# An Assessment of Wellness Programs Among Municipalities Within the Austin-San Antonio Corridor

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#### **CHAPTER 1**

## **INTRODUCTION**

Employee assistance programs were originally implemented to help employees with alcohol dependency problems (Bromage, 2000) during the 19<sup>th</sup> and 20<sup>th</sup> centuries. Today, the programs assist with a wide range of issues, such as marital difficulties, financial problems, problems with raising teenage children or work-related stress (Pancratz, 2000: 56). This means that some of the problems the programs handle are not directly related to the job. Still, employers provide the programs because they believe healthy employees are more productive, hence more valuable to the organizations for which they work.

Increasingly, employers are offering employee wellness programs in an effort to make the work environment a better place. Employees tend to perceive employee wellness programs differently. Nevertheless, all employee wellness programs seem to encompass similar components that are intended to promote the overall health of workplace employees. Accordingly, health promotion programs aim to improve an employee's physical, emotional, psychological and mental health.

#### **Case Examples**

The following case examples represent a couple of likely experiences municipal employees face. These examples illustrate the necessity of a comprehensive wellness program within municipalities. Municipal employees are consistently faced with citizen complaints, and it is quite difficult to resolve problems to the taxpayer's full satisfaction. Moreover, municipal employees constantly mediate between competing interests, such as those from their immediate supervisors, citizens, and the city council.

#### **Example 1: Stress Spills Over**

It is 8:30 am, and Melanie woke up this morning expecting to have great day. But, she receives a call from Mrs. Smith, whose toilet is badly overflowing. Mrs. Smith is convinced this is the City's fault because she saw a City crew on her street yesterday. When she called to get help, she was told to find a plumber to fix the problem since it happened inside her home. An hour later, Mrs. Smith calls back very angry because she could not find her usual plumber and the toilet was creating a huge mess. She cannot believe that no one at the City can help her—the whole thing sounds impersonal and bureaucratic to her.

In the midst of the phone call with Mrs. Smith, Melanie gets another call from the animal control officer. Council member Chealsey's dog is out again. The neighbor is furious because she believes that the dog is getting special treatment. No other dog that barks all night and roams the neighborhood during the day could get away with it. The neighbor wants someone out there immediately to pick up the dog.

Then, as Melanie is contemplating how to handle both of these situations, the department director asks Melanie for the report that was due ten minutes ago. The director needs the report for a meeting she is going to.

Thankfully, Melanie is a multitask oriented person and uses effective relaxation techniques, so she takes a deep breath and handles all three of the issues beautifully. She is thankful that she is able to handle the stress of her job, but she is concerned about those employees who do not know how to manage their stress.

#### **Example 2: Life's Little Complications**

Ralph has been working on a special report for the City Manager for the past two days and has it pretty much finished. Council member Welch is visiting the office and overhears Ralph discussing it on the telephone. This is an area of special interest to the Council member and he wants a copy of the report—NOW. The City Manager is out of town and can't be reached. Ralph knows that eventually all Council members will receive a copy of the report. Ralph is caught between trying to satisfy the City Manager and Council member Welch.

Ralph's decision-making skills have not been very sound lately. He is in the midst of a divorce and just found out that he has high blood pressure and diabetes. Also, he does not know how he is going to afford the legal fees associated with the divorce. He is feeling very depressed and is on the verge of a mental breakdown.

The doctor told him that his health might improve if he watched what he eats and exercises. But, if Ralph cannot pay for his legal fees, how can he afford to pay for a membership at a local gym? In addition, he has constant meetings, so he constantly eats

on-the-go. How is Ralph to deal with all of these situations and remain an effective and productive municipal employee?

These case examples are presented as an attempt to illustrate the purpose of this study. Employees are valuable assets to their organizations, and in order to preserve their abilities to handle situations they face, employers should ensure that mechanisms are in place for employees to turn to if situations become unbearable. Having a comprehensive wellness program is one way in which employers can protect employee deterioration and aid with the financial costs associated with this loss.

## **Research Purpose**

The purpose of the research is threefold. First, the purpose is to describe the ideal characteristics of an effective wellness program. The second purpose is to assess wellness programs among twenty-five municipalities within the Austin-San Antonio Corridor using the ideal characteristics. The final purpose is to make recommendations for improvements of wellness programs among the twenty-five municipalities.

This research project is founded upon previous studies about wellness programs conducted by Rosanna L. Church (1993), R. Ryan Gilliam (1999), and Arturo T. Hernandez (2000). While completing her applied research project in 1993, Rosanna L. Church outlined five components of an effective and comprehensive wellness program.

The first portion of this paper reviews the framework Church established, while linking each component to the current literature. The five components are as follows:

- 1. Physical Fitness
- 2. Stress Management
- 3. Psychological and Mental Health

- 4. Nutrition and Dietary Related Issues
- 5. Alcohol and Chemical Dependency

The second portion of this paper assesses the wellness programs among municipalities within the Austin-San Antonio Corridor by gauging how closely the wellness programs within these twenty-five municipalities compare to the practical ideal type characteristics as identified and developed through the literature and the Church, Gilliam and Hernandez studies. Through the use of surveys and content analysis, data in accordance with the practical ideal type characteristics is confirmed. After compiling the data obtained from these two methodologies, recommendations are made for improving the wellness programs among the twenty-five municipalities within the Austin-San Antonio Corridor.

#### **Chapter Summaries**

First, Chapter 2 provides a review of the current literature pertaining to wellness programs, describes the purposes as well as the benefits of providing well-integrated and comprehensive wellness programs, while also developing the conceptual framework. Then, in Chapter 3, the research setting for the project is described. Next, Chapter 4 outlines the methodology used to complete this study and describes how each of the components within the practical ideal type are operationalized. Subsequently, Chapter 5 describes and summarizes the results obtained from this study. Last, Chapter 6 assesses whether or not the municipalities meet the ideal characteristics developed throughout the course of the study and recommendations are made for the improvement of wellness programs among the twenty-five municipalities within the Austin-San Antonio Corridor.

#### **CHAPTER 2**

## LITERATURE REVIEW

The purpose of this chapter is to review the current literature on employee wellness programs in an attempt to determine the necessary components that should be included in an ideal wellness program. The essential components are then used to develop an ideal type for assessing wellness programs. In addition, this paper expands upon the previous research concerning wellness programs conducted by Rosanna L. Church (1993), R. Ryan Gilliam (1999), and Arturo T. Hernandez (2000).

## An Overview of Wellness Program Concepts

Employers offer employee wellness programs in an attempt to create a better work environment by promoting the overall health of workplace employees. Health promotion programs are one form of wellness program offered by employers. Health Promotion is a process that involves four major components: assessment activities, communication material, self-help material, and group programs (Powell, 1999: 17). While these components are listed separately, they are intended to be integrated and work in conjunction with one another. The first component, assessment, is used to differentiate among healthy and unhealthy employees within an organization. Techniques for assessment range from health screenings to health risk appraisals (Powell, 1999). Communication materials comprise the second component. Materials include newsletters, paycheck stuffers, and posters (Powell, 1999: 18), which provide a means for ongoing communication to employees and promotion of employee wellness programs. Self-help materials, the third component, are aimed at helping employees in their efforts to change maladaptive behaviors on their own. Self-help materials include videos, CD-ROMs, audiotapes, and booklets (Powell, 1999: 18). The fourth component, "group programs" are classes that allow for interaction among employees and the instructor (Powell, 1999). Group programs seek to provide a therapeutic means for addressing health concerns while addressing health promotion initiatives. Nevertheless, the ultimate goal of health promotion activities is to create a culture that values and meets both individual and organizational needs for health improvement (Ochsner Health Plan of Louisiana, 2001: 1).

Research previously conducted by Church (1993), Gilliam (1999), and Hernandez (2000) identified five essential components needed to establish a comprehensive wellness program.<sup>1</sup> The components do not operate in isolation. Rather, the components are closely integrated. The five components are as follows:

- 1. Physical Fitness
- 2. Stress Management
- 3. Psychological and Mental Health Issues
- 4. Nutrition and Dietary Related Issues
- 5. Alcohol and Chemical Dependency (Church, 1993: 11).

Materials obtained from the literature overwhelmingly corroborate Church's idea of an integrated framework.<sup>2</sup> For example, Rotarius et al (2000) suggest that employee assistance programs are increasingly becoming integrated into the organizational health care system as a means of cost control. Basically, employers are providing wellness programs through company health insurance plans in order to control costs. Companies may design their health insurance programs to pay for preventative measures, like annual

<sup>&</sup>lt;sup>1</sup> Church (1993) originally identified the five components of an effective and comprehensive wellness program.

<sup>&</sup>lt;sup>2</sup> See for example, Rotarius et al; Gilliam 1993; Knopf 2000.

physicals to detect problems at an early stage. In essence, employee assistance programs have become a close approximation to common fringe benefits provided to employees.

The literature suggests that by providing programs to assist employees and aid in health promotion, organizations are able to meet employee needs while trying to create a positive and productive work environment.<sup>3</sup> Employee assistance programs were originally implemented to help employees with alcohol dependency problems (Bromage, 2000) during the 19<sup>th</sup> and 20<sup>th</sup> centuries. Today, the programs assist with a wide range of issues. Some of the issues include marital difficulties, financial problems, problems with raising teenage children or work-related stress (Pancratz, 2000: 56). This means that some of the programs handle are not directly related to the job. Still, employers provide the programs because they believe healthy employees are more productive, hence more valuable to the organizations for which they work.

#### **Benefits of Wellness Programs**

By implementing employee wellness programs, both employers and employees can enjoy significant benefits. On the organizational level, employee assistance programs can lower risk and costs that certain employees pose.

Research has shown that 80 percent of all workers' compensation claims result from stress or trauma in employee's work lives or personal lives; 15 percent of all injured workers generate 85 percent of all workers' compensation costs; 9 percent of these injured workers suffer from back problems; and up to 65 percent of all back injuries are related to psychological and/or mental stress (Atkinson, 2000: 46).

<sup>&</sup>lt;sup>3</sup> See for example, Bromage, 2000; Pancratz, 2000; Arthur, 2000; Powell, 1999; Atkinson, 2000; Martinez, 1999.

Atkinson suggests that by providing employee wellness programs, companies can ultimately save money on workers' compensation claims. What's more, a recent American Association of Health Promotion study found that some companies may receive a return of between \$2 and \$6.15 for each dollar spent (Ochsner Health Plan of Louisiana, 2001: 1).

In addition, wellness programs may also alleviate common work-related problems. Studies show that wellness programs lead to significant improvement in work-performance indicators, reductions in absenteeism, grievances, disciplinary actions, work accidents, staff turnover, tardiness (Arthur, 2000:555), improved employee health, reduced health care costs, and improved morale (Atkinson, 2000: 42). This is important since one company found that employees who are emotionally troubled are sick, late, and absent almost three times more often than non-troubled employees, and lost work time can cost a company up to a 25% loss in productivity (Rotarius et al, 2000: 31).

On the individual level, health promotion programs can produce healthier employees. Employee assistance programs try to take a proactive approach by recognizing signs of employee stress or maladaptive behaviors. Therefore, the programs look for warning signs early in hopes that the program can prevent the problem from getting worse. In doing so, wellness programs can enhance awareness, assist employees with making lifestyle changes, and creating an environment that is supportive of healthy lifestyles (Hernandez, 2000: 10).<sup>4</sup>

Nonetheless promoting healthy lifestyles for employees still benefits the employer by reducing costs. For instance, a study conducted at Steelcase

<sup>&</sup>lt;sup>4</sup> Other sources that deal with these issues include: Church 1993; Gilliam 1999.

Corporation by the University of Michigan found that the company spent \$597.00 more per year in health costs for employees who drank alcohol excessively; for every employee who was sedentary, the company paid \$488.00 more; and for every employee who had hypertension, the company paid \$327.00 more (Powell, 1999: 15).

Belloc and Breslow (1972) conducted a study to investigate men who followed seven basic health practices. The practices included maintenance of an ideal body weight, regular consumption of breakfast, avoidance of snacks, vigorous physical activity, seven to eight hours of sleep daily, abstinence from tobacco, and moderate consumption of alcohol. In conducting this study, Belloc and Breslow found that men who adhered to six or more of the seven health practices lived 11.5 years longer than those did not (Gilliam, 1999: 7).

Employee assistance programs seek to promote healthy lifestyles that will aid employees in living longer, healthier, and happier lives. Wellness programs, however, are generally not successful unless there is a clear set of policies outlining the objectives of the programs (Knopf, 2000: 2). In addition, programs must have the support of all members of the organization, including top management. Having support from all members of the organization creates a support network that can assist an employee with problems he or she may encounter. Studies have shown that existing social support from friends, supervisors, co-workers, and family members are effective for encouraging employees to seek help through employee assistance programs (Delaney et al, 1998: 407). Individuals do not only need the support from within the organization, however, they also need external support.

#### **Assessing Health Risks**

Assessing employee health risks is typically used to identify the extent of an employee's healthy or unhealthy behavior. Health Risk Appraisals (HRA) are the most widely used tools for measuring employee health risks. These are confidential surveys that query employees about their habits and family histories (Martinez, 1999: 107). Information obtained from the survey is then compared to other people who have the same demographics, thus predicting the likelihood that an employee will suffer from cancer, stroke, heart disease, or other major illnesses within the next ten to twenty years (Powell, 1999: 17). Common questions asked on health risk appraisals include information about the employee's level of physical activity, smoking, sleeping patterns, depression, stress, nutrition (Martinez, 1999: 107), how much they weigh, and how tall they are (Powell, 1999:17). If these factors are addressed early, employees can attempt to modify maladaptive behaviors before they suffer the harmful consequences these behaviors produce. Ways to modify maladaptive behaviors might include reorganizing their lifestyle on their own or by seeking professional counseling.

When wellness programs are developed, employers need to take all of these issues into account. By conducting health risk appraisals, companies are taking the first step to ensure that these concerns are addressed, which will enable the company to design an effective wellness program.

#### **Wellness Program Development**

Again, conducting a health risk appraisal is critical when developing wellness programs because they provide insight for assessing the needs of the organization. This is not to say that companies should solely rely on health risk appraisals. Rather, they should study employee medical records, health care claims and costs, workers' compensation claims, absentee rates, and any information provided by health risk appraisals (Martinez, 1999). According to Patterson (1987), health appraisals are helpful for identifying group needs and establishing priorities for programs such as health education (Hernandez, 2000: 16).

Still, developing comprehensive wellness programs requires more. Martinez (1999) suggests that the employer must also examine workplace facilities when developing wellness programs, stating that facility assessments should review the health and safety of the office environment to include lighting, lunchrooms, security, cleanliness, temperature, fire safety, flooring and space limitations (107). This is important for understanding whether or not employees are working in an environment that is conducive to safety and productivity. If the environment is not an enjoyable place to work, then it may account for high rates of absenteeism or lack of job satisfaction.

Employee wellness programs have become prevalent throughout the workforce. Employers gain so much from emotionally, physically, and psychologically healthy employees. For this reason, employers are developing wellness programs that address the concerns of its employees with the assumption that wellness programs are a means to protect the organization's investments rather than costs. A national survey conducted in 1985 by the Research Triangle Institute for the Department of Health and Human Services (DHHS) found that 24% of private, nonagricultural US worksites with 50 or more employees offered an employee assistance program and the programs have experienced significant growth since 1993 (Hartwell et al, 1996: 807).

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Employee wellness programs are a form of "tertiary" prevention of stress. Cooper and Cartwright (1997) explain:

Tertiary prevention is concerned with the treatment, rehabilitation, and recovery process of those individuals who have suffered or are suffering from serious ill health as a result of stress. Interventions at the tertiary level typically involve the provision of counseling services for employee problems in the work or personal domain. Such services are either provided by in-house counselors or outside agencies (9).

Accordingly, employers who seek to develop a comprehensive wellness program need to determine ways in which the company can aid in the prevention, treatment, rehabilitation and recovery processes. One way employers can inform employees about their dedication to health promotion is to formulate a clear set of objectives as to what the wellness program seeks to accomplish. In addition, employers need to describe some of the benefits that employees can enjoy through the wellness program. A Surgeon General's report suggests that employers need to describe the rationale for integrating employee assistance programs with other benefits and describe how the employee wellness program can be used (Vernarec, 2000).

Another important factor to consider when developing a wellness program is employee participation. No matter how well-designed a wellness program is, it will fail if employees refuse to use it. One way to increase employee participation is to allow employees to aid in the design process. This way, employees are more likely to believe in the wellness program because they helped in its formulation, and thus are more likely to use the program (Hernandez, 2000). Also, companies can acquire input from employees and measure overall interests through surveys, focus groups, interviews, e-mails or meetings (Martinez, 1999). One more way employers can prompt employees to use employee wellness programs is to provide incentives. In fact, using incentives has become a popular choice for encouraging employees to change their lifestyles. Almost 39% of companies offer an incentive to motivate employees to improve their health behavior (Powell, 1999: 20). Incentives can include a variety of things. For example, incentives can take the form of charging less for employee health plan contributions, offering lower health coverage levels, providing reimbursement for wellness programs, giving premium discounts to employees who engage in health lifestyles (Powell, 1999: 20). That's not all. Incentives can also include non-cash rewards. Some examples of non-cash rewards include giving water bottles, t-shirts, and golf equipment to employees who choose to participate in the wellness program (Powell, 1999: 20).

This is not to say that incentives are the magic trick for encouraging employees to seek help through the employee assistance program. Rather, incentives need to be combined with other methods described in this section. Perhaps, the best way to ensure employee participation is to make sure that the program takes into account the needs particular to that organization when developing its wellness program.

#### **Components of an Ideal Wellness Program**

As mentioned previously, there are certain essential components that a comprehensive and well-integrated wellness program should possess. In reviewing the literature and the research conducted by Rosanna L. Church, R. Ryan Gilliam, and Arturo T. Hernandez, the components have been enumerated. The five components include:

- 1. physical fitness;
- 2. stress management;
- 3. psychological and mental health issues;
- 4. nutrition and dietary related issues; and
- 5. alcohol and chemical dependency.

Arturo T. Hernandez explains that the five components are particularly pertinent to the public sector employees. This is true due to the fact that each component addresses the importance of maintaining a healthy lifestyle, thus contributing to an employee's ability to make sound judgments (Hernandez, 2000).

The first major component revolves around **physical fitness**. Collingwood (1995) describes physical fitness as relating to the body's ability to perform physical tasks or work (Gilliam, 1999:14). In his research, Gilliam draws upon factors cited by Collingwood that are included within the realm of physical fitness. These factors are: aerobic power or cardiovascular endurance (stamina); strength (the ability of muscles to generate force); flexibility (range of motion); and body composition (ratio of lean tissue to fat tissue) (Gilliam, 1999:15). All of these factors contribute to an employee's ability to lead a healthy lifestyle, which in turn, leads the employee to perform more productively without as much risk for injury.

A common method employed by companies is to offer some form of on-site exercise facilities or stretching programs (Atkinson, 2000). These programs are not only intended to help employees sustain a healthy lifestyle by maintaining physical fitness, but also seek to alleviate stress that any employee is experiencing. Although on-site exercising facilities are nice in that they provide an easy, convenient, and inexpensive way to exercise, it is not necessary for companies to maintain their own on-site facilities. Rather, especially for small companies, it may be more cost-efficient to create partnerships with a local fitness center. With this approach, a company can opt to pay all or a portion of employees' memberships (Atkinson, 2000). No matter what the case, a company should find some way to promote physical fitness among its employees.

The second component is **stress management**. Stress is hard to define, but there are functional and dysfunctional levels of stress. Functional levels are those in which an employee can still operate productively. In contrast, dysfunctional stress is usually marked with an decrease in an employee's productivity level. It is dysfunctional stress that wellness programs seek to alleviate. In general, stress management techniques seek to relieve the symptoms of stress, improve employees' mental health, and increase productivity (Arthur, 2000). Stress management is imperative because it helps employees cope with the effects of stress. Some of the significant stressors employees face include the amount of work they are expected to produce. Furthermore, some people suffer from stress because personal expectations are set too high (Verespej, 2000).

Stress has different effects on different people. For some, it has temporary effects that go after a few hours or few days. For others, however, stress is not as transient. Dr. Raul Rosch, President of the American Institute of Stress and a clinical professor of medicine and psychiatry at the New York Medical College, estimates that the cost of stress in the United States is approximately \$200 billion annually, and estimates that 75% to 90% of physician visits are for stress-related complaints and illnesses, and that 60% to 80% of industrial accidents are facilitated by worker stress (Verespej, 2000: 32). From this

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perspective, it is in an employer's best interest to incorporate stress management within its wellness programs.

The third component addresses **psychological and mental health issues**. Some companies offer psychological tests as a way to assess the mental health of its employees. Some employees, however, may possess psychological or mental disorders prior to becoming employed with a particular company. In this sense, the company hires an employee and discovers later that the employee is experiencing mental health problems. Allowing psychological and mental health disorders to go undetected can prove detrimental to an organization.<sup>5</sup> Disorders of this type can lead to serious problems, such as depression and suicide (Gilliam, 1999). When dealing with a person's psyche, it is important to pay attention to all of the circumstances surrounding the person's behavior and approach the situation cautiously.

A major advantage of employee assistance programs is the capability to refer people to long-term treatment if the employee does not qualify for treatment under the program. A mid-Atlantic state medical center has established criteria for determining people who should be referred to a long-term treatment center as opposed to an employee wellness program. People who should be referred to a long-term treatment center are those suffering from pervasive developmental problems, people with addictive disorders, people with dual diagnoses on Axis I and II of the American Psychiatric Association's Diagnostic and Statistical Manuals (DSM-IV), people who exhibit psychotic symptoms, people with have homicidal or suicidal thoughts or a history of violent behavior, and people who have

<sup>&</sup>lt;sup>5</sup> See for example Church 1993; Gilliam 1999.

been ordered by the court to seek mandatory treatment (Rotarius et al, 2000: 24). These are limitations that companies need to consider when implementing a wellness program.

Low self-esteem and depression are common issues wellness programs address, but depression can be difficult to recognize, especially when dealing with its mild forms. With severe depression, the symptoms are fairly easy to recognize, but milder cases are harder to detect because symptoms are not as obvious (Vernarec, 2000). If left untreated, depression can have serious effects on the employee's health and job performance. Supervisors can help employees who are depressed or who feel stressed out by allowing the employee to have a reduced workload or a position with less responsibility (Cohen, 2001). Employees appreciate it when supervisors care enough to ask how he or she is doing. As a result of this compassion, employees become more loyal to the company.

The fourth component concerns **nutrition and dietary issues**. Nutrition and diet is important since in some people, diet directly affects organic causes of psychological distress.<sup>6</sup> In order to help employees modify their diets, some organizations provide some form of nutrition or diet-related program. For example, Public Employees Insurance Agency (PEIA), the largest insurer in West Virginia, helped to develop a program called "Pathways to Wellness," which is a health promotion program that incorporates comprehensive health risk assessment and behavior modification data to assess the costs associated with employing high-risk people (Abresch et al, 2000). The program has conducted research and determined that comprehensive pre and post-program evaluations showed that people with a high risk for cardiovascular disease cost significantly more to insure per year than low risk employees. Through the pathways program, 43 percent of

<sup>&</sup>lt;sup>6</sup> See for example, Church 1993; Gilliam 1999; Hernandez 2000.

high-risk blood glucose participants were demoted to a low- risk classification, 99 percent of low-risk participants stayed in the same category, and decreased medical costs by approximately \$125 per employee per year (Abresch et al, 200: 58).

Companies who address nutrition and dietary issues may use education programs to help employees understand the importance of weight loss, smoking cessation, proper diet, exercise, and illness detection (Atkinson, 2000). Understanding these issues are ways in which an employee can take a proactive approach in an attempt to prevent from acquiring a destiny that is filled with illness and disease.

Addressing nutrition and dietary related issues does not have to be costly. There are types of programs that can be implemented for \$5 to \$10 per employee per year, such as a weight loss program that simply puts a scale in the hall and posts a diet plan of the week or having a nutrition program that involves replacing potato chips and candy in vending machines with yogurt and fresh fruit (Powell, 1999: 21). These are simply a few of the ways in which employers can promote nutrition among their employees.

Alcohol and chemical dependency comprise the fifth and final component of a comprehensive wellness program. This is an important component because alcohol and illegal substance abuse are major problems within the American culture. Results of studies reported in July 1998 by the National Council on Alcoholism and Drug dependence (NCADD) found:

12 percent of employees in the work force are heavy drinkers (these are employees who say they consumed five or more drinks on five or more days in the past 30 days); 40 percent of workplace fatalities and 47 percent of workplace injuries can be linked to alcohol consumption; and absenteeism among problem drinkers and alcoholics is four times to eight times greater than absenteeism among other workers (Atkinson, 2000: 47). The NCADD found similar results on their study of illegal drug use. The council's findings were as follows:

71 percent of all adult illegal drug users are employed; approximately half of those who test positive for drugs in the workplace say they use drugs on a daily basis; absenteeism among illegal drug users is up to 16 times greater than absenteeism among other workers; and illegal drug users use three times as many sick day benefits as other workers and are five times as likely to file workers' compensation claims (Atkinson, 200: 46).

These findings overwhelmingly offer support for an employer's need to include alcohol and chemical dependency within its wellness programs. A person under the influence of drugs or alcohol not only poses a safety threat to him or herself, but also threatens the safety of others. From this perspective, the person is a serious threat to the employer and coworkers as well.

Becker et al (2000) discuss a model called PeerCare, which involves using coworkers rather than mental health and substance abuse professionals in an employee assistance program. A key element of PeerCare is peer confrontation to prevent substance abuse in the workplace. If a worker drinks, uses drugs, or is incapacitated, the worker calls a PeerCare team member to leave the job, or not report to work (Becker et al, 2000: 168). Employers use PeerCare as a way to help employees experiencing problems with drug or alcohol. Essentially, the program targets individuals who are intimidated by the idea of confronting a supervisor to talk about the issue.

No matter which approach a company takes, it is essential for the company to preserve employee confidentiality (Cohen, 2001). Even more importantly, supervisors should be trained to recognize signs of employees who are under the influence of alcohol or illicit drugs. Early detection of substance abuse problems is key, but early detection is not always possible because employees with early and middle stage substance or alcohol abuse problems may not display a significant decline in work performance (Delaney et al, 1998). This means substance abuse problems may not be detected until later stages, thus making it more difficult for a supervisor to motivate an employee to seek the proper treatment (Schneider et al, 2000).

Nevertheless, if warning signs are detected early, employee assistance programs can have positive effects on combating alcohol or drug problems. Many times workplace substance abuse prevention programs directly present employees with alcohol and other drug abuse prevention messages. This approach is typically not effective at reaching the populations most in need of attention because employees are hesitant about participating in a program that directly addresses the issue of substance or alcohol use. Perhaps a better way to target employees with alcohol or chemical dependency problems is to integrate substance prevention materials into health promotion programs that are widely used and do not stigmatize employees, such as stress management programs (Hersch et al, 2000).

Firms may decide to incorporate alcohol and chemical dependency mechanisms in their wellness programs if the firm has a drug testing policy. In this sense, the wellness programs are intended to help an employee who tests positive for drugs (Zarkin et al, 1994) in order to improve the individual's health, family functioning, and workplace performance (Zarkin et al, 2000).

#### **Church, Gilliam and Hernandez Findings**

Church and Gilliam used the conceptual framework outlined above to gauge how well law enforcement agencies compare to the practical ideal type. Arturo T. Hernandez applied the same conceptual framework to study wellness programs within Texas Health and Human Services agencies.

In her applied research project, Church studied wellness programs within the fifty state police agencies. Church's study found that although approximately sixty percent of the fifty state police agencies had wellness programs, many of them were deficient. For example, most of the surveyed state police agencies said physical fitness was important, but only thirty-eight percent had a mandatory physical fitness program requirement. In addition, Church's study found that stress management as well as psychological and mental health issues were sufficiently addressed by most state police agencies in that most provide training to recognize the signs of stress and mental exhaustion. Finally, the study revealed that a majority of the surveyed state police agencies had wellness programs that addressed the nutritional and dietary as well as the alcohol and chemical dependency components. Still, the study showed that additional education and training are necessary.

In surveying Texas police departments in cities with populations of 40,000 or greater, Gilliam found that more than 60% of those police departments provided wellness programs for their employees. As previously revealed in Church's study, Gilliam found that most of the wellness programs within the cities he surveyed were inadequate. Although most of the police departments provided workout facilities for their employees, the majority did not require employees to take part in mandatory physical fitness programs. Also, Gilliam found that most of the surveyed police departments teach some form of stress management, but less than fifty percent of them failed to provide supervisors with training to recognize the signs of stress. In addition, Gilliam found that while most of the police departments had strong programs for addressing psychological and mental health,

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more post-employment psychological testing is necessary, especially for at-risk employees. Gilliam's study also revealed that even though most wellness programs within the surveyed police departments addressed nutrition and dietary related issues, more education in these areas is needed. Above all the other components, alcohol and chemical dependency seem to be the strongest area of concentration within the police departments' wellness programs. Still, however, more education and training is necessary in these areas.

In his study of wellness programs within Texas Health and Human Services agencies, Arturo T. Hernandez found that seventy-eight percent of the fourteen health and human services agencies who responded to the survey provided wellness programs for their employees, and more than one-half of these agencies had the five essential components found in an ideal wellness program. Nevertheless, the wellness programs within the surveyed health and human services agencies failed to adequately address all five components comprehensively. For example, none of the agencies required employees to participate in a mandatory physical fitness program, and none of the agencies provided on-duty time for employees to exercise. In addition, only 33 percent of these agencies train supervisors to assist subordinates in dealing with stress. In addressing psychological and mental health issues, Hernandez's study revealed that from the nine agencies that responded, none of them require pre or post-employment psychological tests, and only 33 percent reported that supervisors are provided with training to assist in recognizing signs of psychological or mental distress. Also, less than half (44%) of the agencies reported providing training on diet and nutrition. Last, only 44% of the agencies felt that the current substance abuse program met the needs of the agency and its employees.

## **Conceptual Framework**

In reviewing the literature and examining the studies previously conducted by Church, Gilliam, and Hernandez, the ideal characteristics that a comprehensive wellness should include have been established. These components form the practical ideal type wellness program. "Practical ideal types provide benchmarks with which to understand (and improve) reality" (Shields, 1998: 219). The elements of an ideal type are not inflexible. Rather, there is more than one way to envision the 'ideal' and the elements are meant to direct us toward realization of potentialities in experience (Shields, 1998: 219).

The ideal components that make up the conceptual framework are listed under the following subheadings: physical fitness; stress management; psychological and mental health; nutrition and dietary related issues; alcohol and chemical dependency. It is important to note that the first section in **Tables 2.1**, **4.1**, **4.2**, and **5.1** entitled *Wellness Program Overview* is not part of the practical ideal type. Rather, this section provides an outline of the essential components a well-integrated and ideal wellness program should include, such as an explanation of the organization's wellness program, physical fitness, stress management, psychological and mental health care, nutrition and dietary related issues, and alcohol and chemical dependency components. The literature identified that wellness programs should also include health risk appraisals and states that programs should have the commitment and support from top management (Powell, 1999) (Martinez, 1999: 107) (Knopf, 2000: 2) (Delaney et al, 1998; 407). After reviewing the Wellness Program Overview section, the practical ideal type becomes clearer. The five components of a practical ideal type wellness program, then, include the above-mentioned five umbrella categories. **Table 2.1** enumerates each of the subcategories included within each of the subheadings, while linking each component to the literature.

	Category and Ideal Type Component	Source(s)
W	ELLNESS PROGRAM OVERVIEW	Arthur, 2000
•	Explanation of Wellness Program	Powell, 1999
•	Physical Fitness	Atkinson, 2000
•	Stress Management	Gilliam, 1999
•	Psychological and Mental Health Care	Delaney et al, 1998
•	Nutrition and Dietary Related Issues	Hernandez, 2000
•	Alcohol and Chemical Dependency	Ochsner Health Plan of Louisiana, 2001
•	Health Risk Appraisals	Knopf, 2000
•	Commitment and Support from Top Management	Martinez, 1999
		Vernarec, 2000
		Bromage, 2000
		Hartwell et al, 1996
		Church, 1993
PH	IYSICAL FITNESS	Atkinson, 2000
•	Provide mandatory physical fitness program	Gilliam, 1999
•	Provide voluntary physical fitness program	Church, 1993
•	Types of incentives offered	
•	Provide on duty time to exercise	
•	Provide workout facilities for all employees	
ST	RESS MANAGEMENT	Arthur, 2000
•	Teach stress management for all employees	Verespej, 2000
•	Provide supervisors training to assist subordinates	Church, 1993
•	Protect employees from stress before it occurs	
•	Departmental attempts to control stress from supervisor/other agencies	
•	Excessive paperwork, administrative/management	
•	Stress sufficiently addressed	
PS	YCHOLOGICAL and MENTAL HEALTH	Gilliam, 199
•	Types of pre-employment psychological testing	Vernarec, 2000
•	Types of post-employment psychological testing	Rotarius et al, 2000
•	Psychological or mental health test requirements	Cohen, 2001
•	Provide supervisors training in recognizing signs of psychological and mental distress	
•	Psychological and mental health needs of employees being met	
•	Provide follow-up psychological or mental health tests for at-risk employees	
•	Protect employee confidentiality	
•	Employee career status after seeking help for psychological or mental health problems	
•	Encourage employees to seek voluntary help	
NU	TRITION and DIETARY RELATED ISSUES	Atkinson, 2000
•	Provide nutrition/diet education to employees	Abresch et al, 2000
•	Provide training on importance of nutrition/diet	Powell, 1999
•	Provide behavior modification classes or programs to assist in nutrition and diet control	
	COHOL and CHEMICAL DEPENDENCY	Atkinson, 2000
•	Provide alcohol dependency treatment for employees	Becker et al, 2000
•	Provide chemical dependency treatment for employees	Cohen, 2001
•	Provide supervisors training to recognize substance abuse	Delaney et al, 1998
•	Current substance abuse program meets needs of the employee	Schneider et al, 2000
•	Encourage employees to seek voluntary help	Hersch et al, 2000
•	Protect employee from receiving disciplinary action for receiving assistance	Zarkin et al, 2000
•	Protect employee nonnecerving disciplinary action for receiving assistance	
•	Employee career status after seeking help for alcohol or chemical dependency	
-	Provide for the comprehensive needs of employees with current level of service	

## Conclusion

Reviewing the literature and examining the previous research conducted by Church, Gilliam, and Hernandez has provided a comprehensive understanding of the essential components that should be integrated into a quality employee wellness program. Furthermore, the literature and previous research have helped with the development of a conceptual framework for assessing wellness programs by comparing them to the practical ideal type.

In the following chapter, the research setting is described. This is important to better understand the work environment under which municipal employees function.

#### CHAPTER 3

## THE RESEARCH SETTING

#### Purpose

The purpose of this chapter is to provide a clearer understanding of the conditions under which municipal employees perform, particularly within the research population. The research setting is the twenty-five municipalities who are members of the Greater Austin-San Antonio Corridor Council (See **Appendix A** for a list of the municipalities).

## The Greater Austin – San Antonio Corridor Council

In 1982, local leaders met to discuss economic development issues for the fastgrowing Central/South Texas region. At the conference, area leaders perceived the need for an institution to define joint opportunities and problems, and coordinate efforts to resolve them. As a result, the Greater Austin – San Antonio Corridor Council was formed in October 1983, but the organization was not officially incorporated until February 1984 (The Greater Austin—San Antonio Corridor Council, 2001).

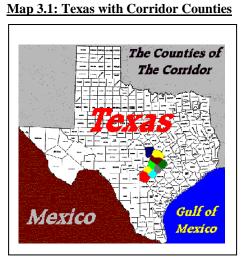
The Corridor Council, a private non-profit corporation, is composed of members from the region's business and public sectors. The Corridor Council is not a political subdivision of the State of Texas, nor does it have any statutory authority. Rather, the Corridor Council is governed by a Board of Directors, which discusses regional issues, sets policy for the Corridor Council's programs, and hires and provides oversight to the Council staff (The Greater Austin—San Antonio Corridor Council, 2001).

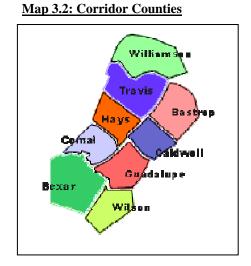
Along with a broad array of members, twenty-five municipalities are among the Corridor Council's membership (see **Appendix A** for a list of the municipalities).

Maps of the Austin-San Antonio Corridor are available on the Greater Austin-San Antonio Corridor Council's web page. The information, however, is listed according to counties the Austin-San Antonio Corridor encompasses rather than by cities, but most of the twenty-five municipalities used for this research study fall within the nine counties listed<sup>7</sup>. Hence, a map of the counties within the Corridor will be used to provide a general illustration for where the municipalities within this area are located.

## **Profile of Corridor Cities/ Counties**

Maps 3.1 and 3.2 provide visual aids for understanding where the Corridor cities/ counties are within the State of Texas, while **Table 3.1** lists the counties that correspond to each of the municipalities. Then, **Table 3.2** provides an overview of the characteristics that comprise the Corridor area.





<sup>&</sup>lt;sup>7</sup> All counties fall within the nine counties, with the exception of Boerne, which is a part of Kendall County, and Temple, which is part of Bell County.

<u>Municipality</u>	County Corresponding to Municipality
Austin	Travis-Williamson
Bastrop	Bastrop
Boerne	Kendall
Buda	Hays
Cedar Park	Williamson
Elgin	Bastrop
Georgetown	Williamson
Kyle	Hays
Lockhart	Caldwell
New Braunfels	Comal
Cibolo	Guadalupe
Converse	Bexar
Garden Ridge	Comal
Live Oak	Bexar
Schertz	Guadalupe-Comal-Bexar
Selma	Bexar-Comal-Guadalupe
Universal City	Bexar
Pflugerville	Travis
Round Rock	Travis-Williamson
San Antonio	Bexar
San Marcos	Hays
Seguin	Guadalupe
Taylor	Williamson
Temple	Bell
West Lake Hills	Travis

Table 3.1: Counties Corresponding to Municipalities within the Corridor\*

### Table 3.2: Corridor Profile\*

Area:	5,320 sq. miles or 13,779 sq. kilometers	
Population:	3.2 million	
Workforce:	1.4 million	
<b>University Enrollment:</b>	Approximately 200,000	
Cities Include:	Austin, San Antonio, Georgetown, Schertz, San Marcos, Seguin, New Braunfels,	
	Lockhart, Round Rock, Bastrop and Cedar Park	
Counties:	Bastrop, Bexar, Caldwell, Comal, Guadalupe, Hays, Travis, Williamson and Wilson	
Colleges & Universities:	ies: 17 including, The University of Texas at Austin and San Antonio, Southwest Texas	
	State University, St. Edward's, Trinity, St. Mary's, Southwestern University, and	
	the University of Texas Health Science Center	
Location:	200 miles south of Dallas (321 kilometers)	
	150 miles west of Houston (241 kilometers)	
	120 miles northwest of the Port of Corpus Christi (193 kilometers)	
	200 miles northeast of the Mexican border at Laredo (321 kilometers)	
Major Corporations:	Dell Computer Corporation, USAA, Southwestern Bell, Motorola, Texas	
	Instruments, Diamond Shamrock, Advanced Micro Devices, Apple Computers,	
	Mission Pharmacal, Bausch and Lomb, Pace Foods, HEB, Westinghouse, IBM and	
	Sony	
Major Tourist	The Alamo, Sea World of Texas, Fiesta Texas, The State Capitol, Sixth Street,	
Attractions:	Austin-Live Musical Capital of the World, The San Antonio River Walk, and the	
	Texas Hill Country	
Major Research	MCC, Southwest Research Institute, Texas Technology and Research Foundation,	
Organizations:	SEMATECH, The J.J. "Jake Pickle Center, Texas Foundation for Biomedical	
	Research	

\* Obtained from the Greater Austin-San Antonio Corridor Council website: http://www.corridorcouncil.org.

Since there are a wide range of positions and duties for which municipal employees are responsible within each of these cities, it is difficult to comprehensively explain the conditions under which every municipal employee functions. In addition, each city has different constituencies, resources, demands, priorities, and tax bases, thus making it difficult to compare the cities. Therefore, for purposes of this paper, the form of government under which each of these municipalities operates is discussed. All twentyfive municipalities operate under the council-manager form of local government.

#### **Council-Manger Form of Local Government**

The council-manager form of local government was born out of the progressive reform movement and combines the strong political leadership of elected officials in the form of a council, board, or other governing body with the strong professional experience of an appointed local government administrator—or city manager. The council-manager form is different from systems that operate at the federal and state levels in that this form does not provide for a separation of powers--all power is concentrated in the elected council (International City/ County Management Association, 2001).

The elected council hires a professional administrator to carry out the administrative responsibilities and implement policies adopted by the council. Functioning similar to a Chief Executive Officer (CEO) in the private sector, the appointed professional manager administers the daily operations of the community. Through the manager's professional staff, he or she ensures services are provided to citizens and enforces policies approved by the council. In this sense, the manager's ability to respond to both council and citizens is tested on a daily basis. In selecting a manger, the council closely considers qualifications and

performance. Accordingly, the manager is selected on the basis of education, training, and experience. With no guaranteed term of office, appointed local government managers can be dismissed by the council at any time, for any reason. In order to circumvent dismissal, managers must continuously respond to both citizens and the council (International City/ County Management Association, 2001).

In the council-manager government, council members are the leaders and policy makers elected to represent various segments of the community and to concentrate on policy issues that are responsive to citizens' needs and wishes. The Council is the legislative body; its members are the community's decision makers. The council is responsible for such things as approving the budget and determining the tax rate. The Council also focuses on the community's goals, major projects, community growth, land use developments, capital improvements plans, capital financing, and strategic planning. This is not to imply that the manager plays an inactive role in the policy-making process. Actually, the city manager makes policy recommendations to the council, but the council makes the ultimate decision whether or not to adopt the policy or modify the recommendations (International City/County Management Association, 2001).

Mayors in council-manager communities are key political leaders and policy developers. The mayor is responsible for soliciting citizen views in forming these policies and interpreting them to the public. The mayor presides at council meetings, serves as a spokesperson for the community, facilitates communication and understanding between elected and appointed officials, assists the council in setting goals and advocating policy decisions, and serves as a promoter and defender of the community. In addition, the mayor serves as a key representative in intergovernmental relations. The mayor, council and manager constitute a policy-development and management team (International City/ County Management Association, 2001).

Not all council-manager governments are structured the same way. Rather, one of its most attractive features is that the council-manager form is adaptable to local conditions and preferences. For example, some communities have councils that are elected at large, while other councils are elected by district. Some local governments have mayors who are elected by the voters at large, while others are elected by their colleagues on the council (International City/ County Management Association, 2001).

The council-manager form of local government is widely used among many communities. In fact, more than 71 million Americans live in council-manager communities today. This form has become the most popular system of local government for communities with populations of 5,000 or over (International City/ County Management Association, 2001).

# **CHAPTER 4**

# **RESEARCH METHODOLOGY**

This research project assesses how closely the wellness programs among municipalities within the Austin-San Antonio Corridor compare to the practical ideal type. The techniques selected to aid in the completion of this project are survey and content analysis. In this chapter, the research methods and methodology are discussed. In addition, the operationalization of the practical ideal type categories developed in the conceptual framework is described.

# **Survey Research**

Given that the purpose of this project is to gauge how closely wellness programs within the twenty-five municipalities within the Austin-San Antonio Corridor compare to the practical ideal type, a survey appears to be the most appropriate methodology. The survey is used to describe each of the twenty-five municipalities' wellness programs in order to assess how they are performing when compared to the practical ideal type.

According to Earl Babbie, survey research is helpful for "describing the characteristics of a large population" (1998: 251). In addition, surveys are helpful when a study is descriptive and if people are the main units of analysis (Babbie, 1998: 256). In this study, the main unit of analysis is each individual city. Still, there are more advantages to survey research. For example, it is easy to standardize responses obtained from survey research into categories (Babbie, 1998: 273), and the use of standardized questionnaires is a very reliable research tool (Babbie, 1998: 255).

Although survey research has many strengths, there are also weaknesses that should be taken into account when choosing to administer a survey. According to Babbie, the primary weakness is that the information contained in the survey may be artificial and superficial due to the standardization of the questionnaire (Babbie, 1998: 273). Also, surveys tend to be inflexible, and they cannot always measure social action (Babbie, 1998: 273-74). For this research study, however, a survey seemed to be the most appropriate technique to employ.

In order to compensate for some of the shortcomings and to provide support for the survey research, multiple sources of evidence should be used. Using multiple sources of evidence enables a researcher to address a broader range of historical, attitudinal and behavioral issues through the use of converging lines of inquiry—or triangulation—to reach accuracy and corroboration (Yin, 1994: 91). For these reasons, content analysis was used to measure features that the survey failed to capture.

#### **Content Analysis**

By using content analysis as an unobtrusive method of observation, social scientists and researchers can examine a class of social artifacts, typically written documents (Babbie, 1998: 308). Babbie acknowledges that content analysis methods can be utilized with a wide range of communication modes, such as books, magazines, poems, newspapers, letters, documents, or any other components or collections thereof. Furthermore, content analysis is useful for answering the basic questions of communications research, such as "Who says what, to whom, why, and with what effect?" (Babbie, 1998: 311). Content analysis is also used to verify data obtained from survey research. In this study, the artifacts selected for content analysis are employee handbooks, policies and procedures manuals, pamphlets, or any other documents that address the municipality's wellness program.

# **Population**

Only municipalities who are members of the Greater Austin-San Antonio Corridor Council were surveyed (See **Appendix A** for a list of surveyed cities). This population was chosen because the Greater Austin-San Antonio Corridor Council encompasses all cities within the Austin-San Antonio metropolitan area.

#### **The Survey Instrument**

A self-administered survey was used to assess the components of wellness programs among the twenty-five municipalities within the Austin-San Antonio Corridor. **Appendix A** enumerates the twenty-five municipalities who were surveyed. On September 2, 2001, surveys were mailed, along with a cover letter and a self-addressed stamped envelope, to human resources officers in these municipalities. A copy of the draft survey is attached as **Appendix D**. Follow-up phone calls were made on September 24, 2001, asking that the surveys and content analysis documents be mailed back as soon as possible.

# **Survey Design and Construction**

The survey used to complete this project was originally developed from previous research conducted by Rosanna L. Church, and was used by R. Ryan Gilliam and Arturo Hernandez in their follow-up studies. Since this survey has been tested by Church, Gilliam, and Hernandez, who are all graduates of the Master of Public Administration program at Southwest Texas State University, and the instrument provided empirical results in those previous research studies, it was not necessary to pre-test the survey instrument.

The survey consists of 37 closed-ended questions, in which each respondent was asked to evaluate their existing wellness programs in regard to rules, policies, and perceptions about the effectiveness of their wellness program. Each question was answered by the respondent by marking "yes," "no," or "unknown." Also, respondents were asked to provide any additional information. **Appendix C** provides a copy of the cover letter and the survey instrument (**Appendix D**) that was mailed to each of the human resources officers.

#### **Operationalizing the Conceptual Framework**

Operationalizing the methods for the conceptual framework is used as a means to link the practical ideal type categories to the data collection methods. **Tables 4.1** and **4.2** indicate how the conceptual framework is linked to the survey instrument and content analysis. The tables describe how the questionnaire items are operationalized in relation to the concepts found within the practical ideal type categories. Each of the wellness program components listed in the tables are similar to those found in the actual survey (See Appendix D for a copy of the survey). Also, a code sheet (Appendix E) is used for each

of the municipalities surveyed.

<b>Table 4.1</b>	Operationalizing	the Conceptua	l Framework

	Category and Ideal Type Component	<b>Research Methods</b>	Source(s)
W	ELLNESS PROGRAM OVERVIEW	Content Analysis	Arthur, 2000
• • • •	Explanation of Wellness Program Physical Fitness Stress Management Psychological and Mental Health Care Nutrition and Dietary Related Issues	Survey	Powell, 1999 Atkinson, 2000 Gilliam, 1999 Delaney et al, 1998 Hernandez, 2000
•	Health Risk Appraisals Alcohol and Chemical Dependency Commitment and Support from Top Management		Ochsner Health Planof Louisiana, 2001 Knopf, 2000 Martinez, 1999 Vernarec, 2000 Bromage, 2000 Hartwell et al, 1996 Church, 1993
PH	IYSICAL FITNESS	Content Analysis	Atkinson, 2000
• • •	Provide mandatory physical fitness program Provide voluntary physical fitness program Types of incentives offered Provide on duty time to exercise Provide workout facilities for all employees	Survey	Gilliam, 1999 Church, 1993
ST	RESS MANAGEMENT	Content Analysis	Arthur, 2000
• • •	Teach stress management for all employees Provide supervisors training to assist subordinates Protect employees from stress before it occurs Departmental attempts to control stress from supervisor/other agencies Excessive paperwork, administrative/management Stress sufficiently addressed	Survey	Verespej, 2000 Church, 1993
PS	YCHOLOGICAL and MENTAL HEALTH	Content Analysis	Gilliam, 199
•	Types of pre-employment psychological testing Types of post-employment psychological testing Psychological or mental health test requirements Provide supervisors training in recognizing signs of psychological and mental distress Psychological and mental health needs of employees being met Provide psychological or mental health tests for at-risk employees Protect employee confidentiality Employee career status after seeking help for psychological or mental health problems Encourage employees to seek voluntary help	Survey	Vernarec, 2000 Rotarius et al, 2000 Cohen, 2001
NU • •	TRITION and DIETARY RELATED ISSUES Provide nutrition/diet education to employees Provide training on importance of nutrition/diet Provide behavior modification classes or programs to assist in nutrition and diet control	Content Analysis Survey	Atkinson, 2000 Abresch et al, 2000 Powell, 1999

ALCOHOL and CHEMICAL DEPENDENCY	Content Analysis	Atkinson, 2000
Provide alcohol dependency treatment for employees	Survey	Becker et al, 2000
Provide chemical dependency treatment for employees		Cohen, 2001
Provide supervisors training to recognize substance abuse		Delaney et al, 1998
Current substance abuse program meets needs of employees		Schneider et al, 2000
Encourage employees to seek voluntary help		Hersch et al, 2000
• Protect employee from receiving disciplinary action for receiving assistance		Zarkin et al, 2000
Protect employee confidentiality		
Employee career status after seeking help for alcohol and/or chemical dependency problems		
<ul> <li>Provide for the comprehensive needs of employees with current level of service</li> </ul>		

# Table 4.2: Linking Conceptual Framework to the Survey Instrument and Content Analysis

	Category and Ideal Type Component	Survey***	Content Analysis****
WF	CLLNESS PROGRAM OVERVIEW		
•	Explanation of Wellness Program	*Q1	**I A
•	Physical Fitness	Q2a	I A1
•	Stress Management	Q2b	I A2
•	Psychological and Mental Health Care	Q2c	I A3
•	Nutrition and Dietary Related Issues	Q2d	I A4
•	Alcohol and Chemical Dependency	Q2e	I A5
•	Health Risk Appraisals	Q3	I A6
•	Commitment and Support from Top Management	Q4	I A7
PH	YSICAL FITNESS		
•	Provide mandatory physical fitness program	Q5	II A
•	Provide voluntary physical fitness program	Q6a	II B
•	Types of incentives offered	Q6b,c	II C
•	Provide on duty time to exercise	Q7	II D
•	Provide workout facilities for all employees	Q8	ΠE
ST	RESS MANAGEMENT		
•	Teach stress management for all employees	Q9	III A
•	Provide supervisors training to assist subordinates	Q10	III B
•	Protect employees from stress before it occurs	Q11	III C
•	Departmental attempts to control stress from supervisor/other agencies	Q12a, b	III D1, 2
•	Excessive paperwork, administrative/management	Q12 c, d	III D3, 4
•	Stress sufficiently addressed	Q13	III E
PSY	YCHOLOGICAL and MENTAL HEALTH		
•	Types of pre-employment psychological testing	Q14	IV A
•	Types of post-employment psychological testing	Q15	IV B
•	Psychological or mental health test requirements	Q16 a, b	IV C
•	Provide supervisors training in recognizing signs of psychological and mental distress	Q17	IV D
•	Psychological and mental health needs of employees being met	Q18	IV E
•	Provide follow-up psychological or mental health tests for at-risk employees	Q19	IV F
•	Protect employee confidentiality	Q20	IV G
•	Employee career status after seeking help for psychological or mental health problems	Q21	IV H

Encourage employees to seek voluntary help	Q22	IV I
NUTRITION and DIETARY RELATED ISSUES		
Provide nutrition/diet education to employees	Q23	V A
Provide training on importance of nutrition/diet	Q24	V B
Provide behavior modification classes or programs to assist in nutrition and diet control	Q25	V C
ALCOHOL and CHEMICAL DEPENDENCY		
Provide alcohol dependency treatment for employees	Q26	VI A
Provide chemical dependency treatment for employees	Q27	VI B
Provide supervisors training to recognize substance abuse	Q28	VI C
• Current substance abuse program meets needs of the employee	Q29	VI D
Encourage employees to seek voluntary help	Q30	VI E
• Protect employee from receiving disciplinary action for receiving assistance	Q31	VI F
Protect employee confidentiality	Q32	VI G
Employee career status after seeking help for alcohol and/or chemical dependency problems	Q33	VI H
Provides for the comprehensive needs of employees with current level of service	Q34	VII
Key:		
*Q = Survey Question Number ** = Content Analysis Coding Number	***See Appendix D	****See Appendix E

# Statistics

Simple descriptive summary statistics are used to present data obtained from this project. For each category in the practical ideal type, the percentage of municipalities that meet each criterion is presented. A summary of the results will explain the extent to which municipalities within the Austin-San Antonio Corridor meet the specified criteria outlined in the practical ideal type.

#### **CHAPTER 5**

# RESULTS

# Introduction

The purpose of this study is to assess the availability and comprehensiveness of wellness programs among municipalities within the Austin-San Antonio Corridor as compared to components of an ideal wellness program. This chapter presents the results of a survey conducted in which each of the twenty-five municipalities were asked to answer questions regarding its current wellness program.

# **Response Rate**

Surveys were mailed to Human Resources officers of municipalities within the Austin-San Antonio Corridor Council, a total of twenty-five cities. Of the twenty-five municipalities, nineteen returned the survey within two weeks without contact from the researcher. Of the nineteen municipalities who returned the survey, only two (the City of San Marcos and the City of Seguin) returned the requested documentation needed to complete the content analysis portion of this project. Thus, for the survey portion, the response rate is seventy-six percent. For the content analysis portion of this project, the response rate is eight percent. All of the collected data was usable for purposes of this project.

#### **Analysis of Survey Results**

The primary purpose of the survey was to assess the wellness programs employed by municipalities within the Austin-San Antonio Corridor Council. The survey questions were developed as a means to provide an overview of the services offered within these municipalities. The responses collected were compared to the ideal characteristics as set forth by the current literature and conceptual framework. The ideal wellness program components are: physical fitness, stress management, psychological and mental health, diet and nutrition and alcohol and chemical dependency.

The questions asked in the survey are designed to determine the existence of wellness programs, the contents of the program, and the nature and extent to which each component is used. Although optional, information was also requested regarding the number of employees each city employs and number of days used for sick leave per year.

The remainder of this chapter describes the results of the data obtained from the survey. Each component of the conceptual framework is discussed separately, with the results given for each one. The results are discussed either by the raw numbers or by the percentage that answered each way.

### Wellness Program Overview

Again, it is important to note that "Wellness Program Overview" is not part of the practical ideal type. Rather, it provides a means to get a snapshot profile of the components that comprise a comprehensive wellness program. **Table 5.1** illustrates the results found for this particular section of the survey.

As revealed in **Table 5.1**, the majority of municipalities do not have many of the components outlined in the practical ideal type. In fact, less than one-half of the municipalities who responded to the survey answered "yes" to having a wellness program for their city employees. Of that, only six municipalities said they offer employees

physical fitness programs; seven address stress management and dietary and nutrition issues; eight address psychological and mental health care and alcohol and chemical dependency; six conduct health risk appraisals; and seven feel they have commitment and support from top management.

Element	<u>n</u>	%Yes	<u>%No</u>	<b>Total</b>
Wellness Program	19	42%	58%	100%
Explanation of Wellness Program				
Physical Fitness	17	35%	65%	100%
Stress Management	18	39%	61%	100%
Psychological and Mental Health Care	18	44%	56%*	100%
Nutrition and Dietary Related Issues	18	39%	56%	100%
Alcohol and Chemical Dependency	18	44%	56%	100%
Health Risk Appraisals	19	32%	68%	100%
Commitment and Support from Top Mgt.	18	39%	50%**	100%

#### Table 5.1: Wellness Program Overview Results

\* 1 city indicated "Unknown"

\*\* 2 city indicated "Unknown"

## **Physical Fitness**

**Table 5.2** reveals that none of the municipalities who responded to the survey provide mandatory physical fitness programs, and almost one-half provide voluntary physical fitness programs. Furthermore, almost one-quarter offer incentives, less than one in ten provide on-duty time to exercise, and one-fifth provide workout facilities for their employees.

Element	n	% Yes	<u>% No</u>	Total
Provide mandatory physical fitness program	19		100%	100%
Provide voluntary physical fitness program	19	47%	53%	100%
Types of Incentives offered	16	24%	63%*	100%
Provide on-duty time to exercise	19	11%	89%	100%
Provide workout facilities for employees	19	21%	79%	100%

\* 2 cities indicated "Unknown"

# **Stress Management**

Table 5.3 enumerates the results obtained from the survey regarding stress management. Of the responses received, one-quarter of the municipalities teach stress management for all employees, while a majority provide supervisors with training to assist subordinates. In addition, almost forty percent attempt to protect employees from stress before it occurs and attempt to control stress from supervisors and administration/management. Only one-third of the municipalities feel that stress is sufficiently addressed.

Perhaps even more interestingly, over one-fourth indicated "Unknown" when asked if their supervisors protect employees from stress before it occurs. Moreover, twenty-one percent marked "Unknown" when asked if the department attempts to control stress from supervisors, excessive paperwork, and administration/management.

Element	<u>n</u>	% Yes	<u>% No</u>	<u>% Unknown</u>	Total
Teach stress management for all employees	19	26%	74%		100%
Provide supervisors with training	19	63%	37%		100%
Protect employees from stress before it occurs	19	37%	37%	26%	100%
Department attempts to control stress from:					
Supervisors	19	37%	42%	21%	100%
other agencies	19	21%	53%	26%	100%
excessive paperwork	19	21%	58%	21%	100%
administration/management	19	37%	42%	21%	100%
Stress sufficiently addressed	19	32%	58%	10%	100%

#### **Psychological and Mental Health**

**Table 5.4** depicts the results from the psychological and mental health portion of the survey. Almost three-quarters of the municipalities who responded to the survey said their city utilizes pre-employment psychological testing, while none of them employ post-employment psychological testing. Only one-third said that their city requires

psychological or mental health testing on an as needed basis. Of those cities that require these types of tests, a majority said their city uses the requirement when needed.

Moreover, more than one-third provide supervisors with training to assist in recognizing signs of psychological or mental distress, while less than half feel that the psychological or mental health needs of employees within the city are being met. In addition, less than one-fifth provide follow-up psychological or mental health tests for at-risk employees, almost all of them protect employee confidentiality for those who seek psychological or mental health care, more than three-quarters said seeking help for psychological or mental health problems would not harm an employee's career, and the majority said employees are encouraged to seek voluntary help.

Element	<u>n</u>	% Yes	<u>% No</u>	<u>% Unknown</u>	Total
Pre-employment and psychological testing	19	74%	26%		100%
Post-employment psychological testing	19		100%		100%
Psychological or mental health test requirements	19	32%	42%	26%	100%
Requirement provided when needed	10	60%	30%	10%	100%
Provide supervisors training in recognizing signs of psychological and mental distress	19	32%	63%	5%	100%
Psychological and mental health needs of employees being met	19	42%	32%	26%	100%
Provide follow-up psychological or mental health tests for at-risk employees	19	16%	63%	21%	100%
Protect employee confidentiality	19	84%	11%	5%	100%
Employee career status after seeking help for	19		79%	21%	100%
psychological or mental health problems Encourage employees to seek voluntary help	19	79%	10.5%	10.5%	100%

**Table 5.4: Psychological and Mental Health Results** 

### **Nutrition and Dietary Related Issues**

The results for the portion of the survey regarding nutrition and dietary related issues are presented in **Table 5.5**. Only thirty-seven percent of the municipalities said it provides nutrition/ diet education to employees, while only one-third provide training on

the importance of nutrition/ diet. Also, only one-fourth provide behavior modification classes or programs to assist in nutrition and diet control.

Element	<u>n</u>	% Yes	<u>% No</u>	<u>Total</u>
Provide nutrition/ diet education to employees	19	37%	63%	100%
Provide training on importance of nutrition/ diet	19	32%	68%	100%
Provide behavior modification classes or programs	19	26%	74%	100%
to assist in nutrition and diet control				

**Table 5.5: Nutrition and Dietary Related Issues Results** 

#### **Alcohol and Chemical Dependency**

In **Table 5.6**, the results for the alcohol and chemical dependency portion of the survey are presented. Almost all municipalities said that their city provides alcohol dependency treatment for employees, almost three-quarters provide chemical dependency treatment for employees, more than half provide supervisors with training to recognize substance abuse in their subordinates, and a majority said the current substance abuse program meets the needs of the employee. Furthermore, sixty-three percent encourage employees to seek voluntary help, almost three-fourths said employees are not subject to disciplinary action for receiving assistance for substance abuse, more than three-quarters protect the confidentiality of employees who seek alcohol or chemical dependency treatment, seventy-four percent said an employee's career would not be harmed for seeking help for alcohol or chemical dependency, and most municipalities said the city provides for the comprehensive needs of employees with the current level of service.

Still, what is interesting is that over one-fourth indicated "Unknown" when asked if employees are given disciplinary action for receiving assistance for an alcohol or chemical dependency problem. In addition, twenty-one percent answered "Unknown" when asked if an employee's career status is affected after seeking help for alcohol and/or chemical dependency problems.

Element	<u>n</u>	<u>% Yes</u>	<u>% No</u>	<u>% Unknown</u>	Total
Provide alcohol dependency treatment for employees	19	89%	11%		100%
Provide chemical dependency treatment for employees	19	74%	21%	5%	100%
Provide supervisors training to recognize substance abuse	19	63%	26%	11%	100%
Current substance abuse program meets needs of the	19	69%	26%	5%	100%
employee					
Encourage employees to seek voluntary help	19	63%	26%	11%	100%
Disciplinary action for receiving assistance	19		74%	26%	100%
Protect employee confidentiality	19	79%	16%	5%	100%
Employee career status after seeking help for alcohol and/	19	5%	74%	21%	100%
or chemical dependency problems					
Provide for the comprehensive needs of employees with	19	69%	26%	5%	100%
current level of service					

Table 5.6: Alcohol and Chemical Dependency Results

### **Content Analysis Results**

As mentioned earlier, only two municipalities (City of San Marcos and City of Seguin) returned the documentation needed to complete the content analysis portion of this project; therefore, the researcher could not perform content analysis. Thus, for purposes of this paper, the components enumerated in each of the documents submitted for each city will simply be outlined.

First, it is important to understand that wellness programs are a relatively new practice for smaller cities. The fact that each of these cities has any form of a wellness program, is a positive sign. Second, each of the documents the two municipalities included may not explain all of the components each city uses as a wellness program for its employees. In other words, these documents only provide an overview of the wellness programs employed by each of these cities. The documents should not be interpreted as an all-inclusive and comprehensive explanation about each aspect the municipality uses to form its wellness program.

#### **City of San Marcos**

The City of San Marcos enclosed a brochure along with its completed survey. The brochure is entitled *Your Employee Assistance Program*, and outlines the various problems in which the program can aid employees. In particular, the brochure highlights help for the following concerns: relationship problems, depression or anxiety, child and elder care, legal issues, financial issues, alcohol and other drug problems, or other problems.

In addition, the brochure answers four common questions. First, it explains that employees can receive help simply by calling a 1-800 number any time of day or night. At this time, employees can speak with a professional counselor or set an appointment with a counselor at a later time. Second, the brochure explains that there are never any charges for participation in an EAP program. Third, the brochure assures employees that their confidentiality will be protected. Finally, it explains that in a crisis situation, licensed clinicians are available 24 hours per day, 365 days a year to help employees deal with the crisis situation.

#### **City of Seguin**

The City of Seguin mailed a pamphlet entitled *A Brief Look at Your Employee Assistance Program*. Within this pamphlet is a description of the employee assistance program. The city advertises the program as a "mental health benefit" for employees and their families. Further, it describes a 24-hour crisis hotline available at any time and explains that employees are entitled to six free counseling sessions per problem, per year. Moreover, the pamphlet answers the three most frequently asked questions. First, it explains that the employee assistance program can help with any type of problem. Second, the pamphlet says that if an employee is thinking about calling, he or she should. The employee does not need to wait until the problem gets "bad enough." Third, the pamphlet assures employees that state and federal laws protect their confidentiality. The City is not notified unless the employee gives written permission. Last, the pamphlet lists the following as common concerns: overwhelming stress, anxiety, depression, drug and alcohol abuse, parenting issues, adolescent issues, marital conflicts, anger, emotional pain, spiritual conflicts, grief, workplace conflicts, legal, financial, relationships, and more. By looking at the pamphlet, it is clear that the City of Seguin's employee assistance program is administered by a third party—Guadalupe Valley Hospital/Employee Assistance Services.

This chapter presented the results of the survey and content analysis portions of the project to assess the wellness programs employed by municipalities among the Austin-San Antonio Corridor. Chapter 6 explains the overall results and determines whether or not the municipalities' programs meet the ideal type developed throughout this study.

#### **CHAPTER 6**

# CONCLUSION

This chapter presents the overall results of this study, while identifying whether or not the wellness programs within the municipalities meet the practical ideal type as identified in the preceding chapters. Finally, recommendations are made for improving wellness programs within the cities surveyed in order make the programs more comprehensive and well integrated.

As demonstrated in the Chapter 5, only forty-two percent of the municipalities within the Austin-San Antonio Corridor who responded to the survey provide a wellness program for their employees. Less than one-half of these municipalities, however, provide the five essential components that should be found in an ideal and comprehensive wellness program. From the data collected, it is apparent that cities are meeting some of their employees' needs yet failing to meet others. In other words, by not providing a comprehensive wellness program for their employees, the employees within these municipalities will not receive adequate help in areas related to physical fitness, stress management, psychological and mental health, nutrition and dietary related issues, and alcohol and chemical dependency.

In an attempt to aid these municipalities in establishing more comprehensive and well-integrated wellness programs, recommendations about how to improve wellness programs within the Austin-San Antonio Corridor are included. Each set of recommendations has been broken down to focus separate attention on each one of the five components.

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#### **Physical Fitness Recommendations**

As established by the current literature, physical fitness is a necessary component of any wellness program. Although almost one-half of the municipalities provide a voluntary fitness program, less than one-quarter provide workout facilities for their employees. It is unclear, however, if there are other modes of exercise that are encouraged besides working out at a gym, such as walking, bicycling, jogging, or swimming. Because only two of the municipalities provide on-duty time to exercise, it may not be practical or cost-efficient to provide on-site workout facilities for employees. Rather, a better alternative might involve working with the county, local university and other public entities to share workout facilities, or perhaps, it might be possible for the municipality to team up with local gyms and healthcare facilities to establish physical fitness plans for employees. Still, even if the employee decides to become a member of a local workout facility, the municipality could pay a portion of the employee's monthly or yearly membership dues. The money could be budgeted in the municipality's budget as part of professional development and could prove to be a tremendous benefit for the entire organization, since employees who feel better and more confident, will probably perform more productively than their less fit counterparts.

Another way in which municipalities can increase motivation to participate in physical fitness programs is to provide incentives, such as three days of extra vacation days per year. Also, peer support based exercise programs, team competitions, and walking partners might increase participation and motivate employees to achieve fitness goals.

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## **Stress Management Recommendations**

As noted in the current literature, stress management is imperative due to the detrimental results high levels of stress can produce. Although sixty-three percent of municipalities provide supervisors with training to assist subordinates in dealing with stress, only twenty-six percent claim to teach stress management to employees. This is an area in need of improvement. It is important for supervisors to recognize early signs of stress in order to ensure that employees receive the appropriate care in a timely manner. At the same time, it is essential for employers to find ways of teaching stress management to employees. Perhaps the employer can hold sessions to teach relaxation techniques. Also, as identified in the Literature Review Chapter, many employees suffer from stress that arises from problems with supervisors, other agencies, excessive paperwork, and pressures from administration and management, but less than half of the municipalities seem to address these issues. Furthermore, only thirty-seven percent said that supervisors protect employees from stress before it occurs, and a mere thirty-percent feel that stress is sufficiently addressed. Clearly, this demonstrates the prevention of stress, stress management, and control of stress is inadequately addressed within these municipalities.

What is needed is more training and education for supervisors as well as employees. Supervisor training for recognizing the signs of increased stress and its negative effects is important, but it is equally essential for municipalities to provide education and training to aid employees in recognizing stress. In doing so, employees are provided with the necessary tools to healthily cope with stress and communicate their need for assistance to supervisors and co-workers. One education and training strategy is for employers to require all employees to have a personality profile in order to understand where causes of stress might arise. Once the person knows the sources of his or her stress, then he or she can learn ways (i.e., relaxation techniques) that might alleviate or provide an outlet for some of the pressure an employee might be experiencing.

# **Psychological and Mental Health Recommendations**

Psychological and mental health testing seems to be an important concern for municipal employees, especially for fire and police. In fact, almost three-fourths require pre-employment and psychological testing, but only thirty-two percent provide supervisors with training in recognizing signs of psychological and mental distress among its This is fundamental when considering the nature of many high-stress jobs employees. within municipalities. At-risk employees, in particular, need more psychological testing. Foremost, it is important to remember that employee confidentiality be protected. The majority of municipalities appear to adequately address this issue. In addition, it seems as though there is a heavy reliance on the municipalities employee assistance program to meet the psychological and mental health needs of employees. Although this reliance is beneficial for ensuring that trained professionals deal with stressful situations, supervisors and co-workers should also be properly trained to recognize warning signs of problems that could potentially become crises. Perhaps even more importantly, these same people should learn how to prevent on-the-job stress from becoming the cause of psychological distress.

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# **Nutrition and Dietary Related Issues Recommendations**

The area of nutrition and dietary related issues seem to be the weakest point of all wellness program components within cities in the Austin-San Antonio Corridor. Only thirty-seven percent said that they provide nutrition and diet education to employees, thirty-two percent provide training on the importance of nutrition and diet, and only twenty-six percent provide behavior modification classes or programs to assist in nutrition and diet control.

Municipalities need to provide more nutrition and dietary education to their employees, and they also need to articulate the importance of nutrition and diet. One way to accomplish this might be to provide nutrition and diet control classes. This can be achieved by contracting outside experts or health department officials to provide classes and training regarding the importance of nutrition and diet. In addition, incentives could be offered for dieting, such as paid time off from work. Providing incentives might get more people involved. Above all, creating a supportive work environment can provide the greatest incentive for proper nutrition and weight control.

#### **Alcohol and Chemical Dependency Recommendations**

Over three-fourths of the municipalities who responded to the survey said to have a wellness program that provides for the treatment of alcohol or chemical abuse. Overwhelmingly, municipalities protect the confidentiality of employees seeking help for alcohol or chemical dependency, do not discipline employees for seeking help, and do not harm the employee's career after receiving assistance. Clearly, this is the strongest component of the municipalities' wellness programs. Like the psychological and mental health component, however, there is a heavy reliance on the employee assistance program for treating alcohol and chemical dependency. Even so, a majority of the municipalities also encourage employees to seek voluntary help, and sixty-three percent of them provide supervisors with training to recognize substance abuse among their subordinates. This helps with identifying early warning signs of problems in the workplace attributed to substance or alcohol abuse. This is essential for ensuring that employees get the necessary help before a crisis situation occurs. Prevention through education and training as well as the detection and identification of warning signs by supervisors or co-workers can provide essential assistance to at-risk employees.

# Summary

In summary, although some municipalities provide wellness programs for their employees, there is still much room for improvement. In order to make adjustments to move toward developing well-integrated and comprehensive wellness programs, the practical ideal type identified in this study would be an extremely useful tool for helping municipalities develop their programs accordingly.

 Table 6.1 provides a summary of the results of this study and includes an overview

 of the recommendations made.

<u>Table 6.1: Overall Conclusions an</u> <u>Criteria</u>	Meets Practical	<b>Recommendations</b>
<u></u>	Ideal Type	
<b>Overall Explanation of Wellness</b>	No	More comprehensive wellness programs should
Program	(Mixed)	be developed. It is imperative for all five
		components to be integrated.
Dhugioal Eiteeag	Na	There should be more abasised fitness are same
Physical Fitness	No (Mixed)	There should be more physical fitness programs, workout facilities and incentives available to
	(Wirked)	municipal employees. Partnering with local
		gyms, paying a portion of membership fees, and
		encouraging peer support may increase
		participation in exercise endeavors.
Stugge Manager	Ν.	Municipalities should express to the Community
Stress Management	No (Mixed)	Municipalities should control other forms of stress for its employees, such as stress from
	(WIXed)	supervisors and administration/ management.
		Personality profiles may be helpful for
		identifying sources of stress, and more time must
		be spent training supervisors on how to help
		employees with stress management.
Psychological/ Mental Health	Somewhat	Provide supervisors with more training to
i sychological/ Mental Health	Somewhat	recognize psychological/ mental health warning
		signs. Also, municipalities should try to
		adequately assess the psychological needs of
		employees and develop mechanisms within their
		wellness programs to address those needs.
Nutrition and Diet	No	Increase nutrition and dietary training and
Nutrition and Dict	(Mixed)	education to include information regarding the
	(	importance of nutrition and diet, and provide
		behavior modification classes for employees.
	X7	
Alcohol and Chemical	Yes	Provide more training for supervisors to
Dependency		recognize signs of substance or alcohol abuse among their employees. Ensure that an
		Employee Assistance Program is not perceived as
		a substitute for education about the prevention of
		substance or alcohol abuse among employees.
L		

Table 6.1: Overall Conclusions and Recommendations

Name of City	Population
Austin	629,769 <sup>8</sup>
Bastrop	5,276 <sup>9</sup>
Boerne	$6,170^{10}$
Buda	2,081
Cedar Park	22,231 <sup>11</sup>
Elgin	$6,158^{12}$
Georgetown	$28,790^{13}$
Kyle	5,003
Lockhart	$13,600^{14}$
New Braunfels	36,526 <sup>15</sup>
Cibolo**	2,811 <sup>16</sup>
Converse**	$11,415^{17}$
Garden Ridge**	$2,382^{18}$
Live Oak**	$10,807^{19}$
Schertz**	18,500
Selma**	685 <sup>20</sup>
Universal City**	$15,599^{21}$
Pflugerville	14,868 <sup>22</sup>
Round Rock	$60,686^{23}$
San Antonio	1,114,579
San Marcos	39,491 <sup>24</sup>
Seguin	21,941
Taylor	$14,690^{25}$
Temple	53,733
West Lake Hills	2,542

# Appendix A: List of Greater Austin-San Antonio Corridor Council Member Cities\*

\* Obtained from the Greater Austin-San Antonio Corridor Council website: http://www.corridorcouncil.org .

\*\* Cities listed as the Northeast Partnership

<sup>&</sup>lt;sup>8</sup> Source: Texas City Management Association 2000-2001 Directory.

<sup>&</sup>lt;sup>9</sup> Ibid.

 <sup>&</sup>lt;sup>10</sup> Ibid.
 <sup>10</sup> Ibid.
 <sup>11</sup> Ibid.
 <sup>12</sup> Ibid.
 <sup>13</sup> Ibid.
 <sup>14</sup> Ibid.
 <sup>15</sup> Ibid.

<sup>&</sup>lt;sup>16</sup> Ibid.

<sup>&</sup>lt;sup>17</sup> Ibid.

<sup>&</sup>lt;sup>18</sup> Source: City of Garden Ridge website: <u>http://www.ci.garden-ridge.tx.us/profile.htm</u>.

<sup>&</sup>lt;sup>19</sup> Source: Texas City Management Association 2000-2001 Directory.

<sup>&</sup>lt;sup>20</sup> Ibid.

<sup>&</sup>lt;sup>21</sup> Source: Universal City website: <u>http://www.universalcitytexas.com/Demographics.htm</u>.

<sup>&</sup>lt;sup>22</sup> Source: Texas City Management Association 2000-2001 Directory.

<sup>&</sup>lt;sup>23</sup> Ibid.

<sup>&</sup>lt;sup>24</sup> Ibid. <sup>25</sup> Ibid.

# Appendix B: List of Greater Austin-San Antonio Corridor Council Member City Addresses

- City of Austin ATTN: Human Resources Officer Two Commodore Plaza Building 206 E. 9th Street, 1st floor P.O. Box 1088 Austin, Texas 78701
- 2. City of Bastrop ATTN: Human Resources Officer 904 Main street P.O. Box 427 Bastrop, Texas 78602
- City of Boerne ATTN: Human Resources Officer 402 E. Blanco Road Boerne, Texas 78006
- 4. City of Buda ATTN: Human Resources Officer 121 North Main Street P.O. Box 1218 Buda, Texas 78610
- City of Cedar Park ATTN: Human Resources Officer 600 N. Bell Blvd. Cedar Park, Texas 78613
- City of Elgin ATTN: Human Resources Officer P.O. Box 591 Elgin, Texas 78621
- City of Georgetown ATTN: Human Resources Officer P.O. Box 409 Georgetown, Texas 78627-0409
- City of Kyle ATTN: Human Resources Officer P.O. Box 40 Kyle, Texas 78640-5409

- City of Lockhart ATTN: Human Resources Officer 308 W. San Antonio Street P.O. Box 239 Lockhart, Texas 78644
- City of New Braunfels ATTN: Human Resources Officer P.O. Box 311747 New Braunfels, Texas 78131-1747
- 11. City of Cibolo ATTN: Human Resources Officer109 S. Main StreetP.O. Box 88Cibolo, Texas 78108
- 12. City of Converse ATTN: Human Resources Officer 403 South Seguin Converse, Texas 78109
- City of Garden Ridge ATTN: Human Resources Officer 9357 Schoenthal Road Garden Ridge, Texas 78266
- City of Live Oak ATTN: Human Resources Officer 8001 Shin Oak Drive Live Oak, Texas 78233
- City of Schertz ATTN: Human Resources Officer 1400 Schertz Pkwy. Schertz, Texas 78154
- City of Selma ATTN: Human Resources Officer 9375 Corporate Drive Selma, Texas 78154
- City of Universal City ATTN: Human Resources Officer 2150 Universal City Blvd. Universal City, Texas 78148

- 18. City of Pflugerville ATTN: Human Resources Officer P.O. Box 589 Pflugerville, Texas 78691-0589
- City of Round Rock ATTN: Human Resources Officer
   221 E. Main Street Round Rock, Texas 78664
- 20. City of San Antonio ATTN: Human Resources Officer P.O. Box 839966 San Antonio, Texas 78283-3966
- 21. City of San Marcos ATTN: Human Resources Officer630 E. Hopkins San Marcos, Texas 78666
- 22. City of Seguin ATTN: Human Resources Officer205 N. River Street Seguin, Texas 78155
- 23. City of Taylor ATTN: Human Resources Officer P.O. Box 810 Taylor, Texas 76574-0810
- 24. City of Temple ATTN: Human Resources Officer #2 N. Main Street Temple, Texas 76501
- City of West Lake Hills ATTN: Human Resources Officer 911 Westlake Drive Westlake Hills, Texas 78746-4599

### **Appendix C: Sample Letter to Human Resources Officers**

September 3, 2001

Human Resources Officer City of San Marcos 630 E. Hopkins San Marcos, Texas 78666

Dear Human Resources Officer:

My name is Stephanie Garcia and I am a graduate student in the public administration program at Southwest Texas State University. In partial fulfillment of the requirements for the degree of master of public administration, I am required to complete an applied research project.

As part of the research project, I am conducting a survey to determine which municipalities within the Austin-San Antonio Corridor have wellness program services available for their employees. Specifically, I am interested in learning the types and levels of wellness services provided by these municipalities in the areas of physical fitness, stress management, psychological and mental health, nutrition and dietary related issues, and alcohol and chemical dependency.

I would appreciate you taking a few moments of your time to fill out the attached survey. Each question's response is valuable to the research project and all responses are essential.

Additionally, please send a copy of your city's employee handbook or similar documents (e.g. employee policies and procedures) that address the city's wellness program with the completed survey. These documents are also invaluable to the research that I am conducting. Enclosed is a return self-addressed, stamped envelope for mailing your completed survey and copy of the handbook or similar documents, or you may fax your completed survey and copies of pertinent documents to me at (512) 396-4656.

Thank you in advance for assistance in this matter. If I can provide you with additional information or answer any questions regarding this request, I can be reached at (512) 393-8106 or (512) 396-0058.

Sincerely,

Stephanie Garcia 403 Laurel Hill San Marcos, Texas 78666 Sg38619@swt.edu

# Appendix D: Survey of Wellness Programs Among Municipalities Within the Austin-San Antonio Corridor

# I. Wellness Program Overview

1.	Does your city have a Wellness Program?	Yes	No	Unknown
2.	If yes, how many of the following programs or services do you provide?			
	a. physical fitness	Yes	No	Unknown
	b. stress management	Yes	No	Unknown
	c. psychological and mental health care	Yes	No	Unknown
	d. nutrition and dietary related issues	Yes	No	Unknown
	e. alcohol and chemical dependency	Yes	No	Unknown
3.	Does your city perform Health Risk Appraisals on its employees?	Yes	No	Unknown
4.	Do you feel wellness programs within your city have the commitment and support from top management?	Yes	No	Unknown
	Additional comments or suggestions.			
DL				
PI	ysical Fitness			
	Does your city have a mandatory fitness program?	Yes	No	Unknown
5.	Does your city have a mandatory fitness program? . Does your city have a voluntary fitness			
5.	Does your city have a mandatory fitness program?	Yes Yes		Unknown Unknown
5. 6.a	Does your city have a mandatory fitness program? . Does your city have a voluntary fitness		No	
5. 6.a b.	Does your city have a mandatory fitness program? . Does your city have a voluntary fitness program?	Yes	No	Unknown
5. 6.a b. c.	Does your city have a mandatory fitness program? . Does your city have a voluntary fitness program? . If yes, do you offer any types of incentives?	Yes	No No	Unknown

Additional comments or suggestions

# **Stress Management**

- 9. Does your city teach stress management to its employees?
- 10. Are supervisors provided with training to assist subordinates in dealing with stress?
- 11. Does your city attempt to protect employees from stress before it occurs?
- 12. Does your city attempt to control stress from:a. supervisorsb. other agencies
  - c. excessive paperwork
  - d. administration/management
- 13. Do you feel stress is sufficiently addressed by your city?

Additional comments or suggestions

# **Psychological and Mental Health**

14. Does your city use any type of preemployment psychological testing?

If yes, please indicate what type.

- 15. Does your city use any type of postemployment psychological testing?
- 16. a. Can your city require psychological or mental health testing on an as needed basis?

b. If yes, does your city use this requirement when needed?

- 17. Are supervisors provided with training to assist in recognizing signs of psychological or mental distress?
- 18. Do you feel the psychological or mental health needs of employees within the city are being met?
- 19. Does your city provide any type of follow-up psychological or mental health testing for at-risk employees?

Yes	No	Unknown
Yes	No	Unknown
Yes	No	Unknown
Yes Yes Yes Yes	No No No No	Unknown Unknown Unknown Unknown
Yes	No	Unknown

Yes	No	Unknown
res	INO	Unknown

Yes	No	Unknown
Yes	No	Unknown
Yes	No	Unknown
Yes	No	Unknown
Yes	No	Unknown
Yes	No	

20. Is the confidentiality of employees who seek psychological or mental health care protected?	Yes	No	Unknown
21. Would seeking help for psychological or mental health problems harm an employee's career?	Yes	No	Unknown
22. Are employees encouraged to seek voluntary help for psychological and mental health issues?	Yes	No	Unknown
Additional comments or suggestions			
Nutrition and Dietary Related Issues			
23. Does your city provide any nutrition or diet related education information to its employees?	Yes	No	Unknown
If yes, in what way(s)?			
24. Is training provided on the importance of nutrition and diet?	Yes	No	Unknown
25. Are any behavior modification classes or programs offered to assist in nutrition and diet control?	Yes	No	Unknown
Additional comments or suggestions			
Alcohol and Chemical Dependency			
26. Does your city provide alcohol dependency treatment for its employees?	Yes	No	Unknown
27. Does your city provide chemical dependency treatment for its employees?	Yes	No	Unknown
28. Do supervisors receive any training in recognizing signs of substance abuse in their subordinates?	Yes	No	Unknown
29. Does your current substance abuse program meet the needs of the employee?	Yes	No	Unknown
30. Does your current substance abuse program			

encourage employees to seek voluntary help?	Yes	No	Unknown
31. Are employees subject to disciplinary action for receiving assistance for substance abuse?	Yes	No	Unknown
32. Is the confidentiality of employees who seek alcohol or chemical dependency treatment protected?	Yes	No	Unknown
33. Would seeking help for alcohol or chemical dependency harm an employee's career?	Yes	No	Unknown
34. Does your city meet the comprehensive needs of its employees with the current level or service offered?	Yes	No	Unknown

Additional comments or suggestions

# II. Demographic Data (optional)

35. Title of person completing the questionnaire

36. How many employees does your city employ?

37. What is average number of sick days taken each year by your employees?

Additional comments or suggestions

# Appendix E: Consolidated Code Sheet for Content Analysis and Survey

Name of Municipality: \_\_\_\_\_

I. Wellness Program Overview			
A. Explanation of Wellness Program	Yes	No	Unknown
1. physical fitness	Yes	No	Unknown
2. stress management	Yes	No	Unknown
3. psychological and mental health care	Yes	No	Unknown
4. nutrition and dietary related issues	Yes	No	Unknown
5. alcohol and chemical dependency	Yes	No	Unknown
6. health risk appraisals	Yes	No	Unknown
7. commitment and support from top management	Yes	No	Unknown

II. Physical Fitness			
A. Provide mandatory physical fitness program	Yes	No	Unknown
B. Provide voluntary physical fitness program	Yes	No	Unknown
C. Types of Incentives offered	Yes	No	Unknown
D. Provide on duty time to exercise	Yes	No	Unknown
E. Provide workout facilities for employees	Yes	No	Unknown

III. Stress Management			
A. Teach stress management for all employees	Yes	No	Unknown
B. Provide supervisors with training	Yes	No	Unknown
C. Protect employees from stress before it occurs	Yes	No	Unknown
D. Department attempts to control stress from:			

1. supervisors	Yes	No	Unknown
2. other agencies	Yes	No	Unknown
3. excessive paperwork	Yes	No	Unknown
4. administration/management	Yes	No	Unknown
E. Stress sufficiently addressed	Yes	No	Unknown

IV. Psychological and Mental Health			
A. Pre-employment psychological testing	Yes	No	Unknown
B. Post-employment psychological testing	Yes	No	Unknown
C. Psychological/mental health test requirement	Yes	No	Unknown
D. Requirement provided when needed	Yes	No	Unknown
E. Provide supervisors with training to assist in Recognizing signs of psychological/mental health distress	Yes	No	Unknown
F. Psychological and mental health needs of employees being met	Yes	No	Unknown
G. Provides follow-up psychological or mental health tests for at-risk employees	Yes	No	Unknown
H. Protect employee confidentiality	Yes	No	Unknown
I. Employee career status after seeking help for psychological or mental health problems	Yes	No	Unknown
J. Encourage employees to seek voluntary help	Yes	No	Unknown
V. Nutrition and Dietary Related Issues			
v. nutrition and Dietary Kelated Issues			
A Provide nutrition/diet education to employees	Yes	No	Unknown

B. Provide training on importance of nutrition/diet	Yes	No	Unknown
C. Provide behavior modification classes or	Yes	No	Unknown

VI. Alcohol and Chemical Dependency			
A. Provide alcohol dependency treatment for employees	Yes	No	Unknown
B. Provide chemical dependency treatment for employees	Yes	No	Unknown
C. Provide supervisors training to recognize Substance abuse	Yes	No	Unknown
D. Current substance abuse program meets needs of employees	Yes	No	Unknown
E. Encourage employees to seek voluntary help	Yes	No	Unknown
F. Disciplinary action for receiving assistance for substance abuse	Yes	No	Unknown
G. Protect employee confidentiality	Yes	No	Unknown
H. Employee career status after seeking help for alcohol or chemical dependency problems	Yes	No	Unknown
I. Provide the comprehensive needs of employees with current level of service	Yes	No	Unknown

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