# DATA INTEGRITY: ACQUISITION, MANAGEMENT, SHARING, AND OWNERSHIP

**RESPONSIBLE CONDUCT OF RESEARCH** 

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### Warm Up Questions

1. Have you ever been asked to share your data with your team members or a journal publisher?

2. Have you ever submitted a data management plan to a funding agency?

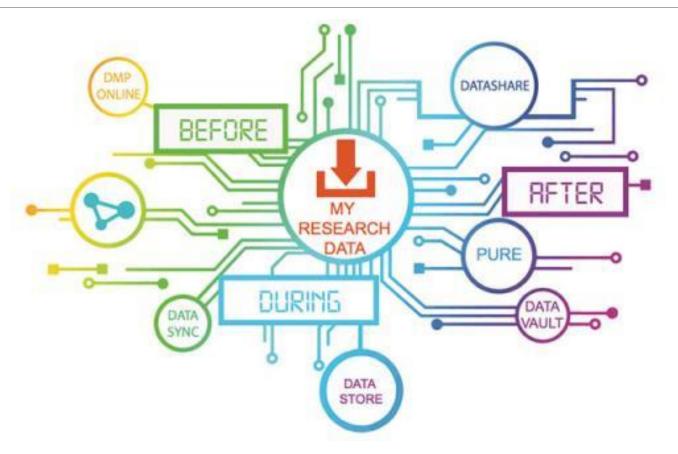
3. Have you ever used a research data repository to publish or share your data?



### **Goals for Today**

- Understand the research data life cycle
- Know DMPTool to create a quality DMP
- Recognize the importance of Research Data Management (RDM)
- Know good practices and available resources of RDM at TXST

### What do we mean when we talk about research data?



### What data do you use and create?

**Research Data** is recorded, factual material commonly accepted in the scientific community as necessary to validate research findings. (Awasthi & Tripathi, 2019)

Numeric data Spreadsheets Binary files Code

#### PDFs

Image files Audio files Physical specimens Archival materials Geospatial data

#### Or something else

### **Research Scenario**

You published a study on a high-prestige journal. This study has been cited widely by others who have built upon your findings. However, three years later another researcher said, after several replications he could not get the similar results as found by your study and he has accused you of having falsified the data.

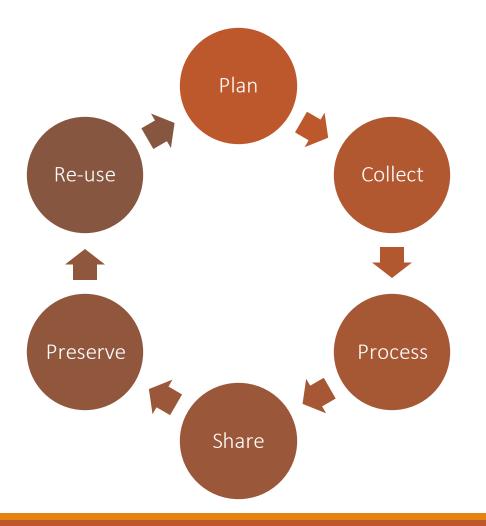


### What is research data management?

**Research Data Management (RDM)** is the organization, management, publication, and preservation of the products of research.

Mandate	Facilitate	Reuse	Impact		
Meet requirements and expectations set by funding agencies, publishers and domain associations	Ensure that your data is complete, documented, and accessible to you and to future researchers	Encourage the discovery and reuse of your data to further discoveries in your field of research	Receive credit for your data and increase its impact and visibility		

Beneficial to you and your research in a long run!



### Research Data Lifecycle

### Start With a Data Managment Plan (DMP)

- Funder requirements
- Institutional policy
- Mitigate error and loss
- Avoiding unforeseen costs
- Be able to return the data
- Getting a handle on the complexity of data



### Basic Elements of a DMP

Simply a 1-2 page summary explaining how you are planning to manage the data gathered in the course of your research project.

- 1. What are you creating / generating?
- 2. How is it securely handled during the project?
- 3. How is access and data integrity maintained long term?
- 4. Additional Details: Roles and responsibilities, systems used, documentation, security

# NSF general DMP guidelines

- The **TYPES** of data, samples, physical collections, software, curriculum materials, and other materials to be produced in the course of the project;
- The **STANDARDS** to be used for data and metadata format and content;
- Policies for **ACCESS** and **SHARING** including provisions for appropriate protection of privacy, confidentiality, security, intellectual property, or other rights or requirements;
- Policies and provisions for **RE-USE**, re-distribution, and the production of derivatives; and
- Plans for **ARCHIVING** data, samples, and other research products, and for preservation of access to them.

Based on <u>NSF general DMP guidelines</u>

Always consult the specific data management requirements for your funding agency to write your DMP.

### **Planning and Writing Resources**

- 🗋 Data Management Plan
- 🗋 DMPTool
- Sample text & templates
- Login with TXST Email
- https://dmptool.org/



Build your Data Management Plan

- TXST Dataverse Repository
- TXST Research Data Management Services
- TXST Data Management Planning
- TXST Data Contact

### **Templates for TXST Researchers**

Template Text: Data Sharing and Access

Template Text: Metadata for Data Management

https://guides.library.txstate.edu/research-data/DMP

#### University Libraries: Researcher Support

Search this (

Guidance on support and resources through the University Libraries for researchers at all stages of the research lifecycle process. Texas State University Template Language for DMPs Home Applicants for Federal funding requiring Data Management Plans (DMP) may incorporate or ada; Research Data Management language in their plans if they intend to use the Texas State University Research Data Reposit research data. Principal Investigators who intend to use the Data Repository can start the proce Data Management Plan Research Data Services Department at UL-RDS@txstate.edu Templates Texas State University provides Data Management Plan development support with the DMPToo Sharing Research Work Digital Publishing Services Data Sharing and Access: Template Text Teaching and Learning The online Texas State University Research Data Repository (https://dataverse.tdl.org/datavers to share datasets through the Texas Digital Library and managed by local Texas State University I Open Education Digital Library (TDL) is a consortium of academic libraries in Texas with a proven history of provic technology services to support secure, reliable access to digital collections of research and scho Technologies and Micro-Data Repository is a project of the TDL and its member institutions to develop a consortial state: Credentials repository for researchers at Texas higher education institutions. Special Collections and Exhibits Data will be curated in the repository following accepted standards (NISO Framework Advisory ( persistent identifier, a DOI, is created for each data set published. Datasets in the repository wi long term use. The project team will work with Texas State University Research Data Service Department as ne assigning appropriate metadata and in determining appropriate embargo periods for the individu For data sources that are embargoed for some period of time, the metadata records will be avai discovery of the resources. All project-related materials, such as technical reports, presentations will be made accessible through the Texas State University Institutional Repository (digital.library linked with the dataset in TXST Research Data Repository accordingly.

#### Metadata for Data Management: Template Text

Metadata records will be created to describe each of the project's digital resources. Metadata fc will provide information on subject, provenance, authorship, methods and post-processing, and



#### FILE NAMING STRATEGIES

#### DATA STORAGE

DATA PRESERVING / PUBLISHING

### Good Practices in RDM

### SAR\_090320.doc

#### What does this mean?

- Survey Analysis Results?
- Survey of Agriculture Research?
- Sam A. Rodriguez, a researcher?

- September 03, 2020?
- March 09, 2020?
- March 20, 2009?

### **File Naming**

#### Two main criteria: Context & Consistency

#### **Good File Naming Practices**

- Use descriptive file names
- Use a standard date system
- Use leading zeros
- Use basic characters and avoid (/, #?)
- Version files
- Be consistent

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- Date (YYYY-MM-DD)
- Project name/Grant #
- Type of data
- Location/site/spatial coordinates
- Researcher info
- Version

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SAR\_090320.doc ?

#### in YYYY-MM-DD format (2023-09-19)

Sort, with standard dates 2023-03-16\_Code\_descriptions.docx 2023-05-24\_Code\_descriptions.docx 2023-11-03\_Code\_descriptions.docx

Sort, without standard dates 11-3-23\_Code\_descriptions.docx 3-16-23\_Code\_descriptions.docx 5-24-2023\_Code\_descriptions.docx\_

- Use descriptive file names
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#### Sort, with a leading zero

Test01\_RDM assessment.xlsx Test02\_RDM assessment.xlsx Test03\_RDM assessment.xlsx

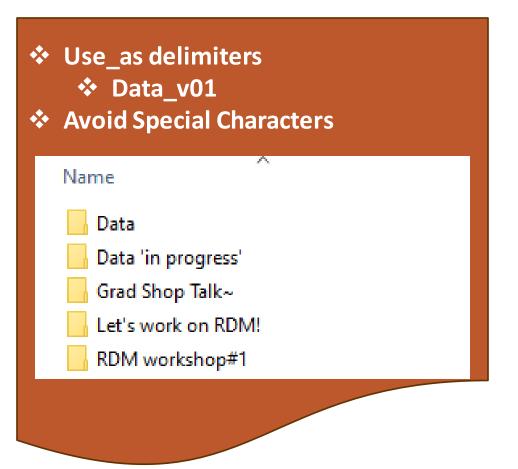
Test10\_RDM assessment.xlsx Test11 RDM assessment.xlsx

#### Sort, without a leading zero

Test1\_RDM assessment.xlsx Test10\_RDM assessment.xlsx Test11\_RDM assessment.xlsx

Test2\_RDM assessment.xlsx Test3\_RDM assessment.xlsx

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Using consecutive numbering for major version changes

Code\_descriptions\_20230919\_v01.docx

Using decimals for minor changes

Code\_descriptions\_20230919\_v01.1.docx

Consistency with Spaces

Data\_projectname v03.docx

Data\_project name v01.docx

Data\_projectname\_v02.docx

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### Data Documentation: README File

#### **README files** are plain text

documents that sit at the top level of project folders and describe the purpose of the project, contact details, and organization of files.



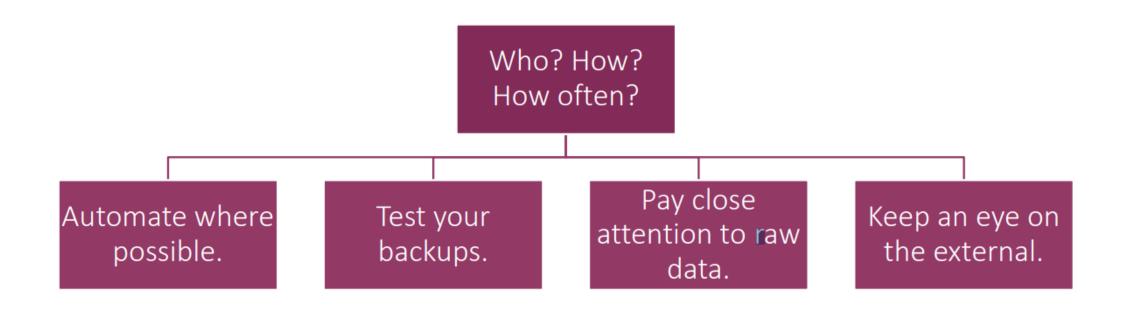
A standard document detailing information about the documents:

- Title of dataset
- Name/institution/contact information for
- Principal Investigator (or person responsible for collecting the data)
- File name structure and the description of the attributes used to name the files.
- Descriptions of every folder, file, format, data collection method, instruments, etc.
- Codes: Provide a complete list of any codes/abbreviations used.
- Dates/Locations of data collection
- Funding information
- People involved

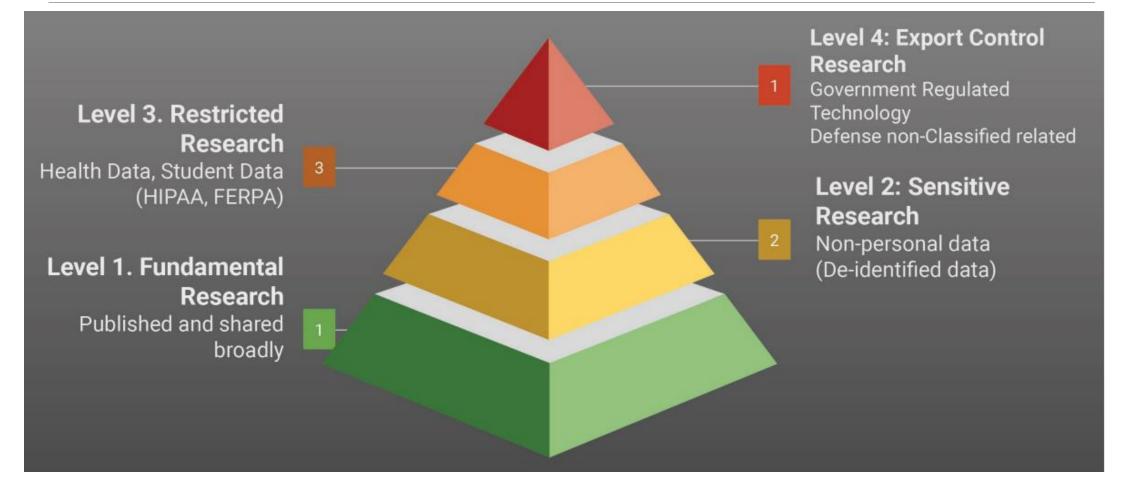
### Data Storage and Backup 3-2-1 Rule



### Consideration for back-up



### Security Measures: Know Your Data



### TXST Data Classifications

	Confidential Information	Sensitive Information	Public Information
Level of Sensitivity	High	Moderate	Low
Legal Requirements	Protection of data is required by law (e.g., TPIA, FERPA, and HIPAA data) or contractual agreements.	Often considered "public" in the sense it is releasable under the Texas Public Information Act, some assurance is required so release of information is both controlled and lawful.	Public information by its very nature is designed to be shared broadly, without restriction, at the complete discretion of the owner.
Disclosure Risk	Confidential information presents the most serious risk of harm if improperly disclosed.	Unauthorized disclosure of Sensitive information could adversely impact the University, individuals or affiliates.	From the perspective of confidentiality, public information may be disclosed or published by any person at any time.
Examples of Information	<ul> <li>Social Security numbers</li> <li>Credit card info</li> <li>Personal health info</li> <li>Student records</li> <li>Crime victim info</li> <li>Library transactions</li> <li>Court sealed records</li> <li>Access control credentials</li> </ul>	<ul> <li>Performance appraisals</li> <li>Employee DOB</li> <li>Employee email addresses</li> <li>Donor information</li> <li>Voicemail records</li> <li>Email contents</li> <li>Unpublished research</li> </ul>	<ul> <li>Job posting</li> <li>Service offerings</li> <li>Published research</li> <li>Directory information</li> <li>Degree programs</li> <li>General information about university products and services</li> </ul>

# Data Archiving/Publishing

### **TXST** Dataverse Repository



A research data management system



Add, share, publish, and manage your data



Find datasets from across Texas institutional Dataverse collections.

https://dataverse.tdl.org/



### TXST Dataverse Repository is Appropriate for:



h.

Data from any field of research



Static or evolving datasets



Data without confidential or sensitive information.



Individual file up to 4GB (Small- Medium size)



Large file: consult RDM/ IT service department

### Why TXST Dataverse Repository?

Provides a platform for archiving and publishing the data developed or used in support of research at Texas State University

An open access data repository for researchers affiliated with TXST

fine Served by RDM service team: help with DMP and preparing data to deposit

University libraries offer advice on appropriate file formats, metadata, and licensing options

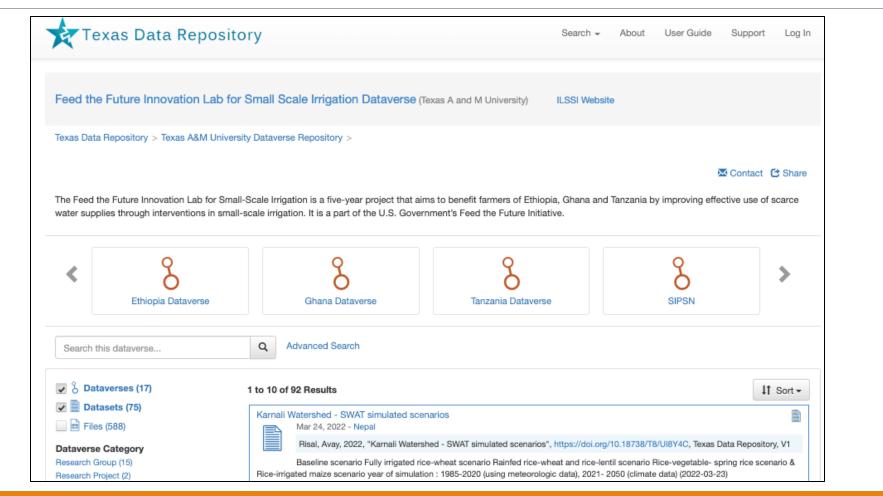
Provide consultation services or training workshops for users to upload and manage their own data collections



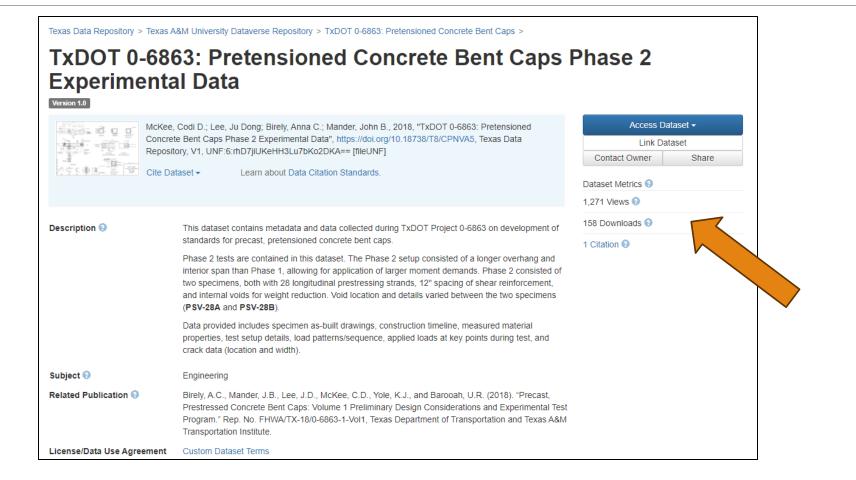
### Find Open Data

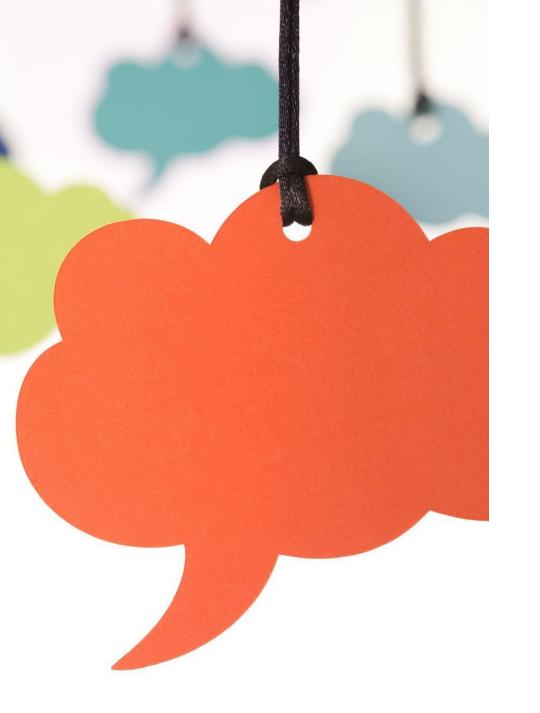
Texas Data Repository						Search 👻	About	User Guide	Support	Xuan Zhou 👻
Texas I	Data Repo	Sitory <u>A statewide</u>	collaboration	of Texas higher education ins	titutions					
di (	Metrics	1,178,190 Downloa	ids						🔀 Cor	ntact 🕑 Share
Welcome project, s	e to the Texas select your loc	Data Repository, a resea	rch data man	your data. Fir agement system for Texas Dip tutions below. To find datasets	gital Library (TDL) membe	er institutions.	To add, sh	are, and publish		
Go to	Tutorials the user guid	e. rersity liaison librarian for	help.							
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### Facilitate Collaboration



### Increase scholar impact





# Final Tips and Reminders

•Know your data

- Decide which data you want to share
- Choose file formats that last
- Remember the documentation
- Consider ownership and privacy
- •Make a Plan!

# Thank you!

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### Love Data Week (February 2024)

#### The Carpentries Workshop

#### Software Carpentry (R for Reproducible Scientific Analysis)

Our more introductory R lesson. In addition to our **standard content**, this workshop covers data analysis and visualisation in R, focusing on working with tabular data and other core data structures, using conditionals and loops, writing custom functions, and creating publication-quality graphics. As our more introductory R offering, this workshop also introduces learners to RStudio and strategies for getting help. This workshop is appropriate for learners with no previous programming experience. For audiences with some experience with R or other programming languages, we recommend our **Programming with R** lesson.

#### Software Carpentry (Programming with R)

Our more advanced R lesson. In addition to our **standard content**, this workshop covers data analysis and visualisation in R focusing on working with core data structures, using conditionals and loops, writing custom functions, and running R programs from the command line. This is the more advanced of our two R offerings for Software Carpentry and is appropriate for learners with some previous programming experience, in R or other languages. For audiences with no previous programming experience, we recommend our **R for Reproducible Scientific Analysis** lesson.



### SUPPLEMENTAL INFO & ACTIVITIES

Who owns the data?

It may depend on who sponsors the research.

As employees of the university, you are working for hire for the university, which, in most cases, owns the rights to the data. In federally sponsored research, the university owns the data but allows the principal investigator on the grant to be the steward of the data. The PI takes responsibility for the collection, recording, storage, retention, and disposal of data.