ML models to forecast the change in the public's attitudes over time. These ML models include Support Vector Machine (SVM), Logistic Regression (LR), and the proposed IPSO-DNN model. The performances of different ML models are critically examined based on performance measures such as precision, recall, and accuracy. The results present that the proposed IPSO-DNN model has the significant capability to forecast the changes in public attitudes towards mass shootings on Twitter over time. The proposed self-excited contagion model is to predict the future number of mass shootings by focusing on the magnitude of influence of mass shootings and the spread of public attitudes on Twitter. Experiments indicate that the positive attitude plays an important role in analyzing and predicting future similar mass shooting incidents. Especially, due to the economic recession and people's huge pressures related to the lockdowns, the COVID-19 pandemic has significantly increased the number of mass shootings in 2020. Therefore, we also improve the proposed self-excited contagion model with the consideration of social distancing and the daily growth rate of COVID-19 cases to predict and analyze mass shootings under the COVID-19 pandemic. Experimental results of Part II demonstrate that our proposed contagion models perform very well in predicting the future mass shootings in the United States.

## **1. BACKGROUND AND OVERVIEW**

In the past few decades, the rise of unprecedented emergencies and disasters occurred in every part of the world, such as September 11 attacks, Fukushima Daiichi nuclear disaster, Hurricane Katrina, 2017 Las Vegas shooting, Australia fires, and the currently suffering COVID-19 pandemic. These emergencies are highly dynamic and complex, which make the emergency management extremely difficult as they are in the context of dynamic and interdependent social, infrastructure, and natural environments. The inaccurate prediction and inefficient management can result in the huge loss of human lives, substantial environmental and economic consequences. Moreover, it is undoubtedly a very challenging task to effectively deal with large volumes of related emergency data. Traditional methods for emergency prediction, such as linear regression and time series analysis, have limitations in handling large-scale data and conducting the in-depth analysis. Machine learning (ML) is a branch of artificial intelligence and has been proven to successfully support decision-making processes in managing a wide variety of complex problem domains. It lets computers mimic human learning to analyze large-scale data from past emergencies and disasters to generate new insights about current and future similar events. Therefore, ML plays a vital role in emergency management by modeling and predicting emergency with high accuracy and efficiency.

Numerous scholars have researched applying ML models to improve the efficiency of emergency management, such as predicting the occurrence of disasters and determining crowd evacuation routes. However, most studies focused on natural disasters, such as floods, earthquakes, and hurricanes, and there is little attention on other emergencies, such as pandemics and mass shootings. As we know, COVID-19 is a



transmissible coronavirus disease that has rapidly stricken around the world since late 2019. The COVID-19 pandemic has caused a devastating loss of life but it has also devastated the global economy. Slowing the spread of COVID-19 is very essential to protect human lives and economic prosperity around the world. The COVID-19 pandemic has substantially decreased the employment-to-population ratio in the United States. Other stresses and pressures related to lockdowns and prolonged periods of isolation have also carried significant burdens to human beings. The COVID-19 has a massive impact on crime. For instance, the number of mass shootings in the United States has risen drastically in 2020 under the COVID-19 pandemic. Gun violence in the United States results in a great number of deaths and injuries annually. According to Gun Violence Archive (Gun Violence Archive, 2021), mass shooting is defined as a minimum of four victims shot (either fatally or not) excluding any shooter or injured in the attack. Mass shootings in the United States have continued the general year-on-year increase in terms of frequency, fatalities, and injuries– but 2020 has been far worse than usual. There were 610 mass shooting incidents in 2020, significantly above the 417 mass shootings recorded in 2019, and also more than any other year over at least two decades. It is very critical to reduce the number of mass shootings in the United States. Therefore, in this thesis, we explore ML models to improve emergency management by focusing on two different types of emergency, COVID-19 pandemic and mass shootings.

This thesis consists of two parts.

Part I is optimizing Deep Neural Networks (DNN) using Improved Particle Swarm Optimization (IPSO) to predict the effect of social distancing on COVID-19 spread. There is no doubt that social distancing, such as banning gatherings, having

people stay at home, and closing schools perform very well in slowing the spread of COVID-19 pandemic. However, existing epidemiological contagion theories cannot explicitly measure the effect of these political decisions on the reduction of COVID-19 cases. Therefore, we explore the DNN model to predict and analyze the effect of social distancing measures on COVID-19 spread. DNN is a very powerful deep machine learning model that includes neural networks with multiple hidden layers of abstraction to process large scale data. In order to improve the prediction performance, we propose an IPSO algorithm to optimize the hyperparameter of DNN in an evolutionary way. Social distancing is explicitly considered in the hybrid model IPSO-DNN. Then, we explore the IPSO-DNN model to show how social distancing helps slow the spread of COVID-19 pandemic in the five selected states of the United States, such as Washington, California, New York, Florida, and Texas.

Part II is exploring the contagion effect of social media on mass shootings. In Part II, we follow the definition of mass shooting which is four or more people are shot or killed in a single incident, at the same general time and location, not involving the shooter. In the United States, the number of mass shootings has been growing steadily over the past few years. The ever-increasing social networking sites, such as Twitter, have made information dissemination about mass shootings nearly effortless. This rise in mass shooting incidents has recently been linked to “media contagion” theory, which suggests that society’s never-ending news cycle has a “copycat” effect on these crimes. The spread of a positive attitude towards mass shootings encourages people to follow and imitate similar incidents, causing societal turmoil as well as harm to peace and security for sustainable development in the United States. Therefore, we explore the public

attitudes towards mass shootings on social media and measure the associated contagious effect systematically with the predictions of how these attitudes will change using ML models. We then propose the self-excited contagion models to predict the number of mass shootings by focusing on the magnitude of influence of mass shooting incidents and the spread of public attitudes on Twitter. A maximum likelihood estimation approach is applied to enhance the proposed model's robustness and prediction performance.

The remainder of this thesis is organized as follows:

Part I: In Section 2.1, we introduce the importance of optimizing DNN using IPSO algorithm to predict the effect of social distancing on COVID-19 spread; Section 2.2 reviews the relevant literature; In Section 2.3, we present the methodology of our proposed model and develop the IPSO-DNN model to predict the COVID-19 pandemic based on social distancing influence; Section 2.4 describes the social distancing data which includes social distancing metrics and levels of COVID-19 spread; Section 2.5 analyzes and discusses model performances, then explores the effect of social distancing on the spread of COVID-19 in the five selected states; In Section 2.6, we discuss the implications of our findings in Part I and possible directions for future work.

Part II: Section 3.1 introduces the contagious effect of social media on mass shootings in the United States; in Section 3.2, we review the relevant literature of contagion effects on social media on mass shootings, self-excited contagion model, and sentiment analysis; Section 3.3 presents the methodology of collecting and pre-processing mass shooting tweets, describes the two basic ML models and the proposed IPSO-DNN model to predict and classify the sentiment of mass shooting tweets, and then discusses the prediction accuracy results of different models; Section 3.4 describes the

resource of mass shooting data used in Part II, proposes the self-excited contagion models, explores the contagion effect of social media and the effect of COVID-19 on mass shootings, as well as discusses prediction accuracy results of the proposed contagion models; We finally conclude the work of Part II and discuss future research directions in Section 3.5.

## **2. PART I: OPTIMIZING DEEP NEURAL NETWORKS TO PREDICT THE EFFECT OF SOCIAL DISTANCING ON COVID-19 SPREAD**

### **2.1. Introduction**

Deep learning is a sub-field of machine learning based on artificial neural networks, which includes processing neurons organized in input, hidden, and output layers. As one powerful deep learning model, Deep Neural Networks (DNN) are neural networks with multiple hidden layers of abstraction, which outperform other basic machine learning models in processing unprecedented volumes of data (Han et al., 2016). The hyperparameter setting of DNN has a significant influence on its prediction performance. The number of hidden layers, the number of neurons in each layer, and the activation function in each layer are three kernel hyperparameters of DNN, and their values need to be set appropriately to achieve high-quality results. However, most traditional methods tune these hyperparameters manually, which is quite time-consuming, and the solutions are usually not equally distributed in the objective space (Malitsky, Mehta, & Simonis, 2013).

Evolutionary algorithms (EAs) provide an opportunity to find the optimal or near-optimal values of the hyperparameters of DNN models in an evolutionary way. EAs are the generic population-based metaheuristic optimization algorithms that simulate the natural evolution and they have shown to be effective in solving multiple and complicated tasks in many fields. EAs exhibit a tangible potential for large-scale parallelization and distribution in the search space that is especially important for optimizing the hyperparameters of complex DNN architectures. Particle Swarm Optimization (PSO) algorithm is one of the most important evolutionary algorithms first

proposed by Kennedy and Eberhart in 1995 ( Kennedy & Eberhart, 1995). PSO is easy to implement and shows rapid convergence towards an optimum (Shi, Liu, Cheng, Li, & Zhao, 2019). Nevertheless, many researchers have noticed that PSO tends to converge prematurely to local optima, especially when dealing with complex multimodal functions (Saeedi et al., 2020). This major weakness has restricted the applications of the PSO to comprehensively improve the performance of DNN. In order to address this challenge, in this Part II we develop an improved PSO (IPSO) algorithm, which is applied to optimize the hyperparameters of DNN model. For the IPSO algorithm, we not only employ the generalized opposition-based learning strategy to guide the population evolution but also introduce the micro population size setting to improve the search efficiency of the algorithm. In addition, the IPSO explores a self-adaptive strategy to prevent premature convergence and thus enhances the global exploitation and local exploration ability of the algorithm.

Moreover, deep learning models have achieved the state-of-the-art performance for various application domains over the past few years, such as solving online batching problems ( Cals, Zhang, Dijkman, & van Dorst, 2021), diagnosing and classification of faults in industrial rotation machinery (Souza et al., 2021) and forecasting supply chain demand (Punia, Singh, & Madaan, 2020). Deep learning has also been widely used for COVID-19 pandemics, including infection detection. Controlling the spread of COVID-19 has been an important and emerging topic around the world today. Before COVID-19 vaccines can be widely distributed, social distancing is the most powerful effort to control the pandemic. In Part I, social distancing policy includes lockdowns, travel restrictions, quarantines, and issuing stay-at-home orders. The University of Maryland has developed

a social distancing scoreboard together with a map of coronavirus confirmed cases to show how social distancing works within communities to slow the spread of COVID-19 in each state (Zhang et al., 2020). However, existing epidemiological contagion theories cannot explicitly measure the effect of these political decisions on the reduction of COVID-19 cases. There are few studies related to deep learning that explore the significant influence of social distancing on the mitigation of COVID-19.

In this Part I, we explore the evolutionary deep learning model, called IPSO-DNN, to predict the effect of social distancing on the spread of COVID-19 and provide new insights for controlling the COVID-19. Social distancing is explicitly considered in the IPSO-DNN model. The effect of social distancing interventions on COVID-19 can be measured by two indicators, daily growth rate and time to double cumulative cases (Tellis, Sood, & Sood, 2020). In order to better describe how COVID-19 spreads, we propose to define four levels of COVID-19 spread by using these two indicators, which are growth, moderation, control, and containment. Our first research objective of Part I is to improve the performance of DNN using the developed IPSO algorithm which employs the self-adaptive strategy to adjust the evolutionary process to find the optimal values of hyperparameters for the DNN model. Second, we apply the hybrid IPSO-DNN model to show how social distancing interventions help mitigate the COVID-19 spread.

The major contributions of Part I are summarized as follows:

- 1) An improved PSO (IPSO) algorithm is developed, which employs the self-adaptive strategy and generalized opposition-based learning ability in a micro-population setting to conquer the weaknesses of the basic PSO algorithm. The proposed IPSO algorithm has significantly improved the performance of basic

PSO.

- 2) A parameter selection method for optimizing DNN model using the IPSO algorithm is proposed. The proposed hybrid IPSO-DNN model optimizes the hyperparameters of DNN without degrading the DNN prediction precision. For instance, the number of hidden layers, the number of nodes in each layer, and the activation functions of each layer in the DNN model are properly tuned in an evolutionary way. It is found that the proposed IPSO-DNN model outperforms PSO-DNN, GS-DNN, IPSO-SVM, IPSO-LR, and IPSO-DT models in terms of computing time and accuracy.
- 3) The evolutionary deep learning model IPSO-DNN is introduced to predict the effect of social distancing on the spread of COVID-19. A challenge of this prediction is how to measure the influence of social distancing in response to COVID-19 properly. Therefore, we measure the effect of social distancing in terms of mobility metrics and then explore our proposed evolutionary deep learning model IPSO-DNN to predict its influence on the spread of COVID-19. In experiments, the IPSO-DNN model performs very well to predict the daily new COVID-19 cases and the spread of COVID-19 pandemic in the five selected states. The experimental results also explicitly show that aggressive and extensive social distancing is significant to help reduce COVID-19 infections in the United States.



## 2.2. Literature Review

**2.2.1. Evolutionary algorithms for deep learning models.** The kernel hyperparameter setting of deep learning models plays a significant role in prediction accuracy. Traditional tuning hyperparameters methods, such as the manual trial and error method, cannot find the optimal values of hyperparameters efficiently. Some existing state-of-the-art hyperparameter optimization methods, such as simple grid and random search (Chaves, Gonçalves, & Lorena, 2018), model-based approaches (Abbasimehr, Shabani, & Yousefi, 2020) and Bayesian optimization based on Gaussian processes (Wang, Ma, Ouyang, & Tu, 2020), show that their performances are approximately similar to human experts and in some cases even surpass them. However, there are still many challenges on how to find the optimal hyperparameters for the complex DNN architectures (Lorenzo et al., 2017). For example, Grid Search is a common method to tune the hyperparameters for deep learning but it is not efficient in searching a high-dimensional hyperparameter space (Xu et al., 2021). EAs have been shown very efficient in solving a plethora of challenging optimization problems, which has the advantages of both searching the hyperparameter space in a random fashion and utilizing previous results to direct the search. Therefore, the combination of evolutionary algorithms and deep learning models is a very popular topic over the past few years since hybrid models perform very well in many optimization fields.

Most existing studies focus on optimizing the hyperparameters of deep learning models in an evolutionary way. For instance, Young et al. (2015) presented the multi-node evolutionary neural networks for automating network selection on computational clusters through hyperparameters optimization performed via genetic algorithm. It also

showed that the PSO technique holds great potential to optimize parameter settings and thus saves valuable computational resources during the tuning process of deep learning models (Qolomany et al., 2017). Ye (2017) introduced new automatic hyperparameter selection approach for determining the optimal network configuration for DNN using PSO in combination with a steepest gradient descent algorithm. Darwish, Ezzat, & Hassanien (2020) developed the orthogonal learning particle swarm optimization algorithm to find optimal values for the hyperparameters of convolutional neural networks. However, most evolutionary algorithms have high computational cost and come with premature convergence, especially when solving highly complex problems in the real world. DNN suffers from a great variety of hyperparameters which all have specific architectures. These are considered as a challenge when evolutionary algorithms are applied to identify the optimal or near optimal hyperparameters for the DNN. Although many studies researched the hyperparameter optimization of deep learning using an evolutionary algorithm, there is little research exploring improved evolutionary algorithms to enhance the performance of deep learning models. In this Part I, we propose an improved particle swarm optimization algorithm to avoid the disadvantages of the PSO algorithm with a self-adaptive strategy to optimize the hyperparameters of the DNN model.

**2.2.2. Particle swarm optimization algorithm.** Particle swarm optimization algorithm is a simple yet powerful evolutionary algorithm for global optimization used in many real-world research areas, such as logistics and supply chain management, and engineering design optimization. It also has received increasing attention for the use of

optimizing the parameters for machine learning techniques because of its fast-convergence and easy implementation. However, the PSO algorithm tends to fall into local optima and its performance is affected by the control parameters and velocity updating strategy. Therefore, many works have been proposed to improve PSO in order to avoid the problem of premature convergence. Accelerating convergence speed and avoiding the local optimal have become two most important and appealing goals in the PSO research. A number of variant PSO algorithms have, hence, been developed to achieve these two goals (Gang, Wei, & Xiao, 2012). Major strategies include control of algorithm parameters and combination with auxiliary search. Moreover, some researchers used a self-adaptive method by encoding the parameters into the particles and optimizing them together with the position during run time (Pornsing, Sodhi, & Lamond, 2016). For instance, an Adaptive Particle Swarm Optimization (APSO) algorithm with all automatically adjusted parameters of inertia weight, cognitive coefficient and social coefficient was developed to search for better solutions in scheduling problems (Hop, Van Hop, & Anh, 2021). Zhang, Li, & Wang (2017) proposed an immune particle swarm algorithm based on adaptive search and the algorithm can dynamically adjust the subscale size and automatically adjust the search range using the maximum particle concentration value.

Nevertheless, so far, it is seen to be difficult to simultaneously achieve both goals of accelerating convergence speed and avoiding the local optimal. For example, Liang, Qin, Suganthan, & Baskar (2016) introduced comprehensive-learning PSO (CLPSO) focuses on avoiding the local optimal but brings in a slower convergence and the higher computational cost of the algorithm. Therefore, in order to improve the algorithm

performance and reduce the computational cost for DNN, an IPSO algorithm with a micro-population size setting is proposed in this Part I. The self-adaptive strategy with generalized opposition-based learning ability is applied in the IPSO algorithm to adjust the population evaluation based on the particle updated rate of population in each iteration. This strategy can balance global exploitation and local exploration in the algorithm to prevent premature convergence. Moreover, the IPSO employs the nonparametric statistical tests to choose its best parameters for optimizing the DNN models. Finally, the proposed optimized evolutionary deep learning model IPSO-DNN is developed to find the optimal values for the hyperparameters of the DNN in a self-adaptive evolutionary way.

**2.2.3. Deep learning application for COVID-19 research.** Since COVID-19 first outbreak in mainland China, it has developed into a global pandemic, infecting millions of people around the world. Over the past few months, deep learning has shown good performance in the application of COVID-19 research. For instance, the multi-objective differential evolution algorithm has been applied to tune the initial parameters of convolution neural networks to identify the COVID-19 patients from chest CT images (Singh, Kumar, & Kaur, 2020) and deep learning techniques have been introduced to link potential patients to suitable clinical trials (Dhayne et al., 2021). Nevertheless, although many studies have focused on exploring the deep learning techniques for the COVID-19 infection detection, there is little research to measure the effect of social distancing on the spread of COVID-19.

Social distancing has been implemented around the world as a major community

mitigation strategy. Many researchers have studied the relationship between social distancing measures and the epidemics. For instance, the social distancing index has been constructed to evaluate people's mobility pattern changes along with the spread of COVID-19 (Pan et al., 2020). In addition, Te Vrugt, Bickmann, & Wittkowski (2020) developed an extended model for disease spread based on combining an SIR model with a dynamical density functional theory where social distancing is explicitly considered in it. A developed method was implemented to monetize the impact of moderate social distancing on deaths from COVID-19 (Greenstone & Nigam, 2020). Fong et al. (2020) presented the systematic reviews of the evidence base for effectiveness of multiple mitigation measures, which shows that more drastic social distancing measures might be reserved for severe pandemic. Farboodi, Jarosch, & Shimer (2020) provided a quantitative framework for exploring how individuals trade off the utility benefit of social activity against the internal and external health risks that come with social interactions during a pandemic. While many studies indicated that social distancing is one of the most important measures in response to COVID-19, a big challenge is how to measure the influence of social distancing properly and what factors will be the major ones that determine the influence. In this Part II, we measure the effect of social distancing in terms of mobility metrics and then explore our proposed evolutionary deep learning model IPSO-DNN to predict the influence on the spread of COVID-19.

## 2.3. Proposed Approach

### 2.3.1. Improved particle swarm optimization algorithm.

**2.3.1.1. Basic particle swarm optimization algorithm.** PSO is an iterative algorithm that engages a number of simple entities, iteratively over the search space of some functions, and it uses a simple mechanism that mimics swarm behavior in birds flocking to guide the particles to search for globally optimal solutions. The population of PSO is called a swarm and its individuals are called particles. The swarm is defined as a set of  $N$  particles  $i(i = 1, 2, \dots, N)$ . A swarm of particles is represented as a potential solution, and each particle  $i$  is associated with two vectors. One is velocity vector represented as  $\mathbf{v}_i = (v_{i,1}, v_{i,2}, \dots, v_{i,D})$  and the other is position vector, represented as  $\mathbf{x}_i = (x_{i,1}, x_{i,2}, \dots, x_{i,D})$ , where  $D$  denotes the dimensionality of the solution space. The velocity determines the next direction and distance to move. PSO remembers both the global best position found by all particles as well as the historical best position found by each particle during the search process. The velocity and the position of each particle are initialized by random vectors within the corresponding ranges. During the evolutionary process, the velocity and position of particle  $i$  on dimension  $d$  are updated as

$$\mathbf{v}_i^{t+1} = w \times \mathbf{v}_i^t + c_1 \times r1 \times (\mathbf{p}_i^t - \mathbf{x}_i^t) + c_2 \times r2 \times (\mathbf{p}_g^t - \mathbf{x}_i^t) \quad (1)$$

$$\mathbf{x}_i^{t+1} = \mathbf{x}_i^t + \mathbf{v}_i^{t+1} \quad (2)$$

where  $w$  is the inertia weight,  $c_1$  and  $c_2$  are the acceleration coefficients, and  $r1$  and  $r2$  are two uniformly distributed random numbers independently generated within  $[0,1]$  for the  $d^{\text{th}}$  variable. In the equation (1),  $\mathbf{p}_i^t$  is the position with the best fitness found so far for the  $i^{\text{th}}$  particle, and  $\mathbf{p}_g^t$  is the best position in the neighborhood.  $\mathbf{v}_i^{t+1}$  is the new

updated velocity of particle  $i$  by the end of iteration  $t$ .  $x_i^{t+1}$  is the new updated position of particle  $i$  by the end of iteration  $t$  and  $t= 1,2,\dots$  indicates the iteration number.

As mentioned before, rapid convergence is one of the main advantages of PSO. However, this can also be problematic if an early solution is local optimal. The swarm may stagnate around the local optimal without any pressure to continue exploration. Therefore, we develop an IPSO algorithm with generalized opposition-based learning and self-adaptive update strategy in the micro-population size setting to balance the global exploitation and local exploration in order to avoid premature convergence and also enable the swarm to accurately search out local optimum with the lowest computational cost.

**2.3.1.2. Generalized opposition-based learning.** Opposition-Based Learning (OBL) (Tizhoosh, 2005) is a new concept in computational intelligence and is normally applied to the current population during the evolution. OBL is usually hybridized with different EAs, such as artificial bee colony algorithm (El-Abd, 2012) and differential evolution (Wang, Rahnamayan & Wu, 2013). The main idea behind OBL is the simultaneous consideration of a candidate solution  $x$  and its corresponding opposite solution  $x^*$  which will provide another chance for finding a candidate solution closer to the global optimum. In the evolutionary process, let  $X = (x_1, x_2, \dots, x_D)$  be an  $n$ -dimensional space, where  $x_i \in [a_i, b_i]$  and  $i = 1, 2, \dots, n$ . The opposite vector of  $X$  is denoted as  $X^* = (x_1^*, x_2^*, \dots, x_n^*)$ . The opposite point of  $x$  is denoted as  $x^*$  and defined as

$$x_i^* = a_i + b_i - x_i \quad (3)$$

Generalized opposition-based learning (GOBL) strategy is to transform

candidates in current search space to a new search space (Wang, Wu, & Rahnamayan, 2011). By simultaneously evaluating the candidates in the current search space and transformed search space, it could make the solution jump out from the current search domain and avoid any information gathered during the search. In the GOBL approach, let  $\mathbf{X}_i = (x_{i,1}, x_{i,2}, \dots, x_{i,D})$  be a solution for dimension  $D$  in the current search space  $S$ ,  $x_{ij} \in [a_j, b_j]$ . The new solution  $x_{ij}^{\text{GO}}$  in the transformed space  $S^*$  is defined as

$$\mathbf{x}_{ij}^{\text{GO}} = k(a_j + b_j) - \mathbf{x}_{ij}, \mathbf{x}_{ij} \in [a_j, b_j], j = 1, 2, \dots, D \quad (4)$$

where  $k$  is a random number coming from a uniform distribution in  $[0,1]$ , which can help obtain a good performance of solution in the search space.

$\mathbf{x}_{ij}^{\text{GO}} \in [k(a_j + b_j) - b_j, k(a_j + b_j) - a_j]$  is the generalized opposite candidate solution in the state space. The GOBL strategy has been shown that it can effectively help evolutionary algorithms to jump out of the local optimal and improve the algorithm performance (Chen et al., 2016).

**2.3.1.3 Self-adaptive strategy.** The performance of PSO algorithm highly depends on the control of parameters and velocity update strategy. In order to control the PSO objectively and optimally, a self-adaptive updated strategy is integrated into the GOBL approach for real-time monitoring algorithm evolution process based on the actual evolution rate of particles in the swarm. During an IPSO process, a population updated rate  $z$  in each iteration is defined by the ratio of the actual updated number of particles in the swarm for each iteration, as in

$$z = \frac{a}{N} \quad (5)$$



where  $a$  is the number of updated particles in each iteration and  $N$  is the number of particles in the population.

If  $z$  is higher than a selected probability  $p$ , the global best position  $\mathbf{p}'_g$  is used to update the velocity and position. If the updated rate  $z$  is less than or equal to a selected probability  $p$  which means there is a larger probability that PSO would jump into the local optimal, then the candidate particle  $\mathbf{x}_{ij}^{\text{GO}}$  instead of  $\mathbf{p}'_g$  in the velocity updated strategy is employed to guide the population evolution. To be more specific,

$$\mathbf{v}_i^{t+1} = w \times \mathbf{v}_i^t + c_1 \times r1 \times (\mathbf{p}'_i - \mathbf{x}_i^t) + c_2 \times r2 \times (\mathbf{p}'_{GO} - \mathbf{x}_i^t) \quad (6)$$

where  $\mathbf{p}'_{GO}$  is the generalized opposition-based point of  $\mathbf{p}'_g$  in the search domain.

The basic steps of the proposed IPSO algorithm include:

Step 1: Initialization. Establish the initial values of micro- population size, two acceleration coefficients ( $c_1$  and  $c_2$ ), maximum number of iterations, select probability  $p$ , and update probability  $z$ ; calculate the fitness value for each particle and set the personal best ( $\mathbf{p}_i$ ) and global best ( $\mathbf{p}_g$ ) for the population.

Step 2: Employ self-adaptive strategy. Calculate the new update probability  $z$  based on Equation (5) and generate the opposition-based learning particle ( $\mathbf{p}_{GO}$ ) as in Equation (4).

Step 3: Update the position and velocity of particles. If  $z \leq p$ , then the new velocity is updated according to Equation (5); otherwise, the new velocity is updated by Equation (1). After we get the new velocity, the new position is updated based on Equation (2).

Step 4: Update  $\mathbf{p}_i$  and  $\mathbf{p}_g$ . Calculate the fitness value for each particle. If the fitness value of the new location is better than the fitness value of  $\mathbf{p}_i$ , the new location is updated

to be the  $p_i$ . Then, if the currently best particle in the population is better than the  $p_g$ , the best particle replaces the recorded global best.

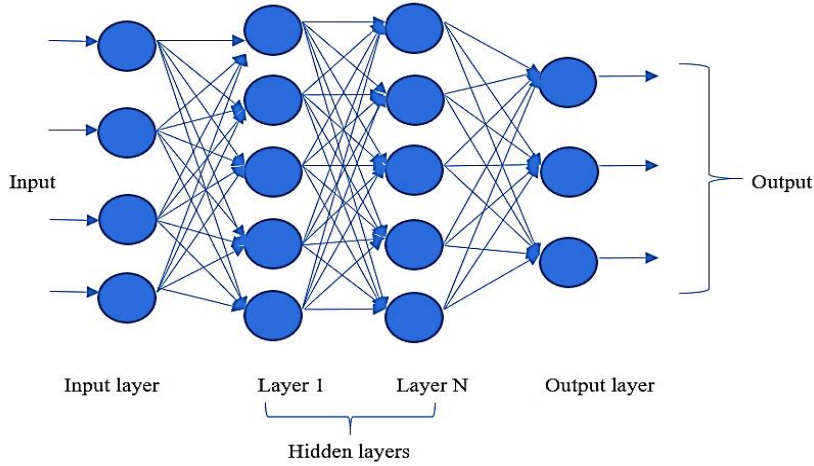
Step 5: Stop and output. Repeat Step 2, Step 3, and Step 4 until the global best solution does not change anymore or the maximum number of iterations has been reached. Then, we finally return the global best solution.

### **2.3.2. The proposed hybrid IPSO-DNN model.**

**2.3.2.1. Deep neural networks.** Deep learning (Goodfellow, Bengio, Courville, & Bengio, 2016) deals with algorithms to endow machines with intelligence without explicit programming. DNN models have multiple hidden layers located in-between the input and output layers. The units in the hidden layer are fully connected to the input layer, and the output layer is fully connected to the hidden layer. Moreover, the activation function (Wang, Giannakis, & Chen, 2019) is between the input feeding the current neuron and its output going to the next layer. Activation functions are mathematical equations that determine the output of neural network. The function is attached to each neuron in the network and determines whether it should be activated or not, based on whether each neuron's input is relevant for the prediction of models. There are many types of activation functions in DNN models, such as Sigmoid, Tanh, and Softmax function.

Let  $L$  be the number of hidden layers,  $N_i$  be the number of neurons in layer  $i$  and  $N = \{N_1, N_2, \dots, N_L\}$ ,  $A_i$  be the activation function in layer  $i$  and  $A = \{A_1, A_2, \dots, A_L\}$ . Parameters  $L$ ,  $N$ , and  $A$  are very important and have major influences on the performance of DNN models. Therefore, we propose the IPSO algorithm to optimize the

hyperparameters of DNN models with self-adaptive strategy and then explore the evolutionary deep learning hybrid model, called IPSO-DNN, to predict the effect of social distancing on the spread of the COVID-19. The DNN model is shown in Figure 1.



**Figure 1.** A DNN Model with  $N$  Hidden Layers

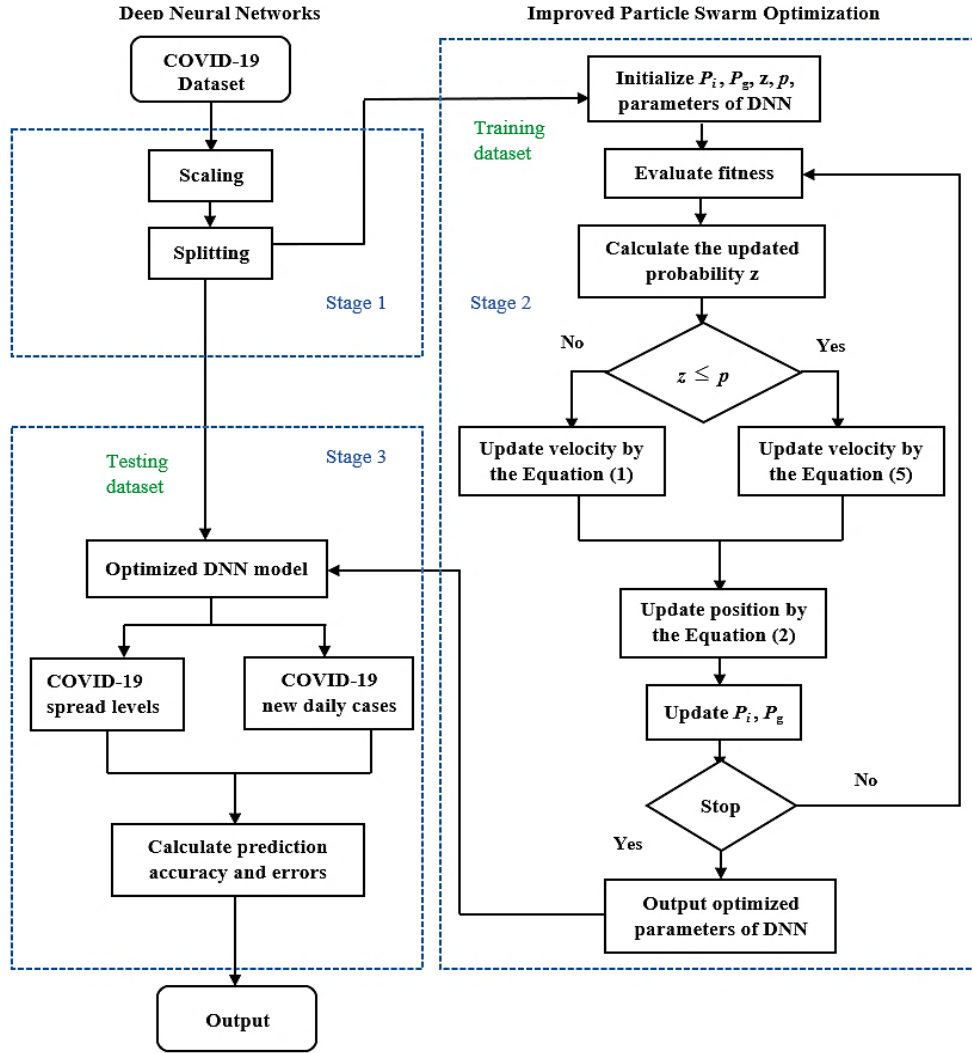
**2.3.2.2. Hybrid IPSO with DNN.** To better establish an IPSO-based parameter optimization system for the DNN model, the IPSO algorithm is explored to find the optimal hyperparameters for the DNN model and the finally optimized IPSO-DNN model predicts the effect of social distancing on the spread of COVID-19 and output the prediction results. The flowchart of the hybrid model IPSO-DNN is illustrated in Figure 2. It consists of three major stages.

Stage I. Prerequisites: data scaling and splitting. Firstly, one advantage of scaling is to avoid features in large numeric ranges dominating those located in smaller numeric ranges. Another trait is to avoid numerical difficulties during the calculation. Using the standardization of scaling technique, we center the features at mean 0 with standard deviation 1 so that the features take the form of a normal distribution, which makes the DNN model easier to learn a mapping from input variables to an output variable.

Secondly, the COVID-19 social distancing dataset (which will be discussed later in Section 2.4) is divided into two parts, training and testing dataset. The training dataset is employed to train the DNN model, so the optimized parameters will be obtained. The testing dataset is applied to the optimized model and output the resultant accuracies. In Part I, the ratios of the training and testing dataset are 0.7 and 0.3, respectively.

Stage II. IPSO for parameter optimization of DNN model. In this step, the input is the COVID-19 social distancing training dataset and the output is the optimal configuration in terms of the number of hidden layers, the number of neurons in each layer, and the activation function combinations of hidden layers of the DNN model. The minimized fitness function of IPSO is defined as the mean squared error (MSE), which is computed as  $MSE = \frac{1}{n} \sum_{i=1}^n (\hat{y}_i - y_i)^2$ . When the termination criteria are satisfied, the IPSO algorithm outputs the optimized parameters of DNN model; otherwise, the next generation of IPSO algorithm proceeds.

Stage III. Model prediction. The output of IPSO algorithm is the optimized parameters of DNN model and it is used to predict the COVID-19 social distancing dataset. The optimized DNN model is applied to predict the four spread levels of COVID-19 and daily new cases based on the social distancing metrics. Finally, the prediction accuracy and error results are obtained from the optimized IPSO-DNN model.



**Figure 2.** Flowchart of the Proposed IPSO-DNN Model

## 2.4. Data

From the University of Maryland COVID-19 Impact Analysis Platform (Maryland Transportation Institute, 2020), we obtained 603,456 county-level data with the related information of social distancing in all counties of the United States. The whole dataset contains eight social distancing metrics and the new daily COVID-19 cases in every county from January 1 to July 10, 2020.

**2.4.1. Social distancing metrics.** The major non-pharmaceutical interventions, and social distancing policies are essential strategies of the public health response to the COVID-19 pandemic around the world. From the evidence of implemented social distancing measures in many countries, such as China and Italy, there is no doubt that social distancing is considered an effective way to mitigate the spread of COVID-19. Social distancing related measures include avoiding mass gathering, closing schools and non-essential business, issuing mandatory stay-at-home orders, and having travel restrictions. This social distancing takes many forms, and the nature is to keep people apart from each other by confining them to their homes in order to reduce contact rates. Therefore, in this study, from COVID-19 Impact Analysis Platform, the values of mobility and social distancing metrics which represent people's reactions to social distancing policies are considered as the effect of social distancing on the spread of COVID-19. The platform aggregates mobile device location data from more than 100 million devices across the nation on a monthly basis to study human mobility behavior amid the COVID-19 pandemic. The basic metrics in our research are selected to cover the frequency, spatial range, and semantic of people's daily travel. The eight basic mobility and social distancing metrics are described in Table 1 (Zhang et al., 2020).

**Table 1.** Description of Eight Social Distancing Metrics

Social Distancing Metrics	Description
Percentage of residents staying home	Percentage of residents that make no trips more than 1.61 km away from home.
Daily work trips per person	Average number of work trips made per person. A work trip is a trip going to or from one's imputed work location.
Daily non-work trips per person	Average number of non-work trips made per person.
Distances traveled per person	Distances in kilometers traveled per person on all travel modes (car, train, bus, plane, bike, walk, etc.) per day.
Trips per person	Average number of all trips taken per person per day.
Percentage of out-of-county trips	Percentage of all trips that cross county borders.
Percentage of out-of-state trips	Percentage of all trips that cross state borders.
Transit mode share	Percentage of rail and bus transit mode share.

**2.4.2. Spread levels of COVID-19.** Moreover, in order to better describe the spread of COVID-19 as to measure the effect of social distancing in the United States, this study explores four measurable levels (i.e., containment, control, moderation, and growth) based on two performance indicators, which are the daily growth rate and the time to double cumulative cases. The daily growth rate is the percentage increase in cumulative COVID-19 cases, while the time to double cumulative cases is the number of days for cumulative COVID-19 cases to double at the current growth rate. The four levels of COVID-19 spread include containment, control, moderation, and growth that are defined in Table 2.

**Table 2.** Definition of Four Levels of COVID-19 Spread

Indicators	Containment	Control	Moderation	Growth
Daily growth rate (%)	$\leq 0.1\%$ and	$\leq 1\%$ and	$\leq 10\%$ and	Daily growth rate stays above 10% or time to double cumulative cases stays below 7 days
Time to double cumulative cases (days)	$\geq 700$	$\geq 70$	$\geq 7$	

The full COVID-19 social distancing dataset then contains eight input social distancing metrics and two output variables, which are the new daily COVID-19 cases collected from the COVID-19 Impact Analysis Platform and four levels of COVID-19 spread. The example dataset of Baldwin County, Alabama from April 30 to May 9, 2020, is shown in Figure 3.

Date	% staying home	Trip s/person	% out-of-county trips	% out-of-state trips	Miles/p erson	Work trips/person	Non-work trips/person	Transit mode share	New cases	Levels
...	...	...	...	...	...	...	...	...	...	...
4/30/2020	20	2.99	18.3	8.5	29.1	0.4	2.58	0.09	0	Growth
5/1/2020	18	3.11	19.5	9.5	30.1	0.37	2.74	0.09	1	Moderation
5/2/2020	22	2.82	19.4	10.9	25.7	0.23	2.58	0.09	6	Control
5/3/2020	26	2.42	20	10.8	23.4	0.19	2.23	0.09	6	Control
5/4/2020	21	2.91	18.9	8.8	28.2	0.38	2.53	0.09	1	Moderation
5/5/2020	20	2.89	18.8	8.9	29.3	0.38	2.51	0.09	1	Moderation
5/6/2020	21	2.90	19.1	8.9	29.6	0.39	2.52	0.09	7	Control
5/7/2020	20	2.96	20.8	10.1	29.7	0.38	2.58	0.09	9	Control
5/8/2020	20	3.00	21.4	10.8	30.7	0.36	2.64	0.09	3	Control
5/9/2020	22	2.82	21.1	11.6	27.7	0.24	2.58	0.09	8	Control
...	...	...	...	...	...	...	...	...	...	...

**Figure 3.** The Exemplary Social Distancing Dataset of Baldwin County, Alabama

## 2.5. Model Performance

**2.5.1 Parameters analysis for IPSO algorithm.** To choose the appropriate parameters in the proposed IPSO algorithm, two nonparametric statistic tests, Friedman’s test (Friedman, 1937) and Iman-Davenport’s test (García, Molina, Lozano, & Herrera, 2009), are used to analyze the sensitivity of the parameters in this section. The maximum number of fitness evaluation is 3,000, the learning coefficients of  $c1$  and  $c2$  are with the value of uniformly distributed between  $[0,1]$ , and a total of 50 experimental runs for the



fitness function are set in Python, except for two analyzed parameters (i.e., micro-population size and selected probability  $p$ ). The significance level of these non-parametric statistical experiments is 5%.

**2.5.1.1. Micro-population size analysis.** In this research, the effect of micro-population size is investigated because the smaller population size is the lower computational cost of the IPSO algorithm will be. We select the population size from the micro-population set {5,6,7,8,9,10} to verify the performance of IPSO. The statistical analysis results are shown in Table 3 and Table 4. From Table 3, we can see that the micro-population size has no significant effect on the overall performance of the proposed algorithm, indicating that the size of the micro-population is less sensitive to the IPSO algorithm and the algorithm is relatively robust. However, from Table 4, we conclude that when the population size is 8 and the overall performance of the IPSO algorithm is the best.

**Table 3.** Results Obtained by Friedman and Iman-Davenport Tests under Different Micro-Population Sizes

Friedman value	$\chi^2$ value	$p$ -value	Iman-Davenport value	value in FF	$p$ -value
3	11.0705	0.70	0.5806	2.3683	0.7146

**Table 4.** Ranking Results Obtained by Friedman's Test under Different Micro-Population Sizes

Population size	5	6	7	<b>8</b>	9	10
Ranking	4.08	3.81	3.35	<b>2.92</b>	3.35	3.50

**2.5.1.2. Self-adaptive selected probability analysis.** In this experiment, the influence of selected probability  $p$  is investigated, because  $p$  can balance the exploration and exploitation capabilities of IPSO. A small selection probability will prompt the IPSO

to perform a local search, while a larger selection probability will encourage the IPSO to conduct a global exploration, and the selection probability setting will affect the overall performance of the proposed algorithm. Since the population size in the proposed algorithm is eight, this paper selects parameters from the set {0.125, 0.25, 0.375, 0.5, 0.625, 0.75, 0.875, 1} for the simulation testing. The statistical results are shown in Table 5 and Table 6. It can be seen from Table 5 that the choice of selection probability  $p$  has a non-significant effect on the optimization performance of the IPSO algorithm. However, from Table 6 that when the selection probability is 0.75, the overall performance of the IPSO algorithm is the best, so the selection probability  $p$  of IPSO is set to be 0.75.

**Table 5.** Results Obtained by Friedman and Iman-Davenport Tests under Different Selected Probabilities

Friedman value	$\chi^2$ value	$p$ -value	Iman-Davenport value	value in FF	$p$ -value
3.1538	14.0671	0.8704	0.4308	2.1206	0.8803

**Table 6.** Ranking Results Obtained by Friedman’s Test under Different Selected Probabilities

$p$	0.125	0.250	0.375	0.500	0.625	<b>0.750</b>	0.875	1.000
Ranking	4.65	4.29	4.84	5.04	4.27	<b>3.65</b>	4.31	4.31

**2.5.2. Model comparisons.** In order to evaluate the performance of the proposed IPSO-DNN model, we compare the IPSO-DNN model with other models. To be more specific, PSO-DNN, GS (Grid Search) -DNN, IPSO-SVM (Support Vector Machine), IPSO-LR (Logistic Regression), and IPSO-DT (Decision Tree), and all the above six hybrid models prediction accuracy results obtained from the COVID-19 social distancing dataset are fully evaluated. The whole social distancing dataset contains all eight social distancing metrics, the new daily COVID-19 cases, and the four spread levels of COVID in all 3,006 counties of the United States. Moreover, the hyperparameters of DNN that

are optimized in this Part II include: 1) number of hidden layers on the range [1, 100]; 2) number of neurons in each layer on the range [1, 8]; 3) activation functions consist of Sigmoid, ReLU, Softmax, and Tanh; and 4) the learning rate of DNN model on the range [0.01, 0.99].

All the experiments were conducted using Python language on a 4-core machine with 3.60 GHz Intel® Core™ i7-7700 CPU and 16 GB RAM. In the case of IPSO and PSO, the algorithm terminates when the maximum number of iterations 100 is reached or when there is no difference between the mean squared errors of two consecutive iterations. For the hybrid models, the models terminate when the maximum running time 1440 minutes is reached.

The performance of hybrid IPSO-DNN model on the validation and test stages is examined using accuracy and the following three error measures, which are mean bias error (MBE), mean absolute error (MAE), and root mean squared error (RMSE).

First of all, the accuracy helpful to evaluate performance of deep learning model is based on the element from a matrix known as confusion matrix. A confusion matrix is a table that is often used to describe the performance of a classification model on a set of test data for which the true values are known. The “accuracy” of performance of hybrid IPSO-DNN model are defined as following:  $Accuracy = \frac{TP + TN}{TP + TN + FP + FN}$ , where “TP” is for True Positive, “FP” is for False Positive, “TN” is for True Negative, and “FN” is for False Negative. It is the most common measures of classification process, which can be calculated as the ratio of correctly classified example to total number of examples.

Furthermore, MBE indicates whether the model over- or under-predicted in general.  $MBE = \frac{1}{n} \sum_{i=1}^n (\hat{y}_i - y_i)$ . The lower MBE is the better the prediction model is. But you

might have zero as some differences are positive and others are negative MAE and RMSE measure residual errors, which give a global idea of the difference between the observed and forecast values. They are defined as  $MAE = \frac{1}{n} \sum_{i=1}^n |\hat{y}_i - y_i|$ ,  $RMSE = \sqrt{\frac{1}{n} \sum_{i=1}^n (\hat{y}_i - y_i)^2}$  where  $n$  is the total number of observations,  $\hat{y}_i$  is the prediction value and the  $y_i$  is the actual value of a data point. The lower the absolute values of the MBE, MAE and RMSE indicate that IPSO-DNN model is better.

#### 1) Comparison with IPSO-SVM, IPSO-LR, and IPSO-DT

In the first scenario, we compare the performance of IPSO algorithm based on optimizing parameters technique for the deep learning models and three different machine learning models to explore the effect of social distancing for COVID-19. SVM is a basic machine learning technique which trains the dataset with feature vectors and uses large margin for classification. In this Part II, RBF kernel function is selected as the SVM for regression (Yu, 2017). Logistic Regression (LR) technique is applied to describe data and analyze the relationship between one dependent binary variable and on or more nominal ordinal interval or ratio-level independent variables. Decision Tree (DT) uses the tree representation and each leaf node corresponds to a class label and attributes are represented on the internal node of the tree.

From Figure 4 and Figure 5, we observe that the IPSO-SVM model fails in the experiments to explore the effect of social distancing for COVID-19 according to the termination criteria. The learning time required of IPSO-LR, IPSO-DT and IPSO-DNN models are 148, 186, and 102 minutes on predicting the four spread levels of COVID-19, respectively; are 163, 205, and 125 minutes on projecting the new daily COVID-19 cases, respectively. These figures also illustrate that a higher accuracy can be achieved when the

proposed IPSO-DNN model while has a minimum computing time compared to the IPSO-LR and IPSO-DT models. This clearly exhibits the superiority of the DNN model over basic machine learning models in terms of deal with large-scale dataset. Thus, the proposed IPSO algorithm can serve as a promising candidate for parameter tuning of the DNN model for the large-scale COVID-19 social distancing data analysis.

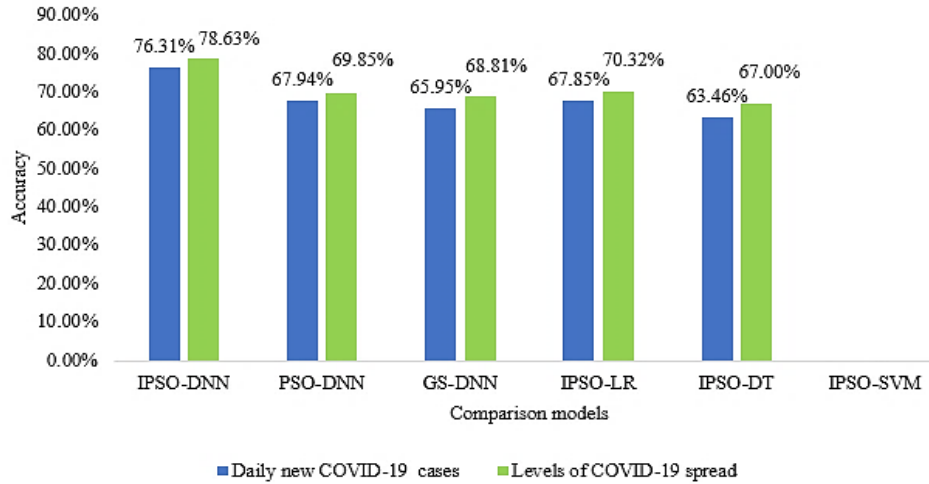
## 2) Comparison with PSO-DNN model

In the second scenario, the basic PSO algorithm is used to find the best parameters for the DNN model to explore and predict the effect of social distancing for COVID-19. The population size of PSO is 30 and other parameters are defined as the same as IPSO algorithm. The reason of different population size between PSO and IPSO is that the larger the population size, the more scattered the search performed in the PSO algorithm. With a larger population size each generation takes more function calls, and a larger part of the search space may be visited (Piotrowski, Napiorkowski, & Piotrowska, 2020). Therefore, we set the population size of PSO to 30 instead of 8 to give a better outcome when comparing with the IPSO method. From Figure 4, we can see that the accuracy of the IPSO-DNN model is higher than the PSO-DNN model. The generalized opposition-based learning and self-adaptive strategy improve the performance of IPSO algorithm to optimize the parameters of DNN model. For the PSO-DNN, as there is no self-adaptive exploitation strategy to help the basic PSO algorithm to jump out of local optimal and the search and optimization ability is also limited. From Figure 5, the learning time required of the PSO-DNN model is 202 and 227 minutes on the four levels of COVID-19 spread and the new daily COVID-19 cases prediction, respectively, which show that the computing time of IPSO-DNN is much less than the PSO-DNN model, it

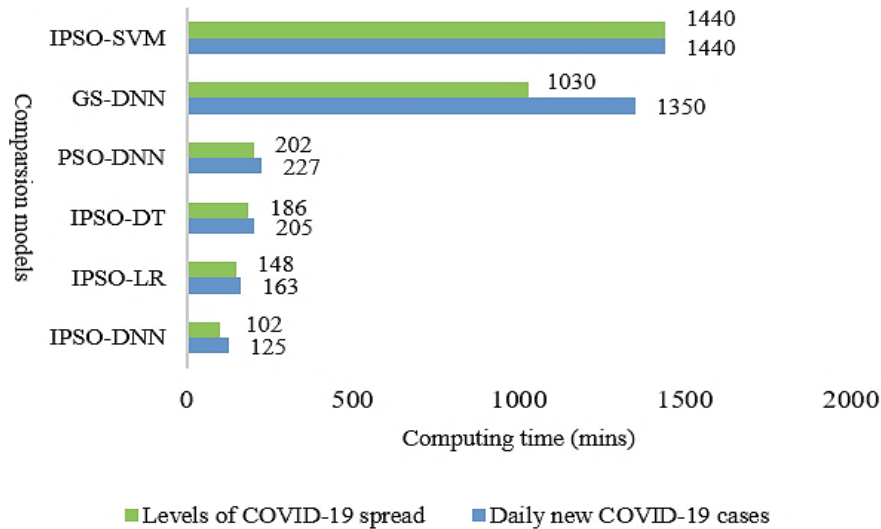
indicates the micro-population setting in the IPSO algorithm decreases the compute cost of PSO algorithm. The results demonstrate that the proposed strategy of PSO in the IPSO-DNN model make it outperforms PSO-DNN model on the COVID-19 social distancing prediction.

### 3) Comparison with GS-DNN model

In the third scenario, the selectable parameter ranges of GS to optimize the DNN model are as the same as the IPSO-DNN model. The GS algorithm is a common approach for selecting parameter values of the DNN models. However, the GS approach is time consuming and does not perform well in DNN hyperparameter optimization. From Figure 4, we know that the prediction accuracy of the GS-DNN model is less than IPSO-DNN both on the prediction of new daily COVID-19 cases and levels of COVID-19 spread. From Figure 5, the learning time required of the GS-DNN model to predict the new daily cases is 1,350 minutes and to forecast the four levels of COVID-19 spread is 1,030 minutes. Therefore, we can see that the performances of GS-DNN on prediction accuracy and computing time both are worse than that of IPSO-DNN model. The main reason is that the proposed IPSO-DNN model performs parameters in an evolutionary way, which has the ability to balance the local exploitation and global exploration ability during the parameter optimization. Therefore, we learn that our proposed IPSO-DNN model outperforms the GS-DNN model as the proposed approach has the advantage of exploring optimization parameters. The results manifest that our proposed IPSO based parameter selection technique can be computationally efficient to determine the hyperparameters of DNN model.



**Figure 4.** Comparison Accuracy Results of Different Models



**Figure 5.** Comparison Computing Time Results of Different Models

Table 7 summarizes the performance of six models in terms of the results of MBE, MAE, and RMSE that indicate related error performance of models. First, for the daily new COVID-19 cases, our proposed IPSO-DNN model performs very well in the prediction of new COVID-19 cases per day. The performance of PSO-DNN, GS-DNN, and IPSO-LR is similar in new cases prediction. The IPSO-SVM model fails to explore the new COVID-19 cases based on the effect of social distancing in the setting of limited

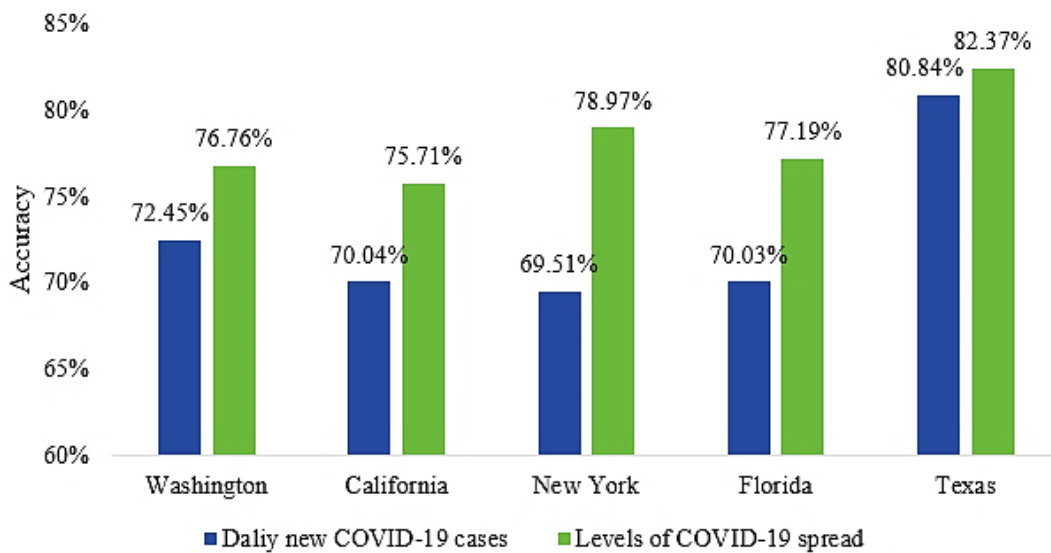
computation time. Although the results of the MAE and RMSE are similar in the IPSO-DNN and IPSO-DT models, the MBE result of the IPSO-DT model is negative that indicates the model under-predict the daily new COVID-19 cases in this situation. The above results show that the self-adaptive strategy can help IPSO algorithm to adjust the prediction direction to find out optimization parameter for DNN model. Furthermore, for the prediction of COVID-19 spread levels, IPSO-SVM model still cannot performs the analysis of social distancing metrics in a limited experience time. The IPSO-DT is also an under-predicted model to predict the spread of COVID-19 based on the influence of social distancing according to the result of the MBE. The performances of PSO-DNN, GS-DNN, IPSO-LR are similar in the prediction of daily new cases and spread levels for COVID-19. However, the proposed IPSO-DNN model outperforms the other compared models in the MBE, MAE, and RMSE results. The summary results demonstrate that the proposed IPSO-DNN model provides better prediction results than other compared models as the proposed methods have the advantage of employing optimal parameters. And it also shows that the IPSO model with self-adaptive strategy and generalized opposition-based learning strategy is significant to predict the effect of social distancing on COVID-19 spread.

**Table 7.** Results of Six Models for COVID-19 Social Distancing Prediction

Model	Daily new COVID-19 cases			Levels of COVID-19 spread		
	MBE	MAE	RMSE	MBE	MAE	RMSE
IPSO-DNN	4.6767	4.8177	45.0471	0.4160	0.4755	1.0313
PSO-DNN	6.4295	6.8436	52.6956	0.6932	0.6636	1.2112
GS-DNN	7.4152	7.4152	65.8293	0.7569	0.7575	1.3121
IPSO-SVM	-	-	-	-	-	-
IPSO-LR	6.3868	6.4229	55.5791	0.6291	0.6562	1.2086
IPSO-DT	-0.5064	5.7326	45.7731	-0.0336	0.6385	1.1933



**2.5.3. Results and discussions.** In our experiments, we focus on predicting and analyzing the effect of social distancing on the spread of COVID-19 using the proposed IPSO-DNN model in the selected five states, Washington, California, New York, Florida, and Texas in the United States. The COVID-19 social distancing county level dataset is collected and processed from the first confirmed case date to July 10, 2020 in the selected five states. Stay-at-home order, reopening state, and social distancing restrictions in each state are explicitly considered in this experiment. All experimental environment and parameters are set as the same in section 2.5.2. We predict the daily new COVID-19 confirmed cases and the spread of COVID-19 under the different social distancing measures adopted by each state and then analyze the distinct COVID-19 outcomes of taking social distancing interventions in the selected five states in the United States. The results of accuracy and error measures obtained from IPSO-DNN model are indicated in Figure 6 and Table 8. The detailed description of COVID-19 social distancing in the above selected five states is illustrated as follows.



**Figure 6.** Accuracy Results of All Selected Five States Obtained from IPSO-DNN

**Table 8.** Results of Five States for COVID-19 Social Distancing Prediction

State	Daily new COVID-19 cases			Levels of COVID-19 spread		
	MBE	MAE	RMSE	MBE	MAE	RMSE
Washington	6.2397	6.2397	23.9902	0.3738	0.5447	1.1004
California	26.2249	26.2249	30.1224	0.2756	0.5359	1.0723
New York	27.0441	27.5170	35.2950	0.1264	0.5069	0.9628
Florida	16.9829	18.0634	89.2466	0.2382	0.5958	1.0907
Texas	4.7137	5.2478	47.3079	0.3268	0.3877	0.9545

### 1) Washington

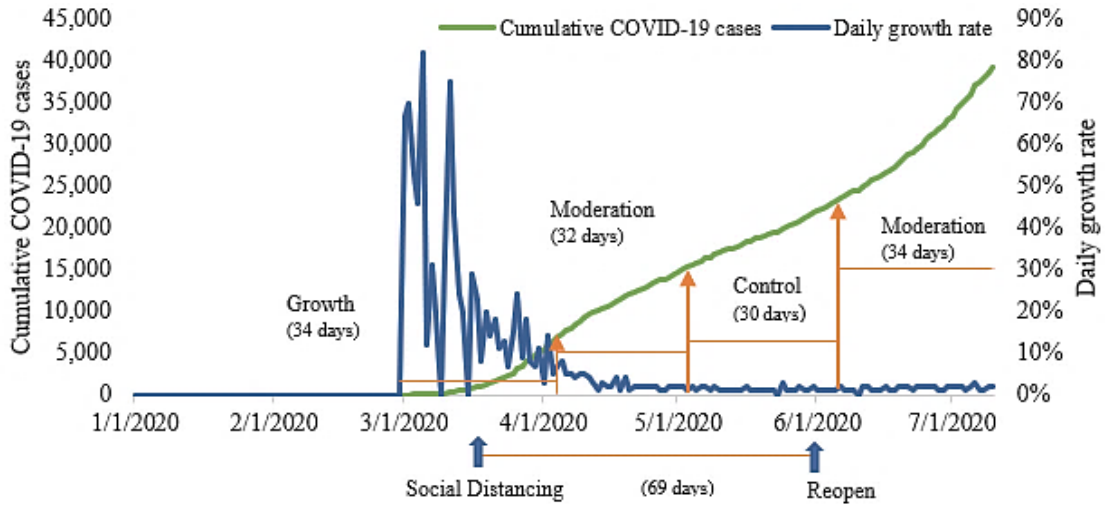
Since the Centers for Disease Control and Prevention (CDC) confirmed the first case of 2019 Novel Coronavirus in the United States was occurred in the state of Washington on January 21, 2020, the COVID-19 pandemic first begins to outbreak in the state of Washington (Branswell, 2020). Because there was no vaccination useful for COVID-19 pandemic in that time, therefore Washington state issued a stay-at-home order on March 23 and reopened the state step by step on May 31 later. Using the IPSO-DNN model, we can obtain the prediction results of the effect of social distancing on the spread of COVID-19 in Washington state. Firstly, from Figure 6, we can see that our proposed IPSO-DNN model acquires 72.45% and 76.46% accuracy in the prediction of new daily COVID-19 cases and levels of COVID-19 spread, respectively. In Table 15, the results of error measures MBE, MAE, and RMSE are 6.2397, 6.2397, and 23.9902 on the prediction of new daily COVID-19 cases, respectively. And the results of these error measures are 0.3738, 0.5447, and 1.1004 on the forecasting of COVID-19 spread levels, respectively. The above prediction results manifest that the optimized IPSO-DNN model can self-adaptive tuning parameters of DNN for Washington state to achieve more than 70% prediction accuracy with little errors.

Secondly, Figure 7 presents that the spread of COVID-19 has slowing down with the efforts of related social distancing measures, though these aggressive interventions do

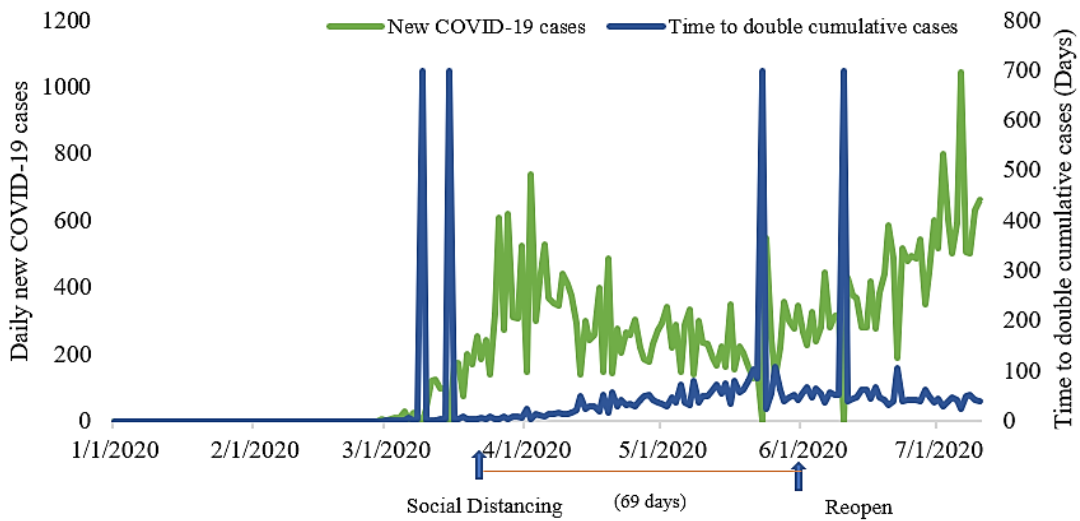
not show immediate results, which are essential to control COVID-19 in the future. The duration of adopting restricted social distancing is 69 days in Washington. From levels of COVID-19 spread, we can know that the number of new cases in Washington kept growing for 34 days from February 29, 2020 to April 2, 2020. And after issued stay-at-home order on March 23, there was a distinctively outcome that the spread of COVID-19 has been moderated for 32 days and controlled for 30 days in Washington. However, reopening the state on May 31 which means that social distancing orders would not be taken as aggressively as before, so that the progress of control this coronavirus has been slow down and the level of cumulative COVID-19 cases still increased in Washington till to the end, July 10, 2020. Therefore, following a spike in COVID cases in July, Washington announced a pause to the Safe Start reopening plan.

Finally, in Figure 8, we can see that the effective social distancing measures mitigate the spread of COVID-19 pandemic with a significant decline in the new daily COVID-19 cases and extend the time to double the cumulative cases in Washington during social distancing period. In addition, we can learn that reopening Washington state reduces the implementation efforts of social distancing policies and changes the mobility metrics values in the state, which also makes the daily new COVID-19 cases increasing and the time to double the cumulative cases decreasing from May 31, 2020 to July 10, 2020. After social distancing, the daily new COVID-19 cases are decreasing in Washington state. We can see that there is a relationship between social distancing and the spread of COVID-19, In general, if social distancing intervention has been implemented strictly and longer, COVID-19 infections would decrease quickly in an even shorter time. The above results also manifest that our proposed IPSO-DNN model has the

ability to adjust the prediction direction continually to predict the effect of social distancing on the spread of COVID-19 pandemic based on the changing value of mobility and social distancing metrics in Washington.



**Figure 7.** Cumulative COVID-19 Cases & Daily Growth Rate in Washington



**Figure 8.** Daily New Cases & Time to Double Cumulative Cases in Washington

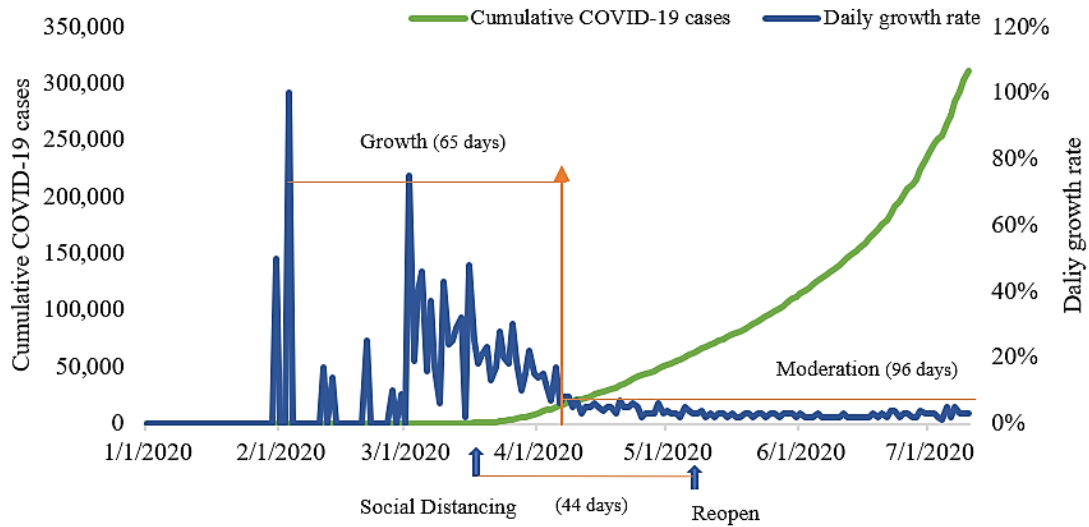
## 2) California

California is the second state where the COVID-19 pandemic outbreaked after the first state Washington in the United States. Its first case of coronavirus was confirmed in

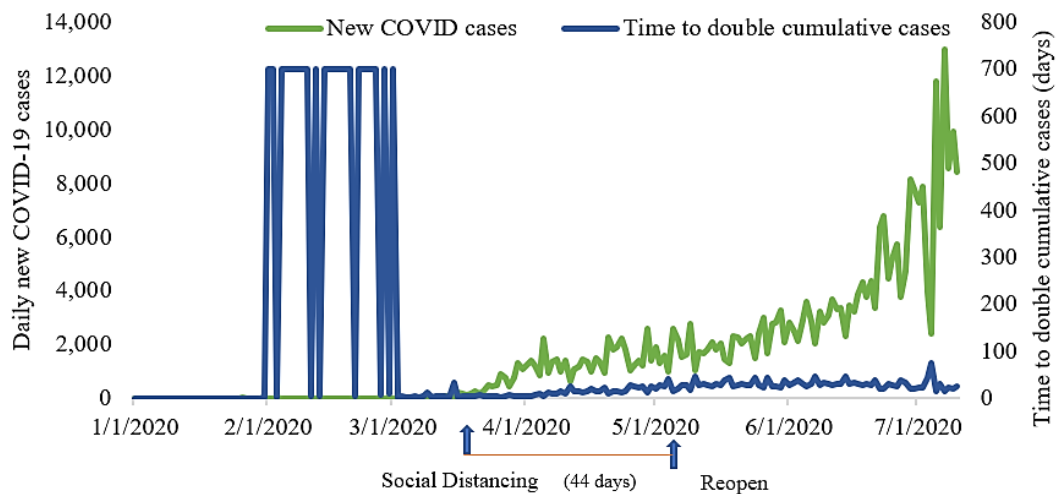
Orange County on January 26, 2020. On March 19, California became the first state to issue a stay-at-home order, mandating all residents to stay at home except to go an essential job or shop for essential needs in the United States (Linder, 2020). In California, social distancing interventions only last for 44 days. From the experiment results, Figure 6 indicates our proposed IPSO-DNN model can obtain more than 70% prediction accuracy both on new daily COVID-19 cases and COVID-19 spread levels in California. Table 8 shows that for predicting the new daily COVID-19 cases in California, the results of MBE, MAE, and RMSE are 26.2249, 26.2249, and 30.1224, respectively; for predicting the levels of COVID-19 spread, the results of these error measures are 0.1264, 0.5069, and 0.9628, respectively. The reason for our proposed IPSO-DNN model performs better on COVID-19 spread levels prediction than new daily COVID-19 cases is that there are more distinct outcomes of social distancing intervention on controlling the spread of COVID-19 in California.

Figure 9 demonstrates that social distancing mitigates the COVID-19 within two weeks, however, due to the limited time of implementing social distancing compared to Washington state, only moderation but not control of COVID-19 engendered in California during this period. For instance, after the stay-at-home order and related strict social distancing rules were issued on March 19, the efforts of social distancing take 16 days to effectively slow down the spread of COVID-19 and just moderate not control COVID-19 spreads for the following 96 days in California. Recently, California is largely closing again amid a spike in COVID-19 cases across the state on October 10. Compared to Washington state, we can learn that not only the aggressive social distancing but also long-lasting social distancing interventions are required to control the

spread of COVID-19. The new daily COVID-19 cases and time to double the cumulative cases are described in Figure 10. There is no doubt that social distancing plays an important role in decreasing the daily new cases and increasing the time to double the cumulative cases in California. The results obtained from the proposed IPSO-DNN model demonstrate that the significant effect of social distancing on mitigating COVID-19 in California, and more importantly, the duration of social distancing interventions needs to be lasting longer to help flatten the COVID-19 pandemic curve.



**Figure 9.** Cumulative COVID-19 Cases & Daily Growth Rate in California



**Figure 10.** Daily New Cases & Time to Double Cumulative Cases in California

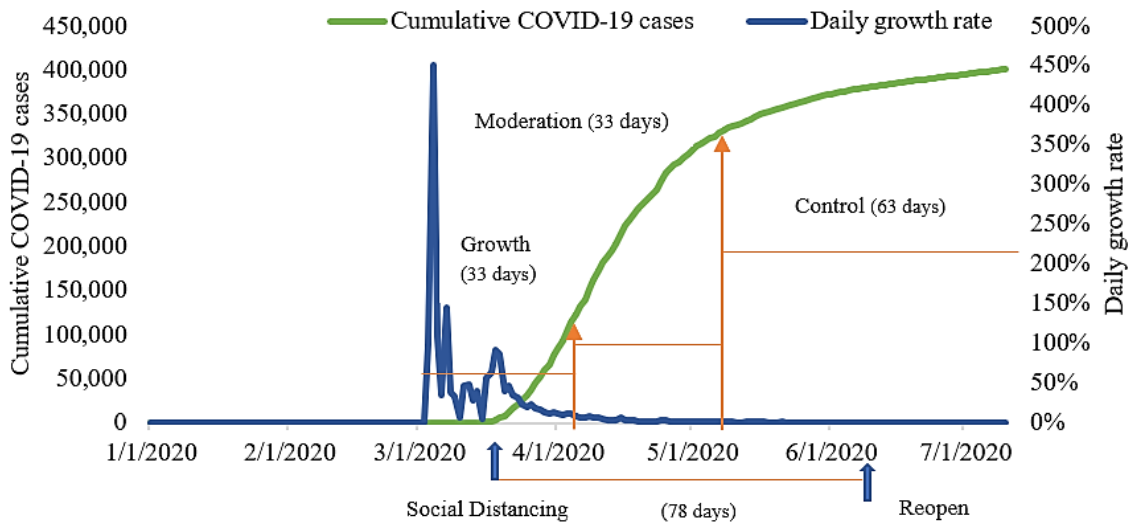
### 3) New York

Although Washington and California COVID-19 outbreaks before New York, New York actually was the first hotspot state of COVID-19 pandemic in the United States due to its soaring cases of COVID-19 in just a few days. New York became the U.S. epicenter of the novel coronavirus outbreak, which killed tens of thousands of state residents and left hundreds of thousands more infected with COVID-19. Although on July 10, New York still has the most COVID-19 cumulative cases, which is 401,193 cases, in the United States. However, according to our analysis results, New York actually has already controlled the spread of COVID-19 pandemic for the foreseeable future. The aggressive social distancing interventions are the only way New York obtained moderation and control event in the COVID-19. Under the New York state's plan, all four phases of the reopening require New Yorkers to adhere to social distancing guidelines, including wearing masks or face coverings in crowded public spaces, on public or private transportation, or in for-hire vehicles (Gold and Stevens, 2020). In this Part I, we consider the date when all counties in New York enter the Phase 1, the start of the reopening process, as the reopen date of New York state, which is June 8, 2020.

In New York, the duration of strictly social distancing is 78 days which is the longest among the selected five states in the United States. New York is also the only one state that mandate people to wear masks or face coverings in public whenever social distancing was not possible in the beginning. Table 8 indicates the results of MBE, MAE, and RMSE is 27.0441, 27.5170, 35.2950 on the forecasting of daily new cases, respectively; and 0.1264, 0.5069, and 0.9628 for the levels of COVID-19 spread prediction, respectively. From Fig 6, it presents that the prediction accuracy is 69.51% for

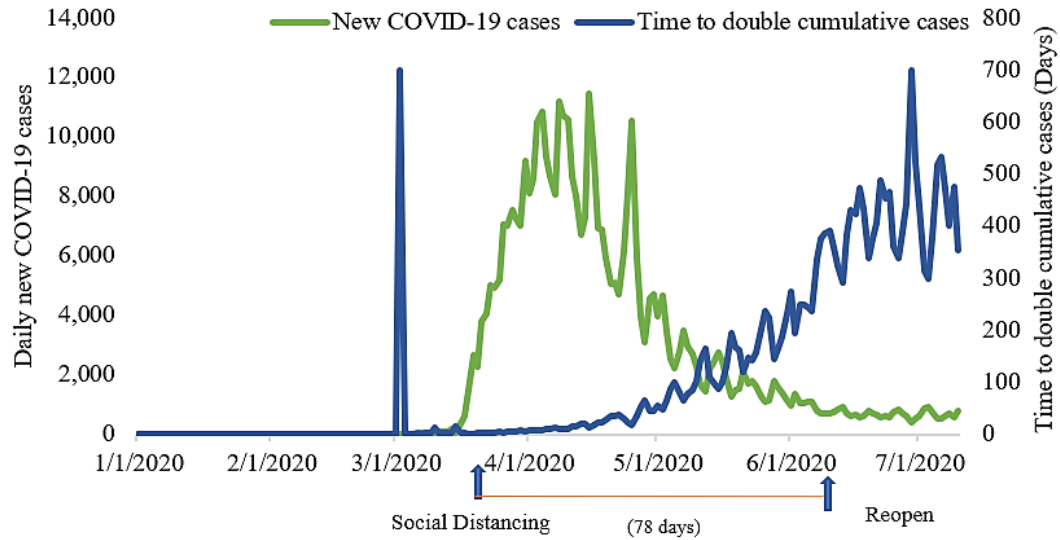
the daily new cases and 78.97% for the levels of COVID-19 spread. The accuracy result of COVID-19 spread levels is higher than the new daily COVID-19 cases, presumably, the new cases soaring up abruptly in such a short time that makes it hard to project.

Figure 11 and Figure 12 illustrate New York has controlled the spread of COVID-19 and its new daily COVID-19 cases continue to decrease with implement aggressive social distancing interventions for 78 days. After social distancing, the days of moderation and control of COVID-19 are 33 days and 63 days, respectively. It is obvious that social distancing helps to flatten the COVID-19 curve in New York. Moreover, it makes sense that the number of new daily COVID-19 cases has continued decline and flattened. However, we can see from Figure 12 that the time to double cumulative cases does not steadily increase. It means that even if New York state has controlled COVID-19 pandemic, it may be vulnerable to contagion from other states who fail to control the COVID-19 or not conduct aggressive social distancing interventions. The above results explicitly explain how social distancing flattens the COVID-19 pandemic curve in New York using our proposed IPSO-DNN model.



**Figure 11.** Cumulative COVID-19 Cases & Daily Growth Rate in New York





**Figure 12.** Daily New Cases & Time to Double Cumulative Cases in New York

#### 4) Florida and Texas

Florida and Texas have emerged as new hotspots in the COVID-19 pandemic in the United States due to the explosion of COVID-19 cases after reopening states in the early May. These two states are also the states where the related social distancing politics are not adopted very strictly and reopening the states are more fast than other selected states. The date when stay-at-home order issued were both April 2 in Florida and Texas and the date of reopening state was on May 4 in Florida and May 1 in Texas. The sharp rise in COVID-19 cases in Florida and Texas illustrate the risk of letting people pack together in places such as bars and movie theaters, and the need to take a cautious approach to reopening (Olson, 2020). Until now October 6, Florida and Texas still keep recording a sharp increase in COVID-19 infections for many days (Provan, 2020). Especially, Texas has overtaken California as US state with second-highest death toll on September 21. The durations of practicing social distancing on Florida and Texas are just 31 days and 28 days, respectively. And there is not strict reopening social distancing

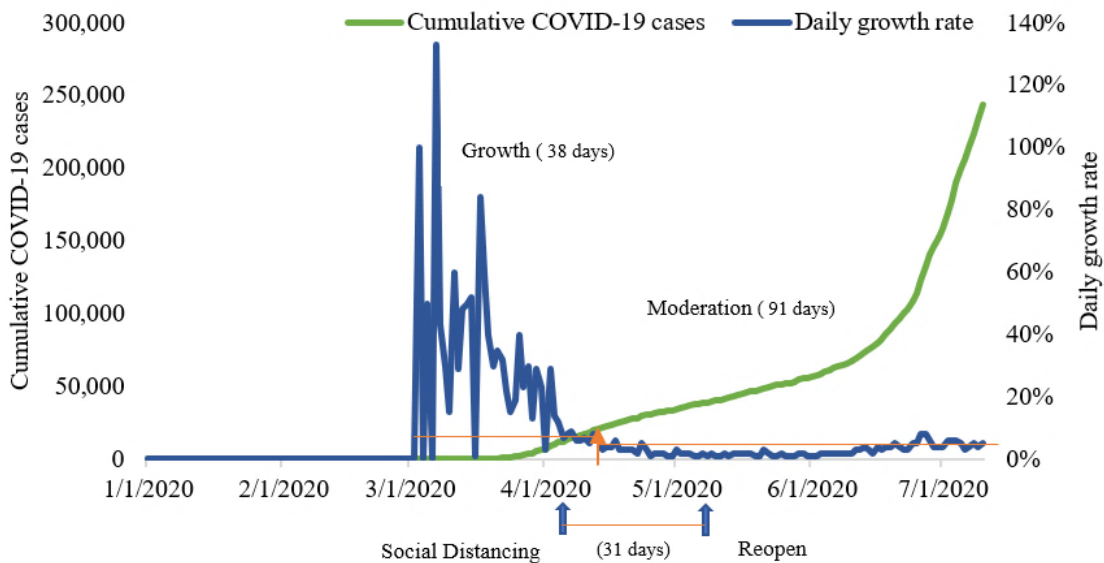
guideline in these two states.

From Figure 6, we can know that the prediction accuracy result of Florida obtained from IPSO-DNN model that is 70.03% on new daily COVID-19 cases and 77.19% on the levels of COVID-19 spread. Meanwhile, the accuracy of Texas on the prediction of new daily COVID-19 cases and COVID-19 spread levels is 80.84% and 82.37%, respectively. It is noticed that the IPSO-DNN model performs better in Texas than in Florida. Perhaps it is because Texas paused the state's reopening plan after reporting record increase in COVID-19 cases and hospitalizations in June (Jasmine, 2020). Therefore, Texas adopted more strict reopening guidelines and the values of mobility are more stable to predict the spread of COVID-19 than Florida. From Table 8, for predicting new daily COVID-19 cases, the result of MBE, MAE, and RMSE is 16.9829, 18.0634, and 89.2466 in Florida, 4.7137, 5.2478, and 47.3079 in Texas, respectively; for estimating the levels of COVID-19 spread these results are 0.2382, 0.5958, and 1.0907 in Florida, 0.3268, 0.3877, and 0.9545 in Texas, respectively. In general, these evaluation results demonstrate that our proposed model performs very well on the spread of COVID-19 in the United States.

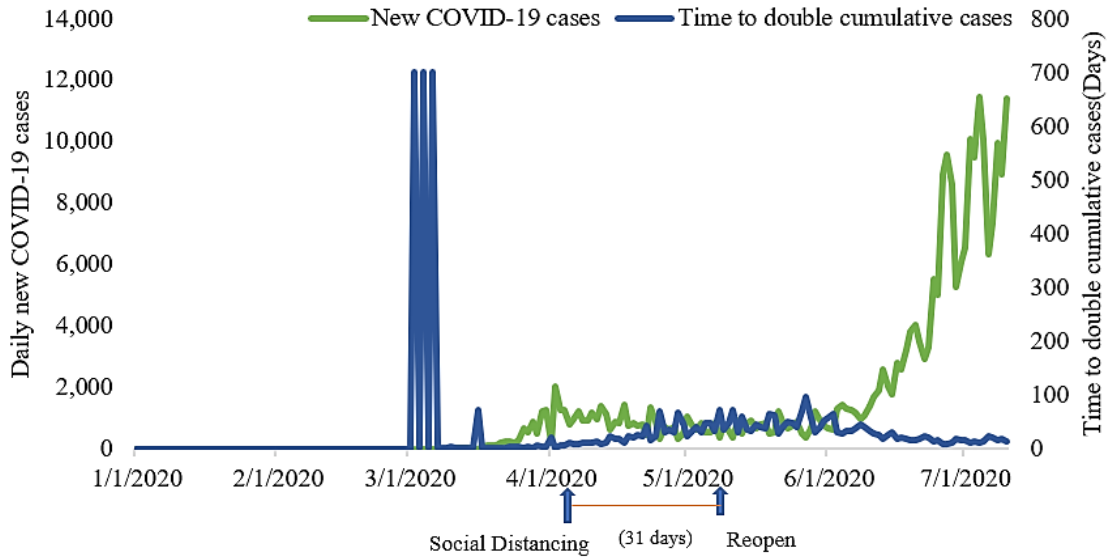
In Figure 13 and Figure 15, we can see that the COVID-19 is still rapid spreading in Florida and Texas. Although these two states still suffer the COVID-19 pandemic, there is a significant development of social distancing in mitigating the spread of COVID-19. From Figure 14 and Figure 16, the results illustrate that Florida and Texas perform very bad in reducing the COVID-19 cases due to the lack of restrict social distancing guidelines. The new daily COVID-19 confirmed cases in Florida and Texas all speed up and the time to double the cumulative cases has not reduce significantly after

reopening the state. It indicates that the consequence of COVID-19 outbreaks due to a lack of lasting and aggressive social distancing interventions. Therefore, we learn that social distancing plays a vital role in mitigating the spread of COVID-19 pandemic in these states.

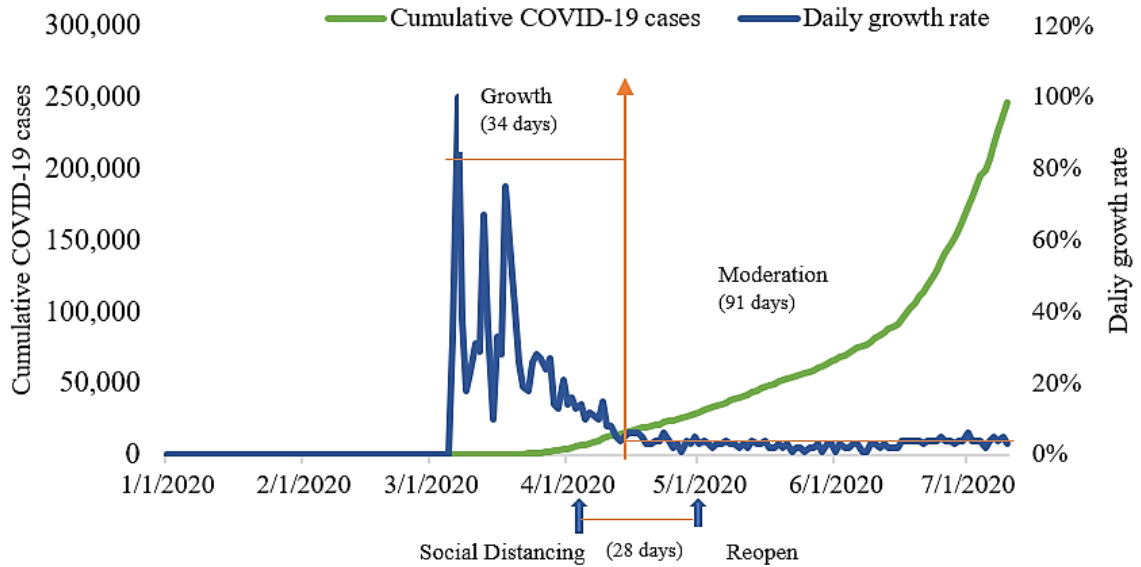
Table 8 shows the summary results of the MBE, MAE, and RMSE evaluation measures acquired from our proposed IPSO-DNN model in the above selected five states. The performance of IPSO-DNN on predicting levels of COVID-19 spread in all five states is better than the daily new COVID-19 cases. It is possible that the value of daily new cases is more random than levels of COVID-19 spread. In general, IPSO-DNN model performs very well on the prediction of COVID-19 based on social distancing influence in all the selected five states. Therefore, it reveals that the effect of social distancing can be represented as mobility metrics which has a significant influence on the COVID-19 spread. The duration of social distancing is also crucial to control this COVID-19 pandemic.



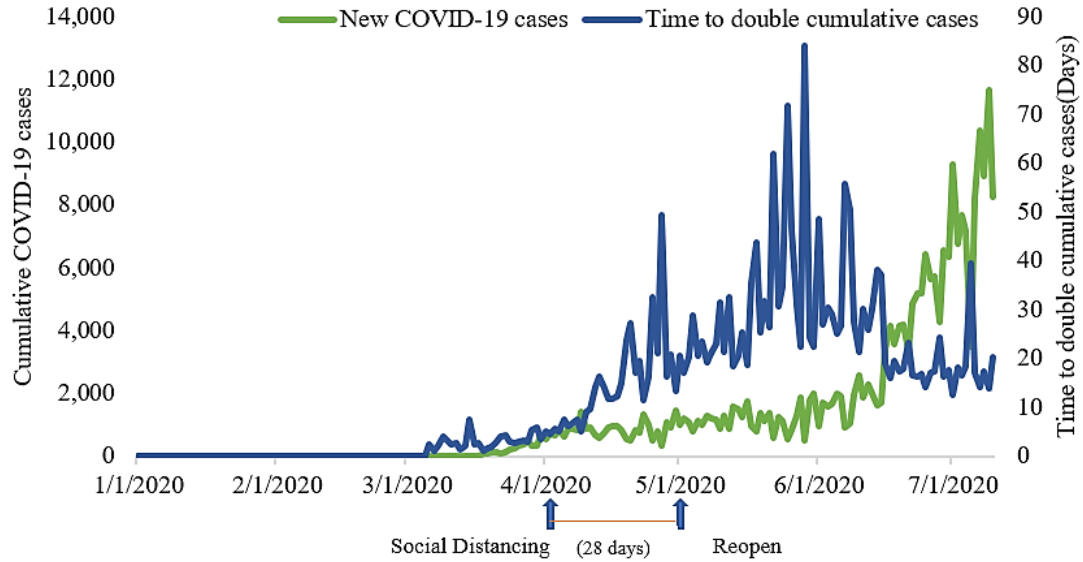
**Figure 13.** Cumulative COVID-19 Cases & Daily Growth Rate in Florida



**Figure 14.** Daily New Cases & Time to Double Cumulative Cases in Florida



**Figure 15.** Cumulative COVID-19 Cases & Daily Growth Rate in Texas



**Figure 16.** Daily New Cases & Time to Double Cumulative Cases in Texas

## 2.6. Conclusions and Future Work

The kernel hyperparameters significantly influence the performance and have to be set and tuned for the DNN model. It is quite time consuming and computational expensive for traditional methods to select the optimal hyperparameters for DNN. Therefore, we utilize the advantages of global and local exploration capabilities from Evolutionary Algorithms (EAs) to improve the hyperparameter configuration for deep learning models. Particle Swarm Optimization (PSO) is a potent and efficient evolutionary method to help the DNN model to find the optimized hyperparameters. However, the PSO tends to converge prematurely on local optima, especially in complex multimodal functions. Therefore, we propose a hybrid IPSO-DNN model, which employs improved PSO to optimize the parameters of the DNN model, by conducting a self-adaptive strategy and generalizing opposition-based learning in the micro population setting. We also analyze the parameters (i.e., micro-population size and the value of

selected probability) on two nonparametric statistic tests, Friedman's and Iman-Davenport's tests to find out the best parameters of IPSO algorithm to improve the performance of DNN.

In Part I, we explore the IPSO based parameter value selection technique optimizes the DNN model by selecting the number of hidden layers, the number of neurons in each layer, and the activation functions in each layer. Our results show that the proposed IPSO-DNN model is useful and efficient in exploring the effect of social distancing in deep learning on the spread of COVID-19. We demonstrate the performance of our proposed hybrid model outperforms than other comparison models, such as IPSO-SVM, IPSO-LR, IPSO-DT, PSO-DNN, and GS-DNN, in terms of prediction accuracy and computing time. The results obtained indicate that the proposed self-adaptive strategy can help IPSO algorithm to adjust the prediction direction and find out optimization parameter for DNN model.

The developed model also explains how social distancing helps Washington, California, New York, Florida, and Texas to flatten the COVID-19 curve in detail and shows that social distancing is essential to control the spread of COVID-19, and the duration and degree of implement social distancing interventions also matter. Therefore, our proposed IPSO-DNN model provides an effective method for tuning the hyperparameters of DNN in a self-adaptive evolutionary way and holds great potential to predict the effect of social distancing on the spread of COVID-19.

As for future work, we intend to explore the IPSO and other improved evolutionary algorithms to optimize larger DNN or other deep learning techniques, such as Convolutional Neural Networks (CNN) and Recurrent Neural Networks (RNN) for

solving multiple and challenging tasks in the emergency management. We will also consider many new powerful activation functions, such as Softplus, MPELU, PreLU, EreLU to improve the performance of deep learning models. Moreover, there are some other improved versions of PSO algorithm, for instance, the proposed exploiting barebones PSO (BBePSO) and a dynamic exploiting barebones PSO (DBBePSO) that performance very well on optimizing hyperparameters. Furthermore, we would consider normalizing the COVID-19 social distancing data to improve model performances and further explore the effect of social distancing on the spread of COVID-19 in the United States. Therefore, we will focus on developing evolutionary algorithms and the systematic adaptation schemes in hyperparameters configurations which will balance the exploration and exploitation of the hyperparameter space in the deep machine learning models.

## **3. PART II: EXPLORING THE CONTAGION EFFECT OF SOCIAL MEDIA ON MASS SHOOTINGS**

### **3.1. Introduction**

Incidents of mass shooting violence galvanize public attention. There has been extensive coverage of many mass shooting incidents in the United States in which large number of people injured or killed over the past decades. Although there is no universally accepted definition of a mass shooting, we follow the definition of Congressional Research Service, that is, a multiple homicide incident in which four or more victims are murdered with firearms—not including the offender(s)—within one event, and at least some of the murders occurred in a public location or locations in close geographical proximity (e.g., a workplace, school, restaurant, or other public settings), and the murders are not attributable to any other underlying criminal activity or commonplace circumstance (e.g., armed robbery, criminal competition, insurance fraud, argument, or romantic triangle) (Krouse & Richardson, 2015). These mass shootings are rare events – they constitute less than 15% of all mass killings in the United States and are responsible for less than 0.5% of all firearm homicides (Duwe, 2020) – however, they have far-reaching impacts on citizens’ mental health, anxiety, and lives lost (Lowe & Galea, 2017).

In the United States, the number of mass shootings has grown steadily over the past few years. This rise in mass shootings has been linked to the “media contagion” theory, which suggests that society’s never-ending news cycle has a “copycat” effect on these crimes (Surette, 2014). It is important to note that the primary media circulating this news are not just television and newspapers anymore, but also social media platforms and online news sources, which become the largest part of communication platforms and



information sources in the world. These new media, including Facebook, Twitter, and online blogs, have made the spread of information about mass shootings nearly effortless. It is no coincidence that connections have been made between social media milestones and the number of mass shooting incidents in the United States.

The spread of information on social media has a contagious effect on crimes. Taking Parkland school shooting on Valentine's Day in 2018 as an example, survivors and witnesses sent videos and news of the events on Snapchat, Facebook, Instagram, and Twitter. In addition, related online communities developed members who treat the shooters as heroes and create fans and followers who obsess about the shooters, wanting to imitate them in terms of how they dress, what expressions they use, and how many people they kill (Raitanen & Oksanen, 2018). Two weeks after the Parkland school shooting, 638 copycat threats targeted schools nationwide. These threats are often joking or hoaxes that spread through social media, but they can still be harmful. Moreover, online platforms like Twitter incite gun violence and spread the manifestos of multiple mass shooters to the public. In general, the spread of mass shooting incidents on social media is very contagious and has a bad impact on society. Furthermore, the heavy social media use leads to higher rates of loneliness, anxiety, and depression, which precipitate mental health factors that could increase the incidence of similar violent events. Therefore, social media plays a significant role in facilitating mass shootings incidents, and if harnessed properly, social media could be used to prevent mass shootings.

As discussed above, the self-excitation contagion effect is found in mass shooting incidents, as the spread of related gun violence information on social media has a contagious effect on mass shootings. Therefore, in Part II, we explore the spread of mass

shooting news and opinions on social media platforms (e.g., Twitter) and how the contagious effect on these incidents is developed. The well-known self-excited contagion model proposed by Hawkes (Hawkes, 1971) has been applied to a wide variety of applications, such as gang violence, civilian deaths, social media data, and financial markets. In this contagion model, recent prior events increase the probability of another event happening in the near future. The first research objective of Part II is to propose a self-excited contagion model to predict the future number of mass shooting incidents in the United States. Second, we explore the contagion effect of social media (i.e., Twitter) in the proposed contagion model by focusing on sentiment analysis of Twitter data in mass shootings. In addition, as we know that there was a COVID-19 pandemic outbreaks in the United States in the unique year of 2020. Despite the response policy of stay-at-home orders and lockdowns to the coronavirus pandemic, according to the mass shooting data provided by the Gun Violence Archive (Gun Violence Archive, 2021), mass shootings in the U.S. have risen sharply of 2020 and there were 610 mass shooting incidents, this gun violence killed nearly 20,000 Americans, more than any other year in at least two decades. Therefore, in order to better predict mass shootings under the COVID-19 pandemic, we also improve the proposed self-excited contagion model with the consideration of social distance practices and daily growth rate of COVID-19 cases in 2020.

The major contributions of Part II are summarized as follows:

- 1) Sentiment analysis on Twitter data using ML models is conducted to forecast the change in public attitudes towards mass shootings over time. One of the major challenges when applying sentiment analysis is how to improve prediction

performance, and we propose to use the improved ML model. Therefore, Support Vector Machine (SVM), Logistics Regression (LR), and IPSO-DNN model are explored to classify and predict a data corpus of 5,287,396 related mass shooting tweets collected from 2013 to 2020. Sentiment prediction results demonstrate that the proposed IPSO-DNN model outperforms SVM and LR models in predicting the accuracy of public attitudes towards mass shootings on Twitter. The IPSO-DNN model provides an insight to improve the performance of sentiment analysis on social media data. Furthermore, experiments present that a positive attitude, such as thinking about mass shooting incidents in a positive way and intending to copycat them in the future, is essential to analyze and predict the number of mass shootings in the United States.

- 2) A self-excited contagion model is proposed to explore the contagion effect of Twitter on mass shootings. The goal of this proposed contagion model is to predict the future number of mass shooting incidents in the United States. The proposed contagion model employs a Power-law kernel function, which fully considers the spread of mass shootings on Twitter and the influence magnitude of each mass shooting incident to explore the contagious effect of Twitter data on mass shootings. Experimental results show that the proposed contagion model has a remarkable ability to predict future mass shootings in the United States. It is also found that the spread of opinions on social media has a convincing contagious effect on mass shootings.
- 3) In order to explore the effect of COVID-19 on mass shootings in 2020, we also improve the proposed contagion model to enhance the prediction performance of

future mass shootings under the COVID-19 pandemic. A challenge of this improvement is how to quantify the impacts of COVID-19 on mass shootings. Therefore, we measure the effects of COVID-19 on mass shootings by introducing the social distancing index and the daily growth rate of COVID-19 cases into the improved contagion model. Results demonstrate that COVID-19 has had a significant impact on mass shooting incidents in the United States in the unique year of 2020. In experiments, the improved contagion model performs very well in predicting the number of mass shootings under the COVID-19 pandemic in 2020.

## **3.2. Literature Review**

**3.2.1. Contagion effect of media on mass shootings.** Studies indicate that the more media attention the gun shooters get, the more likely the event will inspire a future mass shooting incident. A contagion effect has been suggested in which the occurrence of a mass shooting increases the likelihood of another mass shooting in the near future. For instance, Lankford & Tomek (2018) found that media coverage of a mass shooting may increase the frequency and lethality of future shootings in more than two weeks. Jetter & Walker (2018) explored mass shootings between January 1, 2013, and June 23, 2016, and found that 58% of mass shootings can be explainable by news coverage, which will systematically cause future mass shootings. Moreover, the media coverage systematically raises the number of mass shootings in the following four to ten days and the effect reverts to statistical insignificance after approximately 12 days. Murray (2017) suggested that the entertainment-oriented news coverage of mass shootings will provide sources of information and scripts for potential killers to guide them in formulating motives and

organizational behaviors for their violent acts. McGinty, Webster & Barry (2013) tested the effects of news stories about mass shootings on public attitudes towards people with serious mental illness and support for gun control policies. Meindl & Ivy (2017) provided an overview of generalized imitation and discussed how the way the mass shooting is reported by the media can increase the likelihood of another shooting event.

In recent decades, the emergency of several new forms of media (e.g., websites, social media, blogs, smartphone applications) has revolutionized the communication and social interaction paradigms (Ortiz & Khin, 2018). Especially, social media platforms, such as Twitter, Facebook, and Instagram, constitute a major platform for communicating and expressing opinions, and people increasingly rely on social media platforms to learn news and information. Social media is used as the main discussion channel by millions of people every day. However, little is known about the contagion effects of information dissemination on social media. Relevant studies of social media have been only focused on its emotions, information diffusion, and politics, such as Xiong et al. (2018) proposed an emotional independent cascade model, in which individual emotions can affect the subsequent emotions of his/her friends to show the detailed process and characteristics of emotional contagion in social media, and Stieglitz & Dang-Xuan (2013) examined whether the sentiment occurring in social media content is associated with a user's information sharing behavior, and carried out the research in the context of political communication on Twitter. There is little research analyzing the contagion effect on social media. Moreover, with the onset of the COVID-19 pandemic, social media has rapidly become a crucial communication tool for mass shooting information generation, dissemination, and consumption. Despite the United States response to the coronavirus

pandemic using stay-at-home orders and lockdowns, the number of mass shooting incidents has been greatly increased under COVID-19 pandemic in 2020. Therefore, it is very emergent and vital to explore the contagion effect of social media on mass shootings in the United States.

**3.2.2. Contagion model.** Contagion effects, similar to “copycat” effects, refer to behaviors that can be “contagious” and spread across a population. Hawkes (1971) was the first one to develop the well-known self-exciting process based on the counting process, in which the intensity function explicitly depends on all previous events. The self-excited Hawkes process has wide applications. For example, Lewis et al. (2012) developed a self-exciting point process model to characterize temporal patterns of violent civilian deaths. Mitchell & Cates (2009) simulated time series for the Hawkes process provides to analyze the dynamics of YouTube viewing numbers. Mohler et al. (2011) illustrated the implementation of the self-exciting point process model in urban crimes, and used a fully nonparametric estimation methodology to gain insight into the form of the space-time triggering function and temporal trends in the background rate of burglary. Dassios & Zhao (2012) considered the risk process of claim arrival modelled by the dynamic contagion process, which is a generalization of the Cox process and the Hawkes process in the finance and insurance. Rizoïu et al. (2018) established a novel connection between the epidemic model and the Hawkes point processes for online information modeling in geophysics and finance.

Research on the contagious effects of gun violence has become popular recently, but more attention is still needed on this topic. In the context of gun violence, the

contagion effect can be explained as if a single mass shooting (or other gun violence incident) can increase the probability of other similar instances in the near future. For instance, Towers et al. (2015) demonstrated the self-excitation contagion model of mass shootings. According to the recent prior events, media coverage may increase the probability of subsequent events. Lee (2018) explored mass shootings and media contagion theory, and analyzed media activity from mass shootings. The evidence showed that the increased social media usage aligned with the increased number of mass shootings. However, there is no relevant research focusing on predicting future mass shooting incidents involving the study of social media data. Therefore, we will fill this research gap by exploring a self-excited contagion model integrated with sentiment analysis of Twitter data on mass shootings to predict the future number of mass shootings in the United States.

**3.2.3. Sentiment analysis of Twitter data.** Sentiment Analysis is a natural language processing tool where the dataset consists of emotions, attitudes, or assessments that consider the way a human think (Pang & Lee, 2008) and has been widely used in various domains. There is a huge explosion today of 'sentiments' available from social media including Twitter, Facebook, message boards, blogs, and user forums. The sentiment information is very useful for companies and individuals to monitor reputation and get timely feedback about products and actions. Sentiment analysis has been widely applied to emergency management, marketing, politics, online shopping, and public relations over the past few years. For instance, Neppalli et al. (2017) performed sentiment analysis of tweets posted on Twitter during the disastrous Hurricane Sandy and visualize

online users' sentiments on a geographical map centered around the hurricane. However, little attention to sentiment analysis has been paid to gun violence. The most relevant work is Wang et al. (2016) which focused on mass shooting and the public attitudes towards gun-control policy. To the best of our knowledge, we are the first to predict the future number of mass shooting incidents based on the sentiment analysis of Twitter data on mass shootings.

Applying sentiment analysis using ML techniques on Twitter is the new upcoming trend with researchers recognizing the advantage of ML and the scientific trials and its potential applications. For instance, Amolik et al. (2016) proposed a highly accurate model of sentiment analysis of Twitter data with respect to movie reviews, with the help of feature vector and supervised machine learning classifiers. Neethu & Rajasree (2013) analyzed Twitter data by creating a new feature vector in sentiment analysis and compare its performance with different classifiers based on machine learning approach. Pak & Paroubek (2010) built a performed sentiment classifier by using corpus which is automatically collected in tweets, to determine the sentiment polarity in a document. Go, Gautam & Yadav (2014) applied semantic analysis to select feature list, and then compare the measurement of the precision parameters on different machine learning techniques. Kouloumpis & Moore (2011) took a supervised machine learning approach to investigate the utility of linguistic features for detecting the sentiment of twitter data. Mittal & Goel (2012) applied sentiment analysis and machine learning techniques to explore the correlation between public sentiment and market sentiment for the stock prediction. In Part II, one of the major challenges when applying sentiment analysis is how to improve prediction performance accuracy and we propose to use the improved



machine learning model.

### **3.3. Sentiment Analysis of Twitter Data using Machine Learning Models**

**3.3.1. Twitter data.** First, we collect the Twitter data on mass shootings. As we mentioned above, Twitter activities after mass shootings cause “digital waves”, such as the creation of incident specific hashtags, the establishment of certain trends, and the posting and sharing of millions of tweets. Twitter has provided an application programming interface (API) that can be used by developers to access and read Twitter data. A streaming API is also offered to access real-time Twitter data. However, with Twitter's search API, people can only collect 180 requests every 15 minutes in the past seven days, with a maximum number of 100 tweets per claim in the free version. Therefore, we use three Python packages, which are TwitterScraper, GetOldTweets3, and Tweepy to collect Twitter data to avoid such restrictions. We retrieved 5,287,396 tweets related to mass shootings from Twitter over the past 8 years (i.e., from 2013 to 2020) in the United States. The keywords include but are not limited to “shooting”, “mass shooting”, “gun”, “gun shooting”, “killing”, and locations where these incidents occurred. For example, the El Paso mass shooting happened on August 3, 2019. The keywords we have used are “El Paso” and “shooting”, and we set the time window from August 3, 2019 to August 13, 2019 and acquired 29,496 tweets. The exemplary raw dataset is listed in Figure 17.

1	username	fullName	user_id	tweet_id	tweet_url	replies	retweets	likes	text	html
2	SnorkyJr	SnorkyJr	12818342	1.15E+18	/SnorkyJr	0	1	2	Chicago this weekends 9:00a Stupidity Tally: 2 killed, 18 wounded.	<p>
3	Capturedf	Captured	1.98E+08	1.15E+18	/Captured	1	4	7	Chicago: An argument early this morning near Theater on the Lake escalated into a #MassShooting:	<p>
4	Jim_Diam	James D. I	19832305	1.15E+18	/jim_Diam	0	0	0	Remembering the victims of the Aurora theater #shooting. #rampage #MassShooting #DarkKnight #Coloradohttps://www.the	<p class="T
5	Currency4	Currency4	4.45E+09	1.15E+18	/Currency	0	0	0	Two gun-makers' stocks jumped after the San Bernardino shooting. http://snip.ly/Lt57	<p class="T
6	_michaelc	Michael C	1.04E+08	1.15E+18	/_michael	0	0	0	The horrific effect of #GunViolence on our children and the #families that #love them is the focus of this tragic #shortstory. htt	<p class="T
7	Braddockt	Braddock	1.5E+09	1.15E+18	/Braddock	0	0	1	Gun lobby says we should wait after a #MassShooting incident before discussing #GunSafety measures. Is 35 years long	<p>
8	EndAllSufi	End All Su	5.67E+08	1.15E+18	/EndAllSu	0	0	1	#MassShooting approaches	<p>
9	Currency4	Currency4	4.45E+09	1.15E+18	/Currency	0	0	0	Two gun-makers' stocks jumped after the San Bernardino shooting. http://snip.ly/Lt57	<p class="T
10	SnorkyJr	SnorkyJr	12818342	1.15E+18	/SnorkyJr	0	1	2	Chicago this weekends 9:00a Stupidity Tally: 2 killed, 18 wounded.	<p>
11	Capturedf	Captured	1.98E+08	1.15E+18	/Captured	1	4	7	Chicago: An argument early this morning near Theater on the Lake escalated into a #MassShooting:	<p>
12	Jim_Diam	James D. I	19832305	1.15E+18	/jim_Diam	0	0	0	Remembering the victims of the Aurora theater #shooting. #rampage #MassShooting #DarkKnight #Coloradohttps://www.the	<p class="T
13	_michaelc	Michael C	1.04E+08	1.15E+18	/_michael	0	0	0	The horrific effect of #GunViolence on our children and the #families that #love them is the focus of this tragic #shortstory. htt	<p class="T
14	Braddockt	Braddock	1.5E+09	1.15E+18	/Braddock	0	0	1	Gun lobby says we should wait after a #MassShooting incident before discussing #GunSafety measures. Is 35 years long	<p>
15	EndAllSufi	End All Su	5.67E+08	1.15E+18	/EndAllSu	0	0	1	#MassShooting approaches	<p>

**Figure 17.** Examples of the Twitter Raw Dataset

Secondly, we pre-process the Twitter data to make the data more appropriate to understand. The sentiment analysis on Twitter data is a difficult task, because the tweets contain a lot of opinions about the data which are expressed in different ways by individuals. The quality of the data affects the results. Therefore, all the URLs, @username, hashtags, and punctuations in the tweets are eliminated and replaced with normal text. Exemplary processed mass shootings tweets are shown in Table 9.

**Table 9.** Data Preprocessing of Mass Shootings Tweets

Username	...	Tweets	Processed tweets
...	...	What is your plan to reduce #Mass shootings ?	What is your plan to reduce mass shootings?
...	...	A good 100 kills would be nice @gunshooter.	A good 100 kills would be nice.
...	...	We want C.H.A.N.G.E	We want change.

Finally, we label the Twitter data and extract the sentiment feature. Natural language processing (NLP) is a branch of artificial intelligence that deals with the interaction between computers and humans using the natural language. In this research, we use the following two ML libraries to label the sentiment of tweets and by manually checking to obtain higher performance.

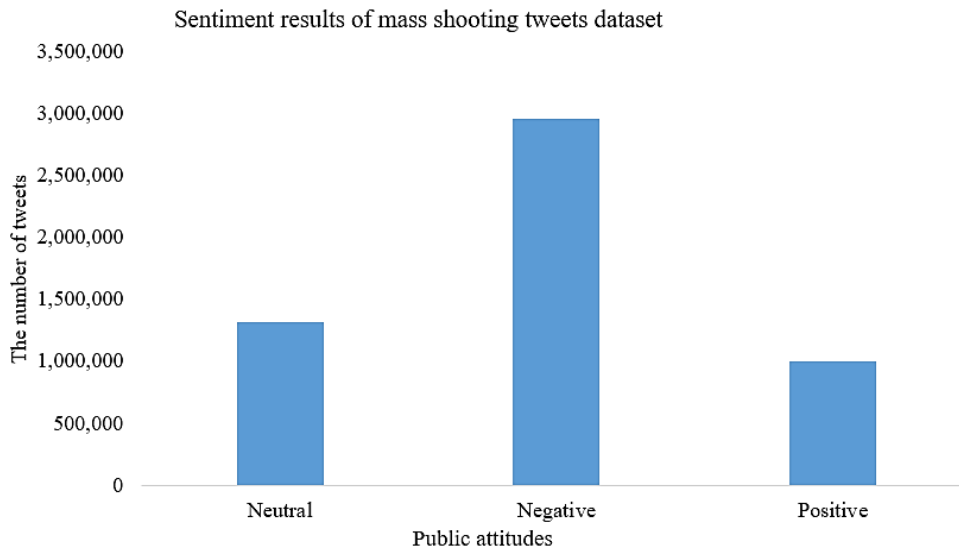
1) TextBlob labeling: It is a Python library and offers a simple API to access its method and perform basic NLP tasks. The polarity of TextBlob is a float value within the range [-1.0, 1.0], where 0 indicates neutral tweets, 1 indicates a very positive sentiment

and -1 represents a very negative sentiment.

2) Valence Aware Dictionary and sEntiment Reasoner (VADER) labeling:

VADER is a lexicon and rule-based sentiment analysis tool that is especially attuned to the sentiment expressed in social media. It not only outputs the positivity and negativity score but also positive, negative, and neutral sentiment results. VADER text sentiment analysis uses a human-centric approach, and combining qualitative analysis and empirical validation by using human raters and the wisdom of the crowd.

Moreover, we convert the positive sentiment of keywords and phrases, such as “pray”, “wish”, and “stay strong” to neutral sentiment in the mass shootings tweets dataset. The sentiment results of the whole dataset are shown in Figure 18. The percentage of Neutral, Negative, and Positive attitude tweets are 25%, 56%, and 19%, respectively.



**Figure 18.** Sentiment Results of Mass Shooting Tweets

The mass shooting tweets are mainly in text format; but for sentiment analysis of the tweets using ML models, numerical matrices are required. Thus, the Term frequency – Inverse document frequency (TF-IDF) method is applied to convert the Twitter data to

numerical vectors. TF-IDF reflects the importance of a word in the corpus or the collection. The value of TF-IDF increases with the increase in the frequency of a particular word in the document. In order to control the generality of more common words, the term frequency is offset by the frequency of words in corpus. Term frequency is the number of times a particular term appears in the text. Inverse document frequency measures the occurrence of any word in all documents ( Tripathy, Agrawal & Rath, 2016). In part II, the TF-IDF is applied to transform the text document into a numerical vector, which is then considered as input to the supervised ML classifiers.

**3.3.2. Machine learning models.** There are three ML models, i.e., SVM, LR, as well as the IPSO-DNN model which is proposed in Part I that are explored to classify and predict the public attitude towards mass shootings in Part II. SVM, LR, and the basic Artificial Neural Network in the proposed IPSO-DNN model are defined as following:

1) Support Vector Machine (SVM): SVM is a popular technique which trains the dataset with feature vectors and uses large margin for classification. It separates Twitter data using a hyper plane. SVM uses the discriminative function defined as:

$$g(x) = w^T \phi(x) + b \tag{7}$$

where  $x$  is the feature vector;  $w$  is the weights vector,  $b$  is the bias vector, and they are learned automatically on the training set.  $\phi$  is the non-linear mapping from input space to high dimensional feature space. ‘ $w$ ’ and ‘ $b$ ’ are learned automatically on the training set.

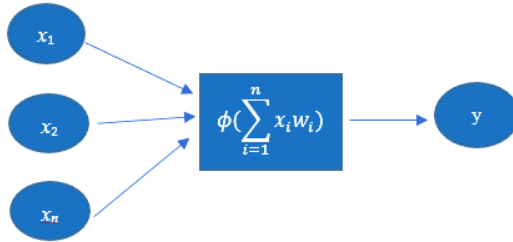
2) Logistic Regression (LR): The logistic regression technique is applied to describe data and analyze the relationship between one dependent binary variable and one

or more nominal ordinal, interval, or ratio-level independent variables. The formula of the logistic regression function is:

$$\text{logit}(p) = \ln\left(\frac{p}{1-p}\right) \quad (8)$$

where  $p$  is the probability parameter between 0 and 1.

3) Artificial Neural Network (ANN): ANN is the basic model that later came to be deep learning for DNN. The artificial neuron contains inputs, synapses, neuron, and output process. The multilayer neuron network has multiple hidden layers. The flow of ANN that only has one layer showed in the Figure 19.



**Figure 19.** The Flow Chart of Artificial Neural Network

where  $w$  is the weight,  $\phi$  is the decision function determines true or false with numerical representation 1 and 0, respectively.

In Part II, IPSO-DNN is applied to classify the dataset of mass shooting tweets, the parameters helpful to evaluate performance of supervised machine learning algorithm is based on the element from a matrix known as confusion matrix. A confusion matrix is a table that is often used to describe the performance of a classification model on a set of test data for which the true values are known. For example, the terms such as “True Positive (TP)”, “False Positive (FP)”, “True Negative (TN)”, “False Negative (FN)” are used to compare label of classes in this matrix. Based on the values obtained from confusion matrix, performance measures such as “precision”, “recall”, “f1-score”, and

“accuracy” are found out for evaluating performance of any classifier. They are defined as follows:

1) Precision: It measures the exactness of the classifier result. It is the ratio of number of examples correctly labeled as positive to total number of positively classified example.

$$Precision = \frac{TP}{TP + FP} \quad (9)$$

2) Recall: It measures the completeness of the classifier result. It is the ratio of total number of positively labeled example to total examples which are truly positive.

$$Recall = \frac{TP}{TP + FN} \quad (10)$$

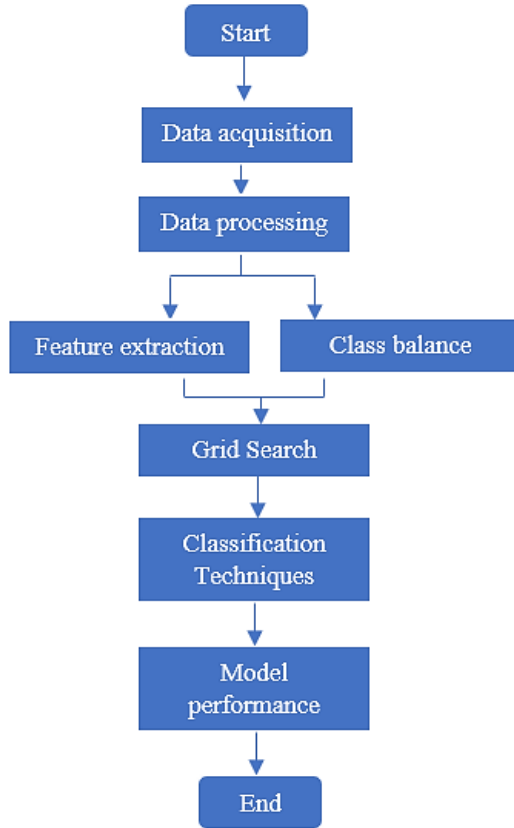
3) f1-score: It is the harmonic mean of precision and recall. It is required to optimize the system towards either precision or recall, which have more influence on final result.

$$f1 = \frac{2 * Precision * Recall}{Precision + Recall} \quad (11)$$

(4) Accuracy: It is the most common measures of classification process. It can be calculated as the ratio of correctly classified example to total number of examples.

$$Accuracy = \frac{TP + TN}{TP + TN + FP + FN} \quad (12)$$

The flow chart of methodology is presented in Figure 20.



**Figure 20.** The Flow Chart of Sentiment Analysis using Machine Learning Models

### 3.3.3. Results and discussions.

#### 1) Support vector machine

Table 10 presents the classification and prediction performance measures in terms of precision, recall, f1-score, accuracy for the SVM model. From Table 10, we can see that the precision accuracy of neural sentiment tweets is 0.84, which is lower than the precision accuracy of negative and positive sentiment tweets. The recall of SVM is better than LR but gives a lower accuracy than the proposed IPSO-DNN model. The overall prediction accuracy is 0.86. The experiment results show that SVM performs well on predicting and classifying the mass shooting tweets.

**Table 10.** Prediction Performance Results of SVM on Mass Shooting Tweets

Performance	Precision	Recall	f1-score
Negative	0.88	0.78	0.83
Neutral	0.84	0.90	0.87
Positive	0.88	0.90	0.89
Macro avg	0.87	0.86	0.86
Weighted avg	0.87	0.86	0.86
Accuracy	0.86		

### 2) Logistics regression

The prediction performance results of the LR model on mass shooting tweets are exhibited in Table 11. As we can see from the performance measures, the recall accuracy of negative tweet is 0.74, which is lower than other two sentiments. Both macro average and weighted average accuracy of LR for predicting mass shooting tweets are 0.86. The overall prediction accuracy of the LR model is 0.86. The performance results demonstrate that the LR model has a good ability to classify the sentiment of mass shooting tweets.

**Table 11.** Prediction Performance Results of LR on Mass Shooting Tweets

Performance	Precision	Recall	f1-score
Negative	0.87	0.74	0.80
Neutral	0.83	0.91	0.87
Positive	0.89	0.91	0.90
Macro avg	0.86	0.86	0.86
Weighted avg	0.86	0.86	0.86
Accuracy	0.86		

### 3) The proposed IPSO-DNN model

The prediction performances of the proposed IPSO-DNN model in terms of precision, recall, and f1-score measures are provided in Table 12. In Table 12, the overall accuracy of the proposed IPSO-DNN model is 0.89. We can know that the proposed IPSO-DNN model performs very well on classifying and predicting the sentiments of mass shooting tweets. The recall and f1-score for predicting the negative, neutral, and positive tweets are all higher than 0.84. The proposed IPSO-DNN model is very much



capable to learn and model non-linear and complex relationships. Therefore, it will obtain better accuracy in more complex classifications with large amounts of data.

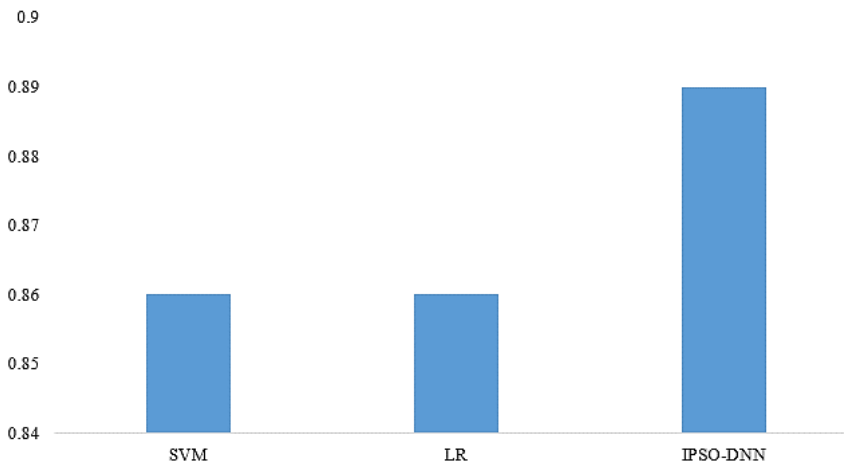
**Table 12.** Prediction Performance Results of the Proposed IPSO-DNN Model on Mass Shooting Tweets

Performance	Precision	Recall	f1-score
Negative	0.78	0.95	0.85
Neutral	0.94	0.84	0.89
Positive	0.96	0.88	0.92
Macro avg	0.89	0.89	0.89
Weighted avg	0.90	0.89	0.89
Accuracy	0.89		

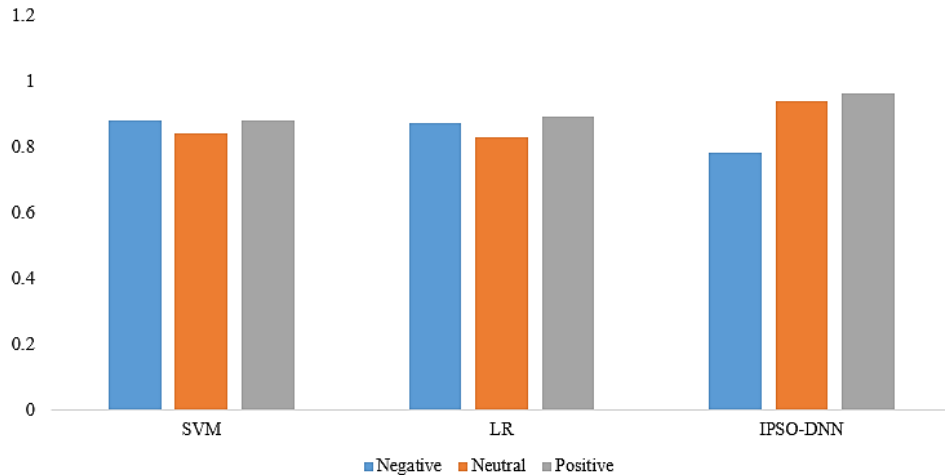
The performance comparisons of SVM, LR, and the proposed IPSO-DNN model in terms of the accuracy, precision, and recall measures are presented in Figure 21, Figure 22, and Figure 23, respectively. From Figure 21, we can know that the prediction accuracy of SVM, LR, and IPSO-DNN for sentiment analysis of mass shooting tweets are 86%, 86%, and 89%, respectively. According to the prediction performance results, we can see that the proposed IPSO-DNN model has better precision compared with the SVM and LR models, but slightly lower recall on predicting neutral and positive tweets. As shown in Figure 22, the precision results obtained by IPSO-DNN model in predicting neutral and positive tweets are better than those of SVM and LR. This is probably because that SVM and LR are just non-probabilistic linear classifiers, which have good prediction results when analyzing a single word. Moreover, we can learn from Figure 23 that SVM and LR perform same in classifying the sentiments of mass shooting tweets. The above experiments indicate that the three ML models all perform very well on sentiment analysis of Twitter data in mass shootings. However, the proposed IPSO-DNN model enhances the prediction performance of sentiment analysis in Twitter data on mass shootings. Three possible reasons why the proposed IPSO-DNN model is superior to LR

and ANN in this analysis are as follows:

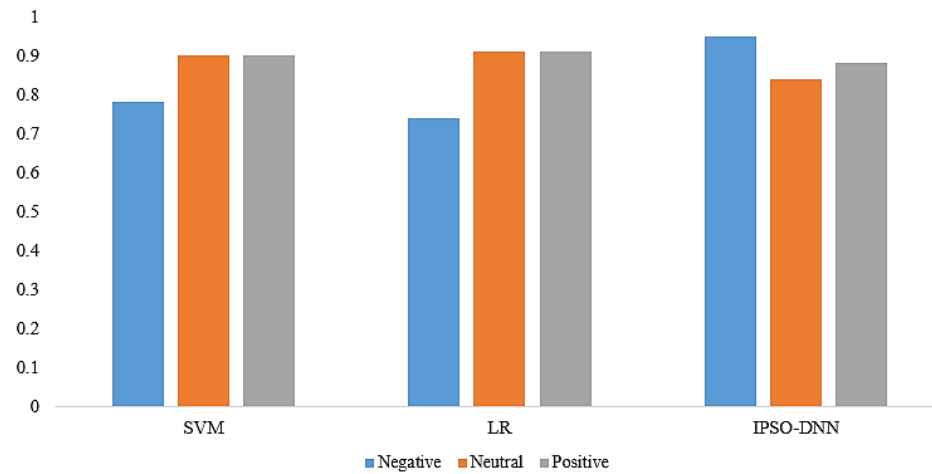
Firstly, the proposed IPSO-DNN model employs an improved Particle Swarm Optimization algorithm to optimize the hyperparameters of DNN, making the IPSO-DNN model more effective in the high-dimensional space where the number of dimensions is greater than the number of samples. Secondly, Neural Network requires a large number of input data if compared to SVM. The more data fed into the network, the better it will generalize and accurately make predictions with fewer errors. On the other hand, SVM and LR require much fewer input data. Moreover, LR performs badly in solving non-linear problems since its decision surface is linear. Finally, the IPSO-DNN model is relatively memory efficient to predict and classify the mass shooting tweets. ANN with multiple hidden layers called Deep Neutral Networks (DNN) is able to learn hidden relationships without imposing any fixed relationships in the data. Therefore, it performs better in predicting the higher volatility and non-constant variance data.



**Figure 21.** The Accuracy Performance Comparison of Different ML Models



**Figure 22.** The Precision Measures Comparison of Different ML Models



**Figure 23.** The Recall Measures Comparison of Different ML Models

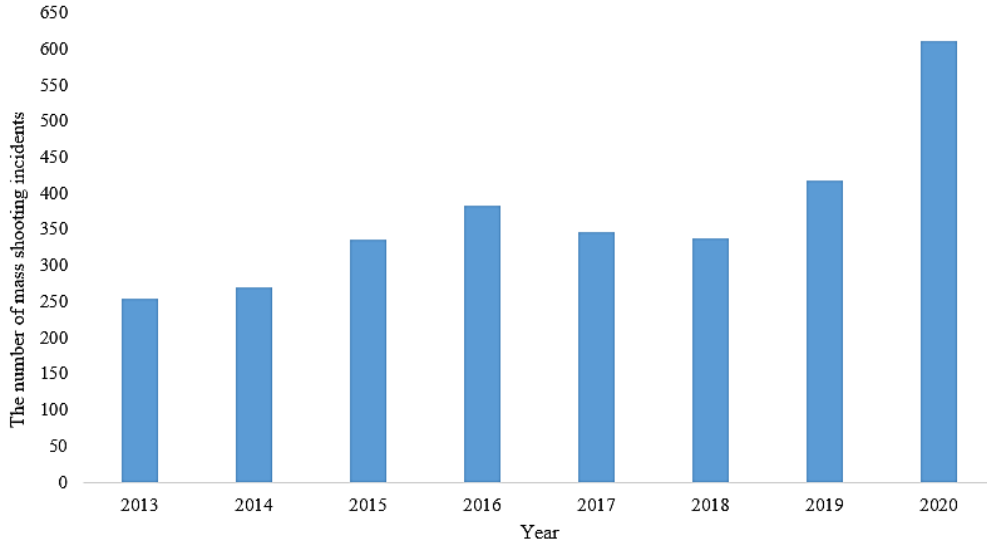
### 3.4. Self-excited Contagion Models Integrated with Twitter Prediction

**3.4.1. Mass shootings data.** In this section, we describe the mass shooting incidents in the United States over the past 8 years and along with a description of the source of mass shootings data used in this Part II. Since there are currently no comprehensive federal repositories of data on mass shootings in the United States, we rely on the mass shootings data compiled by private organizations. From 2013, some comprehensive mass shooting database of all mass public shootings have been created

that examine community-level socio-ecological factors of where mass public shootings take place, including, but not limited to, crime rates, measures of social inequality, community mobility, availability of mental health resources, and prevalence of gun stores.

Therefore, in this Part II, we research mass shooting incidents that between 2013 to 2020 from the Gun Violence Archive mass shooting data. It provides more accurate, unbiased, unfiltered data on gun violence in the United States. The Gun Violence Archive study did not rely solely upon the Federal Bureau of Investigation (FBI) data from the FBI Supplemental Homicide Reports, but also collect hundreds of media reports, police documents, and other resources daily to compile a list of mass shooting incidents that involved four people or more shot or killed, not including the shooter.

The whole mass shooting incident data is shown in Figure 24. There are 2,950 mass shooting incidents in the United States from 2013 to 2020. From Figure 24, we can see that mass shooting incidents steadily increase over the past 8 years. Especially, despite the United States response to the COVID-19 pandemic using sporadic stay-at-home orders and lockdowns, mass shootings in the United States have risen sharply in 2020. There have been 610 mass shootings in 2020, which is the most mass shooting incidents recorded from 2013 to 2020 in the United States. Data from the Gun Violence Archive presents that the number of mass shootings first spiked in April 2020 and has stayed high since. The rise in mass shootings results in the more damage to families, communities, and the nation. Therefore, it is very significant to predict future mass shootings in the United States and reduce future similar tragedies as could as possible.



**Figure 24.** The Number of Mass Shootings from 2013 to 2020 in the United States

**3.4.2. The proposed contagion model.** According to the attitude classification and prediction about Twitter data using ML models from Section 2.3.2, in this section we develop the self-excited contagion models to predict the future number of mass shooting incidents in the United States. In a self-excited contagion model, recent prior events increase the probability of another event happening in the near future. We propose a self-excited contagion model that employs a power-law distribution to simulate this process for predicting future mass shootings. The proposed contagion model explores the contagious influences on future possible similar incidents with the consideration of public attitudes toward mass shootings on Twitter.

The notation of proposed self-excited contagion model is shown in Table 13. In this proposed contagion model, a positive attitude rate  $p$  from the prediction results of ML models and a magnitude of mass shooting influence indicator  $m$  are introduced based on a Hawkes self-excited process model (Rizoiu et al., 2017). The larger positive attitude rate, the more similar mass shootings will happen in the future. The value of  $m$  is the sum

of injured and killed in each mass shooting incident. The formulations of proposed self-excited contagion model are presented in Equations (13) and (14). Equation (13) is the event intensity function of the proposed contagion model, in which  $\lambda(t)$  is conditional intensity of a non-homogeneous Poisson process over time  $t$ . Equation (14) shows the developed power-law kernel function  $\phi(x)$  that measures the contagious effect of mass shootings over time. Figure 24 indicates that contagion effects of mass shootings decay over time in the proposed power-law kernel function. The spread of public attitude and magnitude of mass shooting influence are introduced in this kernel function to explore how public attitude impact on future mass shootings.

In the experiments shown in Section 3.4.4, several comparison contagion models with negative attitude rate and without the attitude rate indicator are applied to indicate how significant it is to spread positive attitudes on social media to have an impact on mass shootings. Moreover, a maximum likelihood estimation approach (Wang, Kaplan & Abdelzaher, 2012) is applied to enhance the proposed model's robustness and prediction performance. In short, the proposed self-excited contagion model focuses on the magnitude of influence on mass shootings from the available dataset of mass shooting incidents and the spread of public attitudes toward mass shootings on Twitter over the past 8 years in the United States.

**Table 13.** The Notation of the Proposed Self-Excited Contagion Model

Notation	Interpretation
$\lambda(t)$	Conditional intensity of a non-homogeneous Poisson process.
$\phi_{m_i}(t)$	Triggering kernel. Contribution of event the (m, t) to the total event rate, calculated at time $t + t_i$ .
$t$	The mass shooting incident occur time (days).
$k$	The effect of mass shooting incidents, which scales the subsequent events occurred rate, $k > 0$ .
$p$	Positive attitude rate toward mass shootings in a time period, $0 < p < 1$ .
$m$	The number of being killed and injured in each mass shooting incident.
$\beta$	The warping effect for mass shootings, $\beta > 0$ .
$k(pm)^\beta$	The magnitude of mass shooting incidents influence.
$c$	The waiting times. Temporal shift cutoff term so that keep $\phi_{m_i}(t)$ bounded when $t \approx 0$ , $c > 0$ .
$1 + \theta$	The power-law exponent, describing how fast an event is forgotten, $\theta > 0$ .

The formulation of the proposed self-excited contagion model is shown as follows:

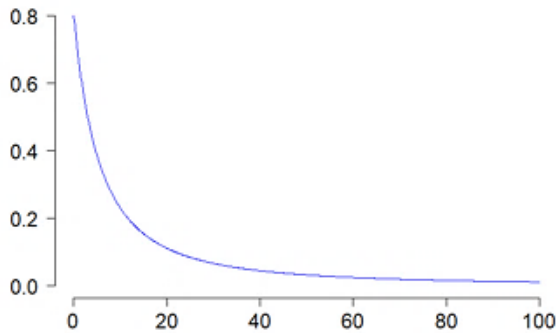
- (1) The event intensity function of contagion:

$$\lambda(t) = \sum_{t_i < t} \phi_{m_i}(t - t_i) \quad (13)$$

- (2) The power-law kernel function of contagion model:

$$\phi(x) = k(pm)^\beta (x + c)^{-(1+\theta)} \quad (14)$$

Power Law memory kernel  $\phi_m(\tau)$  over time

**Figure 25.** The Change of Contagious Effects in Power Law Kernel Function

**3.4.3. Improved contagion model for COVID-19.** In order to analyze the reason of why mass shootings have sharply risen under the COVID-19 pandemic in 2020, we improve the above proposed contagion model to better predict the number of mass shootings in the COVID-19 situation. In Part II, social distancing index and daily growth rate of COVID-19 cases are introduced to the improved contagion model. Firstly, social distancing related measures include avoiding mass gathering, closing schools and non-essential business, issuing mandatory stay-at-home orders, and having travel restrictions. The social distancing takes many forms, and the nature is to keep people apart from each other by confining them to their homes in order to reduce contact rates. From the University of Maryland COVID-19 Impact Analysis Platform, we can obtain social distancing index that takes value from 0 to 1. 0 indicates no social distancing is observed in the community, while 1 indicates all residents are staying at home and no visitors are entering the county (Maryland Transportation Institute. 2020). Secondly, daily growth rate of COVID-19 cases is the percentage increase in cumulative COVID-19 cases in the United States (Tellis et al., 2020). There is a possibility that the spread of COVID-19 hampered anti-crime efforts, and the attendant shutdowns compounded unemployment and stress at a time when schools and other community programs were closed or online. The additional notation of the improved contagion model under the COVID-19 pandemic is described in Table 14. Equation (15) presents the proposed Power Law kernel function of the improved contagion model formulation. We can learn from it that the larger the social distancing index, the less mass public shootings in the future, and the larger the daily growth rate, the more future similar mass shooting incidents.



**Table 14.** The Additional Notation of the Improved Contagion Model for COVID-19

Notation	Interpretation
$s$	Social distancing index, it represents the practice degree residents and visitors are social distancing, $0 < s < 1$ .
$d$	Daily growth rate of COVID-19 in the United States. $0 < d < 1$ .

The proposed power-law kernel function of the improved contagion model:

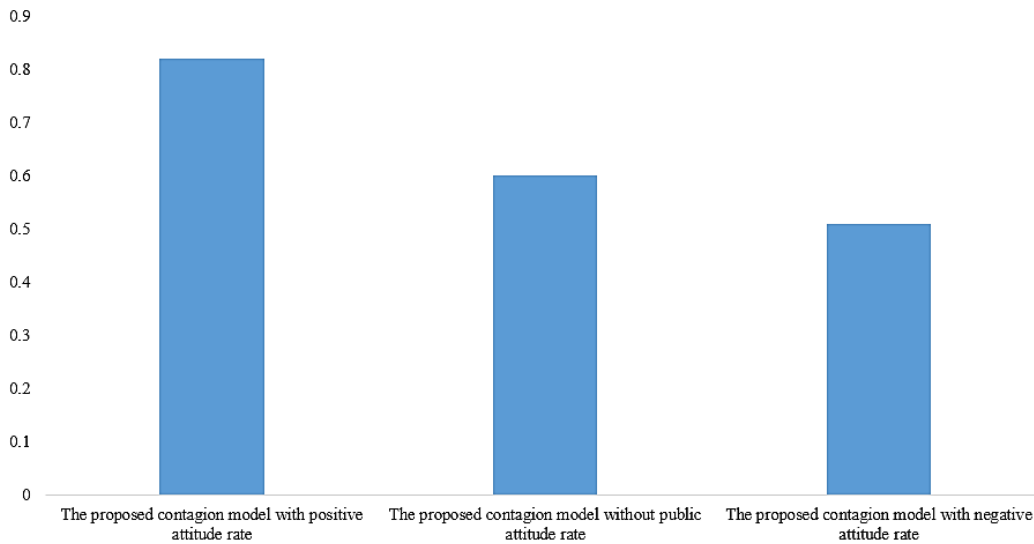
$$\phi(x) = sk(pm)^{d\beta} (x + c)^{-(1+\theta)} \quad (15)$$

**3.4.4. Results and discussions.** In order to evaluate the performance of the proposed self-excited contagion models on predicting future mass shootings in the United States, we conduct several experiments with some comparison variant models. All the experiments in this section are conducted using R language on a 4-core machine with 3.60 GHz Intel® Core™ i7-7700 CPU and 16 GB RAM. All models independently run 30 times in experiments. The significance level of these non-parametric statistical experiments is 5%.

1) The proposed contagion model prediction results

In this experiment, we compare the performance of the proposed contagion model with positive attitude rate, one variant contagion model without public attitude, and one variant contagion model with negative attitude rate on predicting the number of mass shootings from 2013 to 2020. The public attitude rates are obtained from sentiment analysis of Twitter data on mass shootings using the proposed IPSO-DNN model. The comparison prediction results are shown in Figure 26. From Figure 26, we can see that the prediction accuracy results of positive attitude, non-public attitude, and negative attitude contagion models are 0.82, 0.60, and 0.51, respectively. The results demonstrate that the proposed contagion model has a great potential to predict future mass shooting

incidents and the spread of positive attitudes toward mass shootings plays a very significant role on measuring contagious effects of social media on mass shootings.



**Figure 26.** Prediction Accuracy Results of Different Contagion Models

## 2) The improved contagion model prediction results

In order to fully evaluate the prediction performance of the improved self-excited contagion model under the COVID-19 pandemic in 2020, we conduct several experiments to predict the number of mass shootings based on different time frames. As we discussed above, the proposed contagion model integrated with positive sentiment prediction results outperforms other comparison models. Therefore, we compare the prediction accuracy of the first proposed contagion model with the second improved contagion model for the COVID-19 in 2020 in this experiment. The prediction results are shown in Table 15. As we can know from Table 15, the prediction accuracy obtained from the improved contagion model from 2013 to 2020 are 0.84, 0.85, 0.83, 0.81, 0.86, 0.85, 0.87, and 0.71, respectively. We can learn that the prediction accuracy results of this proposed contagion model in 2020 is the lowest when compared to the accuracy of each year from 2013 to 2019. Moreover, in order to better analyze the effect of COVID-

19 on mass shootings, we conduct extra experiments and learn that the prediction accuracy from 2013 to 2019 and from 2013 to 2020 are 0.87 and 0.75. These prediction results all indicate that the proposed contagion model has the ability to predict the number of mass shootings from 2013 to 2019, however, it performs very badly on predicting the number of mass shooting incidents in 2020 when the COVID-19 pandemic involved. Therefore, we explore the improved contagion model that employs two features of COVID-19 pandemic, one is social distancing index and the other is daily growth rate of COVID-19 cases, to predict the number of mass shootings in 2020. The improved contagion model enhances the performance accuracy from 0.71 to 0.88. It is a significant improvement on measuring the contagious effect of social media on mass shootings under the COVID-19 pandemic. The experiment results also prove that not only the spread of positive attitudes towards mass shootings on Twitter, but also the social distancing measures and the spread of COVID-19 both are essential to analyze and predict future mass shootings under the situation of coronavirus pandemics.

**Table 15.** The Prediction Results of Different Contagion Models from 2013 To 2020

Years	The proposed contagion model	The improved contagion model for COVID-19 pandemic
2013	0.84	
2014	0.85	
2015	0.83	
2016	0.81	
2017	0.86	
2018	0.85	
2019	0.87	
2020	0.71	0.88

### 3.5. Conclusions and Future Work

Social media plays a very significant role on the spread of mass shootings over the past decades in the United States. The spread of information on social media has a

contagion effect on crimes. However, compare to traditional media, less attention of the contagion effect of social media on mass shooting incidents has been given over the past few years. Therefore, in Part II, we explore the public attitudes toward mass shootings on social media and measure the associated contagious to predict the future number of mass shootings.

Firstly, we conduct sentiment analysis of Twitter data on mass shootings, collect and pre-process the related mass shooting tweets in Python, as well as extract people's opinions towards mass shootings on Twitter. We then explore different machine learning (ML) models to forecast the change on the public's attitudes over time, including the Support Vector Machine (SVM), Logistic Regression (LR) and the proposed IPSO-DNN model. The performance results show that the proposed IPSO-DNN model have a good ability to classify and predict the sentiments of mass shooting tweets.

Secondly, we develop a self-excited contagion model to predict the number of future mass shootings by focusing on the magnitude of influence of mass shootings and the spread of public attitudes on Twitter. The experiment results demonstrate that sentiment analysis is crucial to measure and predict the contagious effect of social media on mass shootings in the United States. Moreover, in order to explore the contagious influences on future possible similar mass shooting incidents under the COVID-19 pandemic in 2020, we also improve the proposed contagion model that employs social distancing index and daily growth rate of COVID-19 cases for mass shooting prediction. The results demonstrate that the proposed self-excited contagion models perform very well on predicting future mass shootings in the United States.

In the future work, for the sentiment analysis, the ensemble classifier technique

tries to combine different ML classifiers to do the best classification and prediction. Therefore, we will consider combining ML models and exploring other improved evolutionary algorithms to optimize other powerful deep learning models to obtain a higher accuracy on predicting the sentiment of Twitter data on mass shootings. For the mass shooting prediction, we will explore the relationship between mass shootings and location information of tweets, mental health treatment, and gun control policy. In addition, we will collaborate with local law enforcements to develop a “social media early alerting tool” to proactively identify and reactively monitor mass shooting threats across platforms in the United States.

## REFERENCES

- Abbasimehr, H., Shabani, M., & Yousefi, M. (2020). An optimized model using LSTM network for demand forecasting. *Computers & industrial engineering*, *143*, 106435.
- Amolik, A., Jivane, N., Bhandari, M., & Venkatesan, M. (2016). Twitter sentiment analysis of movie reviews using machine learning techniques. *international Journal of Engineering and Technology*, *7*(6), 1-7.
- Beigi, G., Hu, X., Maciejewski, R., & Liu, H. (2016). An overview of sentiment analysis in social media and its applications in disaster relief. In *Sentiment analysis and ontology engineering*, 313-340. [https://doi.org/10.1007/978-3-319-30319-2\\_13](https://doi.org/10.1007/978-3-319-30319-2_13)
- Branswell, H. A. (2020). Washington State could see explosion in coronavirus cases, study says. *STAT*. Retrieved from <https://www.statnews.com/2020/03/03/washington-state-risks-seeing-explosion-in-coronavirus-without-dramatic-action-new-analysis-says/> Accessed Oct 02, 2020.
- Cals, B., Zhang, Y., Dijkman, R., & van Dorst, C. (2021). Solving the online batching problem using deep reinforcement learning. *Computers & Industrial Engineering*, *156*, 107221.
- Chaves, A. A., Gonçalves, J. F., & Lorena, L. A. N. (2018). Adaptive biased random-key genetic algorithm with local search for the capacitated centered clustering problem. *Computers & Industrial Engineering*, *124*, 331-346.
- Chen, X., Yu, K., Du, W., Zhao, W., & Liu, G. (2016). Parameters identification of solar cell models using generalized oppositional teaching learning based optimization. *Energy*, *99*, 170-180.

- Darwish, A., Ezzat, D., & Hassanien, A. E. (2020). An optimized model based on convolutional neural networks and orthogonal learning particle swarm optimization algorithm for plant diseases diagnosis. *Swarm and Evolutionary Computation*, 52, 100616.
- Dassios, A., & Zhao, H. (2012). Ruin by dynamic contagion claims. *Insurance: Mathematics and Economics*, 51(1), 93-106.
- Dhayne, H., Kilany, R., Haque, R., & Taher, Y. (2021). EMR2vec: Bridging the gap between patient data and clinical trial. *Computers & Industrial Engineering*, 156, 107236.
- El-Abd, M. (2012). Generalized opposition-based artificial bee colony algorithm. In 2012 *IEEE congress on evolutionary computation*, 1-4.  
<https://www.doi.org/10.1109/CEC.2012.6252939>
- Farboodi, M., Jarosch, G., & Shimer, R. (2020). Internal and external effects of social distancing in a pandemic. *National Bureau of Economic Research*.  
<https://www.doi.org/10.3386/w27059>
- Fong, M. W., Gao, H., Wong, J. Y., Xiao, J., Shiu, E. Y., Ryu, S., & Cowling, B. J. (2020). Nonpharmaceutical measures for pandemic influenza in nonhealthcare settings—social distancing measures. *Emerging infectious diseases*, 26(5), 976.
- Friedman, M. (1937). The use of ranks to avoid the assumption of normality implicit in the analysis of variance. *Journal of the american statistical association*, 32(200), 675-701.

- Gang, M., Wei, Z., & Xiao, L. C. (2012). A novel particle swarm optimization algorithm based on particle migration. *Applied Mathematics and Computation*, 218(11), 6620-6626.
- García, S., Molina, D., Lozano, M., & Herrera, F. (2009). A study on the use of non-parametric tests for analyzing the evolutionary algorithms' behaviour: a case study on the CEC'2005 special session on real parameter optimization. *Journal of Heuristics*, 15(6), 617-644.
- Gaspar, R., Pedro, C., Panagiotopoulos, P., & Seibt, B. (2016). Beyond positive or negative: Qualitative sentiment analysis of social media reactions to unexpected stressful events. *Computers in Human Behavior*, 56, 179-191.
- Gautam, G., & Yadav, D. (2014). Sentiment analysis of twitter data using machine learning approaches and semantic analysis. In *2014 Seventh International Conference on Contemporary Computing (IC3)*, 437-442.  
<https://ieeexplore.ieee.org/document/6897213>
- Go, A., Huang, L., & Bhayani, R. (2009). Twitter sentiment analysis. *Entropy*, 17, 252.
- Gold, M. and Stevens, M. (2020). "What Restrictions on Reopening Remain in New York?," *The New York Times*. Retrieved from <https://www.nytimes.com/article/new-york-phase-reopening.html> Accessed Oct 5, 2020.
- Goodfellow, I., Bengio, Y., Courville, A., & Bengio, Y. (2016). Deep learning, *Cambridge: MIT press*, 1, 2.
- Greenstone, M., & Nigam, V. (2020). Does social distancing matter?. *University of Chicago, Becker Friedman Institute for Economics Working Paper*, 2020-26.  
<http://dx.doi.org/10.2139/ssrn.3561244>



- Gun Violence Archive. (2021). Gun Violence Archive Reports. Retrieved from <https://www.gunviolencearchive.org/reports> Accessed Jan 24, 2021.
- Han, S., Liu, X., Mao, H., Pu, J., Pedram, A., Horowitz, M. A., & Dally, W. J. (2016). EIE: Efficient inference engine on compressed deep neural network. *ACM SIGARCH Computer Architecture News*, 44(3), 243-254.
- Hawkes, A. G. (1971). Point spectra of some mutually exciting point processes. *Journal of the Royal Statistical Society: Series B (Methodological)*, 33(3), 438-443.
- Hop, D. C., Van Hop, N., & Anh, T. T. M. (2021). Adaptive particle swarm optimization for integrated quay crane and yard truck scheduling problem. *Computers & Industrial Engineering*, 153, 107075.
- Jasmine, J. (2020). Texas and Florida report record average daily coronavirus deaths as hospitalizations also rise. *CNBC*. Retrieved from <https://www.cnbc.com/2020/07/21/texas-and-florida-report-record-average-daily-coronavirus-deaths-as-hospitalizations-also-rise.html> Accessed Oct 5, 2020.
- Jetter, M., & Walker, J. (2018). The Effect of Media Coverage on Mass Shootings. <https://www.iza.org/publications/dp/11900/the-effect-of-media-coverage-on-mass-shootings>
- Kennedy, J., & Eberhart, R. (1995). Particle swarm optimization. In *Proceedings of ICNN'95-international conference on neural networks*, 4, 1942-1948.
- Kouloumpis, E., Wilson, T., & Moore, J. (2011). Twitter sentiment analysis: The good the bad and the omg! In *Fifth International AAAI conference on weblogs and social media*. <http://crowdsourcing-class.org/assignments/downloads/kouloumpis-et-al.pdf>

- Lankford, A., & Tomek, S. (2018). Mass killings in the United States from 2006 to 2013: social contagion or random clusters?. *Suicide and Life-Threatening Behavior*, 48(4), 459-467.
- Lee, K. J. (2018). Mass shootings and media contagion theory: Social media's influence on frequency of incidents. *Elon Journal of Undergraduate Research in Communications*, 9(2), 27-35.
- Lewis, E., Mohler, G., Brantingham, P. J., & Bertozzi, A. L. (2012). Self-exciting point process models of civilian deaths in Iraq. *Security Journal*, 25(3), 244-264.
- Liang, J. J., Qin, A. K., Suganthan, P. N., & Baskar, S. (2006). Comprehensive learning particle swarm optimizer for global optimization of multimodal functions. *IEEE transactions on evolutionary computation*, 10(3), 281-295.
- Linder, M. (2020), "California's First Case of Coronavirus Confirmed in Orange County," *NBC Bay Area*. Retrieved from <https://www.nbcbayarea.com/news/california/first-case-of-coronavirus-confirmed-in-californias-orange-county/2221025/> Accessed May 25, 2020.
- Lorenzo, P. R., Nalepa, J., Kawulok, M., Ramos, L. S., & Pastor, J. R. (2017). Particle swarm optimization for hyper-parameter selection in deep neural networks. In *Proceedings of the genetic and evolutionary computation conference*, 481-488. <https://doi.org/10.1145/3071178.3071208>

- Malitsky, Y., Mehta, D., O'Sullivan, B., & Simonis, H. (2013). Tuning parameters of large neighborhood search for the machine reassignment problem. In *International Conference on AI and OR Techniques in Constraint Programming for Combinatorial Optimization Problems*, 176-192. [https://doi.org/10.1007/978-3-642-38171-3\\_12](https://doi.org/10.1007/978-3-642-38171-3_12)
- Maryland Transportation Institute. (2020). University of Maryland COVID-19 Impact Analysis Platform. Retrieved from <https://data.covid.umd.edu/> Accessed May 24, 2020.
- McGinty, E. E., Webster, D. W., & Barry, C. L. (2013). Effects of news media messages about mass shootings on attitudes toward persons with serious mental illness and public support for gun control policies. *American Journal of Psychiatry*, *170*(5), 494-501.
- Meindl, J. N., & Ivy, J. W. (2017). Mass shootings: The role of the media in promoting generalized imitation. *American journal of public health*, *107*(3), 368-370.
- Mitchell, L., & Cates, M. E. (2009). Hawkes process as a model of social interactions: a view on video dynamics. *Journal of Physics A: Mathematical and Theoretical*, *43*(4), 045101.
- Mittal, A., & Goel, A. (2012). Stock prediction using twitter sentiment analysis. *Stanford University, CS229*, 15.  
<http://cs229.stanford.edu/proj2011/GoelMittal-StockMarketPredictionUsingTwitterSentimentAnalysis.pdf>

- Mohler, G. O., Short, M. B., Brantingham, P. J., Schoenberg, F. P., & Tita, G. E. (2011). Self-exciting point process modeling of crime. *Journal of the American Statistical Association*, 106(493), 100-108.
- Murray, J. L. (2017). Mass media reporting and enabling of mass shootings. *Cultural Studies↔ Critical Methodologies*, 17(2), 114-124.
- Neethu, M. S., & Rajasree, R. (2013). Sentiment analysis in twitter using machine learning techniques. In *2013 Fourth International Conference on Computing, Communications and Networking Technologies (ICCCNT)*, 1-5.  
<https://ieeexplore.ieee.org/document/6726818>
- Neppalli, V. K., Caragea, C., Squicciarini, A., Tapia, A., & Stehle, S. (2017). Sentiment analysis during Hurricane Sandy in emergency response. *International journal of disaster risk reduction*, 21, 213-222.
- Olson, D. (2020). Medical experts: Florida, Texas illustrate reopening dangers. *Newsday*. Retrieved from <https://www.newsday.com/news/health/coronavirus/transmission-of-virus-on-national-scale-1.46107838> Accessed: Oct 5, 2020.
- Ortiz, P., & Khin Khin, E. (2018). Traditional and new media's influence on suicidal behavior and contagion. *Behavioral sciences & the law*, 36(2), 245-256.
- Pak, A., & Paroubek, P. (2010). Twitter as a corpus for sentiment analysis and opinion mining. In *LREc, Vol. 10*, 1320-1326.
- Pang, B., & Lee, L. (2008). Opinion mining and sentiment analysis. *Foundations and trends in information retrieval*, 2(1-2), 1-135.

- Pang, B., Lee, L., & Vaithyanathan, S. (2002). Thumbs up? : sentiment classification using machine learning techniques. In *Proceedings of the ACL-02 conference on Empirical methods in natural language processing-Volume 10*, 79-86. Association for Computational Linguistics.
- Pan, Y., Darzi, A., Kabiri, A., Zhao, G., Luo, W., Xiong, C., & Zhang, L. (2020). Quantifying human mobility behavior changes in response to non-pharmaceutical interventions during the COVID-19 outbreak in the United States. <https://arxiv.org/abs/2005.01224>
- Piotrowski, A. P., Napiorkowski, J. J., & Piotrowska, A. E. (2020). Population size in particle swarm optimization. *Swarm and Evolutionary Computation*, 58, 100718.
- Pornsing, C., Sodhi, M. S., & Lamond, B. F. (2016). Novel self-adaptive particle swarm optimization methods. *Soft Computing*, 20(9), 3579-3593.
- Provan, S. (2020). Coronavirus: Texas overtakes California as US state with second-highest death toll - as it happened. *Subscribe to read | Financial Times*. Retrieved from <https://www.ft.com/content/344a3b48-2b62-3ffe-98ae-69c8b15a2d5f>  
Accessed Oct 10, 2020.
- Punia, S., Singh, S. P., & Madaan, J. K. (2020). A cross-temporal hierarchical framework and deep learning for supply chain forecasting. *Computers & Industrial Engineering*, 149, 106796.

- Qolomany, B., Maabreh, M., Al-Fuqaha, A., Gupta, A., & Benhaddou, D. (2017). Parameters optimization of deep learning models using Particle swarm optimization. In *2017 13th International Wireless Communications and Mobile Computing Conference (IWCMC)* (pp. 1285-1290). <https://doi.org/10.1109/IWCMC.2017.7986470>
- Raitanen, J., & Oksanen, A. (2018). Global online subculture surrounding school shootings. *American behavioral scientist*, *62*(2), 195-209.
- Read, J. (2005). Using emoticons to reduce dependency in machine learning techniques for sentiment classification. In *Proceedings of the ACL student research workshop*, 43-48. <https://dl.acm.org/doi/10.5555/1628960.1628969>
- Rizoiu, M. A., Lee, Y., Mishra, S., & Xie, L. (2017). A tutorial on Hawkes processes for events in social media. *arXiv preprint arXiv:1708.06401*.
- Rizoiu, M. A., Mishra, S., Kong, Q., Carman, M., & Xie, L. (2018). SIR-Hawkes: Linking epidemic models and Hawkes processes to model diffusions in finite populations. In *Proceedings of the 2018 world wide web conference*, 419-428. <https://dl.acm.org/doi/abs/10.1145/3178876.3186108>
- Saeedi, S., Khorsand, R., Bidgoli, S. G., & Ramezanpour, M. (2020). Improved many-objective particle swarm optimization algorithm for scientific workflow scheduling in cloud computing. *Computers & Industrial Engineering*, *147*, 106649.
- Shi, W., Liu, D., Cheng, X., Li, Y., & Zhao, Y. (2019). Particle swarm optimization-based deep neural network for digital modulation recognition. *IEEE Access*, *7*, 104591-104600.

- Singh, D., Kumar, V., & Kaur, M. (2020). Classification of COVID-19 patients from chest CT images using multi-objective differential evolution-based convolutional neural networks. *European Journal of Clinical Microbiology & Infectious Diseases*, 39(7), 1379-1389.
- Souza, R. M., Nascimento, E. G., Miranda, U. A., Silva, W. J., & Lepikson, H. A. (2021). Deep learning for diagnosis and classification of faults in industrial rotating machinery. *Computers & Industrial Engineering*, 153, 107060.
- Stieglitz, S., & Dang-Xuan, L. (2013). Emotions and information diffusion in social media—sentiment of microblogs and sharing behavior. *Journal of management information systems*, 29(4), 217-248.
- Surette, R. (2014). Estimating the prevalence of copycat crime: A research note. *Criminal Justice Policy Review*, 25(6), 703-718.
- Te Vrugt, M., Bickmann, J. & Wittkowski, R. (2020). Effects of social distancing and isolation on epidemic spreading modeled via dynamical density functional theory. *Nat Commun* 11, 5576. <https://doi.org/10.1038/s41467-020-19024-0>
- Tellis, G. J., Sood, A., & Sood, N. (2020). How long should social distancing last? Predicting time to moderation, control, and containment of COVID-19. *Marshall School of Business Research Paper*. <https://dx.doi.org/10.21319/ssrn.3561996>
- Tizhoosh, H. R. (2005). Opposition-based learning: a new scheme for machine intelligence. In *International conference on computational intelligence for modelling, control and automation and international conference on intelligent agents, web technologies and internet commerce (CIMCA-IAWTIC'06) 1*, 695-701.

- Towers, S., Gomez-Lievano, A., Khan, M., Mubayi, A., & Castillo-Chavez, C. (2015). Contagion in mass killings and school shootings. *PLoS one*, *10*(7).  
<https://journals.plos.org/plosone/article?id=10.1371/journal.pone.0117259>
- Tripathy, A., Agrawal, A., & Rath, S. K. (2016). Classification of sentiment reviews using n-gram machine learning approach. *Expert Systems with Applications*, *57*, 117-126.
- Wang, D., Kaplan, L., Le, H., & Abdelzaher, T. (2012). On truth discovery in social sensing: A maximum likelihood estimation approach. In *Proceedings of the 11th international conference on Information Processing in Sensor Networks*, 233-244.  
[https://www.ideals.illinois.edu/bitstream/handle/2142/25815/Factfinders.pdf?sequence=2&origin=publication\\_detail](https://www.ideals.illinois.edu/bitstream/handle/2142/25815/Factfinders.pdf?sequence=2&origin=publication_detail)
- Wang, G., Giannakis, G. B., & Chen, J. (2019). Learning ReLU networks on linearly separable data: Algorithm, optimality, and generalization. *IEEE Transactions on Signal Processing*, *67*(9), 2357-2370.
- Wang, H., Rahnamayan, S., & Wu, Z. (2013). Parallel differential evolution with self-adapting control parameters and generalized opposition-based learning for solving high-dimensional optimization problems. *Journal of Parallel and Distributed Computing*, *73*(1), 62-73.
- Wang, H., Wu, Z., & Rahnamayan, S. (2011). Enhanced opposition-based differential evolution for solving high-dimensional continuous optimization problems. *Soft Computing*, *15*(11), 2127-2140.
- Wang, J., Ma, Y., Ouyang, L., & Tu, Y. (2020). Bayesian modeling and optimization for multi-response surfaces. *Computers & Industrial Engineering*, *142*, 106357.



- Wang, N., Varghese, B., & Donnelly, P. D. (2016). A machine learning analysis of Twitter sentiment to the Sandy Hook shootings. In *2016 IEEE 12th International Conference on e-Science (e-Science)*, 303-312.  
<https://ieeexplore.ieee.org/document/7870913>
- Xiong, X., Li, Y., Qiao, S., Han, N., Wu, Y., Peng, J., & Li, B. (2018). An emotional contagion model for heterogeneous social media with multiple behaviors. *Physica A: Statistical Mechanics and its Applications*, 490, 185-202.
- Xu, Q., Liu, A., Yuan, X., Song, Y., Zhang, C., & Li, Y. (2021). Random Mask-based Estimation of the Distribution Algorithm for Stacked Auto-encoder One-step Pre-training. *Computers & Industrial Engineering*, 107400.  
<https://doi.org/10.1016/j.cie.2021.107400>
- Ye, F. (2017). Particle swarm optimization-based automatic parameter selection for deep neural networks and its applications in large-scale and high-dimensional data. *PloS one*, 12(12), e0188746.
- Young, S. R., Rose, D. C., Karnowski, T. P., Lim, S. H., & Patton, R. M. (2015). Optimizing deep learning hyper-parameters through an evolutionary algorithm. In *Proceedings of the Workshop on Machine Learning in High-Performance Computing Environments*, 4, 1-5.
- Yu, X. (2017). Disaster prediction model based on support vector machine for regression and improved differential evolution. *Natural Hazards*, 85(2), 959-976.
- Zhang, C., Li, Q., & Wang, W. Q. (2017). Immune particle swarm optimization algorithm based on the adaptive search strategy. *Chinese Journal of Engineering*, 39(1), 125-132.

Zhang, L., Ghader, S., Pack, M. L., Xiong, C., Darzi, A., Yang, M., ... & Hu, S. (2020).

An interactive COVID-19 mobility impact and social distancing analysis

platform. <https://doi.org/10.1101/2020.04.29.20085472>

Zhang, L., Ghosh, R., Dekhil, M., Hsu, M., & Liu, B. (2011). Combining lexicon-based

and learning-based methods for Twitter sentiment analysis. *HP Laboratories,*

*Technical Report HPL-2011, 89.*

<https://www.researchgate.net/publication/228978262>