

## STRUCTURE OF GROUP INVARIANTS OF A QUASIPERIODIC FLOW

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ABSTRACT. It is shown that the multiplier representation of the generalized symmetry group of a quasiperiodic flow induces a semidirect product structure on certain group invariants (including the generalized symmetry group) of the flow's smooth conjugacy class.

### 1. INTRODUCTION

The generalized symmetry group,  $S_\phi$ , of a smooth flow  $\phi : \mathbb{R} \times T^n \rightarrow T^n$  is the collection of all diffeomorphisms of  $T^n$  that map the generating vector field of  $\phi$  to a uniformly scaled copy of itself (see next section for definitions). The multiplier representation of  $S_\phi$  is the one-dimensional linear representation

$$\rho_\phi : S_\phi \rightarrow \mathbb{R}^* \equiv \text{GL}(\mathbb{R})$$

that takes a generalized symmetry  $R \in S_\phi$  to its unique multiplier  $\rho_\phi(R)$  (Theorem 2.8 in [5]), the multiplier being the scalar by which the generating vector field of  $\phi$  is uniformly scaled by  $R$ . For each subgroup  $\Lambda$  of the multiplier group  $\rho_\phi(S_\phi)$ , the multiplier representation induces the short exact sequence of groups,

$$\text{id}_{T^n} \rightarrow \ker \rho_\phi \rightarrow \rho_\phi^{-1}(\Lambda) \xrightarrow{j_\Lambda} \Lambda \rightarrow 1,$$

in which  $\text{id}_{T^n}$  is the identity diffeomorphism of  $T^n$ ,  $\ker \rho_\phi \rightarrow \rho_\phi^{-1}(\Lambda)$  is the canonical monomorphism, and  $j_\Lambda : \rho_\phi^{-1}(\Lambda) \rightarrow \Lambda \cong \rho_\phi^{-1}(\Lambda)/\ker \rho_\phi$  is  $\rho_\phi|_{\rho_\phi^{-1}(\Lambda)}$ . This short exact sequence indicates that  $\rho_\phi^{-1}(\Lambda)$  is a group extension of  $\ker \rho_\phi$  by the Abelian group  $\Lambda$ . When  $\phi$  is a quasiperiodic flow on  $T^n$ , it will be shown that

- (i) every element of  $\rho_\phi(S_\phi)$  is a real algebraic integer of degree at most  $n$  (Corollary 4.4),
- (ii)  $\ker \rho_\phi \cong T^n$  (Corollary 4.7),
- (iii) every  $R \in S_\phi$  with  $\rho_\phi(R) = -1$  is an involution (Corollary 4.8),
- (iv)  $\rho_\phi(S_\phi)$  is isomorphic to an Abelian subgroup of  $\text{GL}(n, \mathbb{Z})$  (Theorem 5.3), and
- (v) for each subgroup  $\Lambda < \rho_\phi(S_\phi)$  there is a splitting map  $h_\Lambda : \Lambda \rightarrow \rho_\phi^{-1}(\Lambda)$  for the extension (Theorem 5.4).

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The main result (Theorem 5.5) is that

$$\rho_\phi^{-1}(\Lambda) = \ker \rho_\phi \rtimes_\Gamma h_\Lambda(\Lambda)$$

for every  $\Lambda < \rho_\phi(S_\phi)$ ; that is,  $\rho_\phi^{-1}(\Lambda)$  is the semidirect product of  $\ker \rho_\phi$  by  $h_\Lambda(\Lambda)$  corresponding to the conjugating homomorphism  $\Gamma : h_\Lambda(\Lambda) \rightarrow \text{Aut}(\ker \rho_\phi)$ .

## 2. MULTIPLIERS AND QUASIPERIODIC FLOWS

A generalized symmetry of a (smooth, i.e.  $C^\infty$ ) flow  $\phi$  on the  $n$ -torus  $T^n$  ( $n \geq 2$ ) is an  $R \in \text{Diff}(T^n)$  (the group of smooth diffeomorphisms on  $T^n$ ) for which there exists an  $\alpha \in \mathbb{R}^*$  such that

$$R\phi(t, \theta) = \phi(\alpha t, R(\theta)) \quad \text{for all } t \in \mathbb{R} \text{ and all } \theta \in T^n.$$

This condition is  $R\phi_t = \phi_{\alpha t}R$  for all  $t \in \mathbb{R}$ , where  $\phi_t$  is the diffeomorphism of  $T^n$  defined by  $\phi_t(\theta) = \phi(t, \theta)$ . A generalized symmetry of  $\phi$  is characterized by its action on the generating vector field  $X$  of  $\phi$ , which vector field is defined by

$$X(\theta) = \left. \frac{d}{dt} \phi_t(\theta) \right|_{t=0}, \quad \theta \in T^n.$$

(In what follows,  $\mathbf{T}$  is the tangent functor, and  $R_*X = \mathbf{T}RXR^{-1}$  is the push-forward of  $X$  by  $R$ .)

**Theorem 2.1.** *An  $R \in \text{Diff}(T^n)$  is a generalized symmetry of a flow  $\phi$  on  $T^n$  if and only if there exists a unique  $\alpha \in \mathbb{R}^*$  such that  $R_*X = \alpha X$ .*

For the proof of this theorem, see Proposition 1.4 and Lemma 2.7 in [5].

The generalized symmetry group,  $S_\phi$ , of a flow  $\phi$  on  $T^n$  is the collection of all the generalized symmetries of  $\phi$ . The Abelian group  $F_\phi = \{\phi_t : t \in \mathbb{R}\} \subset \text{Diff}(T^n)$  generated by  $\phi$  is a subgroup of the normal subgroup  $\ker \rho_\phi$  of  $S_\phi$ . On the other hand,  $S_\phi$  is the group theoretic normalizer of  $F_\phi$  in  $\text{Diff}(T^n)$  (Theorem 2.5 [5]).

The unique  $\alpha$  attached to an  $R \in S_\phi$  in Theorem 2.1 is  $\rho_\phi(R)$ , the multiplier of  $R$ . An  $R \in S_\phi$  with  $\rho_\phi(R) = 1$  is known as a (classical) symmetry of  $\phi$  (p.8 [10]); the symmetry group of  $\phi$  is  $\ker \rho_\phi = \rho_\phi^{-1}(\{1\})$ . An  $R \in S_\phi$  with  $\rho_\phi(R) = -1$  is called a reversing symmetry (p.4 [10]); if  $R^2 = \text{id}_{T^n}$ , then  $R$  is a reversing involution or a classical time-reversing symmetry of  $\phi$ ; the reversing symmetry group of  $\phi$  is  $\rho_\phi^{-1}(\{1, -1\})$  (p.8 [10]). An  $R \in S_\phi$  with  $\rho_\phi(R) \neq \pm 1$ , if it exists, is another type of symmetry of  $\phi$ . Two flows  $\phi$  and  $\psi$  are smoothly conjugate if and only if there is a  $V \in \text{Diff}(T^n)$  such that  $V\phi_t = \psi_tV$  for all  $t \in \mathbb{R}$ . (This is equivalent to  $V_*X = Y$  where  $X$  is the generating vector field for  $\phi$ , and  $Y$  is the generating vector field for  $\psi$ .) A flow  $\phi$  on  $T^n$  with generating vector field  $X$  is quasiperiodic if and only if there exists a  $V \in \text{Diff}(T^n)$  such that  $V_*X$  is a constant vector field whose coefficients are independent over  $\mathbb{Q}$  (see pp.79-80 [7]). (Recall that real numbers  $a_1, a_2, \dots, a_n$  are independent over  $\mathbb{Q}$  if for  $m = (m_1, m_2, \dots, m_n) \in \mathbb{Z}^n$ , the equation  $\sum_{j=1}^n m_j a_j = 0$  implies that  $m_j = 0$  for all  $j = 1, 2, \dots, n$ .) The frequencies of a quasiperiodic flow  $\phi$  generated by a constant vector field  $X$  are the components of  $X$ .

**Example 2.2.** Identify  $T^3$  with  $S^1 \times S^1 \times S^1$  where  $S^1 = \mathbb{R}/\mathbb{Z}$ . Let  $\theta = (\theta_1, \theta_2, \theta_3)$  be global coordinates on  $T^3$ . The quasiperiodic flow  $\phi$  on  $T^3$  generated by vector field

$$X = \frac{\partial}{\partial \theta_1} + 7^{1/3} \frac{\partial}{\partial \theta_2} + 7^{2/3} \frac{\partial}{\partial \theta_3}$$

is

$$\phi_t(\theta) = \phi(t, \theta_1, \theta_2, \theta_3) = (\theta_1 + t, \theta_2 + 7^{1/3}t, \theta_3 + 7^{2/3}t),$$

where the addition in the components of  $\phi$  is mod 1. For each  $c = (c_1, c_2, c_3) \in T^3$ , the translation

$$R_c(\theta_1, \theta_2, \theta_3) = (\theta_1 + c_1, \theta_2 + c_2, \theta_3 + c_3)$$

of  $T^3$  is a symmetry of  $\phi$  because

$$R_c\phi(t, \theta_1, \theta_2, \theta_3) = (\theta_1 + c_1 + t, \theta_2 + c_2 + 7^{1/3}t, \theta_3 + c_3 + 7^{2/3}t) = \theta(t, R_c(\theta_1, \theta_2, \theta_3)).$$

The involution  $N(\theta_1, \theta_2, \theta_3) = (-\theta_1, \theta_2, \theta_3)$  of  $T^3$  is a reversing symmetry of  $\phi$  because

$$N\phi(t, \theta_1, \theta_2, \theta_3) = (-\theta_1 - t, -\theta_2 - 7^{1/3}t, -\theta_3 - 7^{2/3}t) = \phi(-t, N(\theta_1, \theta_2, \theta_3)).$$

**Theorem 2.3.** *If  $\phi$  is a quasiperiodic, then  $\{1, -1\} < \rho_\phi(S_\phi)$ .*

*Proof.* Suppose  $\phi$  is quasiperiodic. Then there is a  $V \in \text{Diff}(T^n)$  such that  $Y = V_*X$  is a constant vector field. Let  $\psi$  be the flow generated by  $Y$ . For any  $t \in \mathbb{R}$ , the diffeomorphism  $\psi_t$  satisfies  $(\psi_t)_*Y = Y$ , so that  $1 \in \rho_\psi(S_\psi)$ . On the other hand, the map  $N : T^n \rightarrow T^n$  defined by  $N(\theta) = -\theta$  satisfies  $N_*Y = -Y$ , so that  $-1 \in \rho_\psi(S_\psi)$ . The flows  $\phi$  and  $\psi$  are smoothly conjugate because  $Y = V_*X$ . This implies that  $\rho_\phi(S_\phi) = \rho_\psi(S_\psi)$  (Theorem 4.2 [5]), and so  $\{1, -1\} < \rho_\phi(S_\phi)$ .  $\square$

**Theorem 2.4.** *If  $\phi$  is quasiperiodic and  $\Lambda$  is a nontrivial subgroup of  $\rho_\phi(S_\phi)$ , then  $\rho_\phi^{-1}(\Lambda)$  is non-Abelian, and hence the generalized symmetry group of  $\phi$  and the reversing symmetry group of  $\phi$  are non-Abelian.*

*Proof.* Suppose  $\phi$  is quasiperiodic and  $\Lambda$  is a nontrivial subgroup of  $\rho_\phi(S_\phi)$ . Then there is an  $R \in S_\phi$  such that  $\alpha = \rho_\phi(R) \neq 1$ . Thus  $R\phi_1 = \phi_\alpha R$ . If  $\phi_1 = \phi_\alpha$ , then  $\phi$  would be periodic. Thus,  $\rho_\phi^{-1}(\Lambda)$  is non-Abelian. By Theorem 2.3, both  $\rho_\phi(S_\phi)$  and  $\rho_\phi(\rho_\phi^{-1}(\{1, -1\}))$  contain  $-1$ , so that  $S_\phi = \rho_\phi^{-1}(\rho_\phi(S_\phi))$  and  $\rho_\phi^{-1}(\{1, -1\})$  are both non-Abelian.  $\square$

For any  $\Lambda < \rho_\phi(S_\phi)$ ,  $\rho_\phi^{-1}(\Lambda)$  is an invariant of the smooth conjugacy class of  $\phi$  in the sense that if  $\phi$  and  $\psi$  are smoothly conjugate, then  $\rho_\phi^{-1}(\Lambda)$  and  $\rho_\psi^{-1}(\Lambda)$  are conjugate subgroups of  $\text{Diff}(T^n)$  (Theorem 4.3 [5]). Because a quasiperiodic flow  $\phi$  is smoothly conjugate to a quasiperiodic flow  $\psi$  generated by a constant vector field, the group structure of  $\text{id}_{T^n} \rightarrow \ker \rho_\phi \rightarrow \rho_\phi^{-1}(\Lambda) \rightarrow \Lambda \rightarrow 1$  is determined by that of  $\text{id}_{T^n} \rightarrow \ker \rho_\psi \rightarrow \rho_\psi^{-1}(\Lambda) \rightarrow \Lambda \rightarrow 1$ . Attention is therefore restricted to a quasiperiodic flow  $\phi$  generated by a constant vector field  $X$ .

### 3. LIFTING THE GENERALIZED SYMMETRY EQUATION

The generalized symmetry equation of a flow  $\phi$  on  $T^n$  is the equation  $R_*X = \alpha X$  that appears in Theorem 2.1. Lifting it from  $\mathbf{T}T^n$  to  $\mathbf{T}\mathbb{R}^n$ , the universal cover of  $\mathbf{T}T^n$ , requires lifting the diffeomorphism  $R$  of  $T^n$  to a diffeomorphism of  $\mathbb{R}^n$ , and lifting the vector field  $X$  on  $T^n$  to a vector field on  $\mathbb{R}^n$ . The covering map  $\pi : \mathbb{R}^n \rightarrow T^n$  is a local diffeomorphism for which

$$\pi(x + m) = \pi(x)$$

for any  $x \in \mathbb{R}^n$  and any  $m \in \mathbb{Z}^n$ . Let  $R : T^n \rightarrow T^n$  be a continuous map. A lift of  $R\pi : \mathbb{R}^n \rightarrow T^n$  is a continuous map  $Q : \mathbb{R}^n \rightarrow \mathbb{R}^n$  for which  $R\pi = \pi Q$ . Since  $\pi$

is a fixed map,  $Q$  is also said to be a lift of  $R$ . Any two lifts of  $R$  differ by a deck transformation of  $\pi$ , which is a translation of  $\mathbb{R}^n$  by an  $m \in \mathbb{Z}^n$ .

**Theorem 3.1.** *Let  $R : T^n \rightarrow T^n$  and  $Q : \mathbb{R}^n \rightarrow \mathbb{R}^n$ . Then  $Q$  is a lift of a diffeomorphism  $R$  of  $T^n$  if and only if  $Q$  is a diffeomorphism of  $\mathbb{R}^n$  such that a) for any  $m \in \mathbb{Z}^n$ ,  $Q(x + m) - Q(x)$  is independent of  $x \in \mathbb{R}^n$ , and b) the map  $l_Q(m) = Q(x + m) - Q(x)$  is an isomorphism of  $\mathbb{Z}^n$ .*

The proof of this theorem uses standard arguments in topology, we omit it.

The canonical projections  $\tau_{\mathbb{R}^n} : \mathbf{T}\mathbb{R}^n \rightarrow \mathbb{R}^n$  and  $\tau_{T^n} : \mathbf{T}T^n \rightarrow T^n$  are smooth. The former is a lift of the latter,

$$\tau_{T^n} \mathbf{T}\pi = \pi \tau_{\mathbb{R}^n},$$

which lift sends  $w \in \mathbf{T}_x \mathbb{R}^n$  to  $x \in \mathbb{R}^n$ . The covering map  $\mathbf{T}\pi : \mathbf{T}\mathbb{R}^n \rightarrow \mathbf{T}T^n$  is a local diffeomorphism. A vector field on  $T^n$  is a smooth map  $Y : T^n \rightarrow \mathbf{T}T^n$  such that  $\tau_{T^n} Y = \text{id}_{T^n}$ . A vector field on  $\mathbb{R}^n$  is a smooth map  $Z : \mathbb{R}^n \rightarrow \mathbf{T}\mathbb{R}^n$  such that  $\tau_{\mathbb{R}^n} Z = \text{id}_{\mathbb{R}^n}$ .

**Lemma 3.2.** *If  $Y$  is a vector field on  $T^n$ , then there is only one lift of  $Y$  that is a vector field on  $\mathbb{R}^n$ .*

*Proof.* Let  $x_0 \in \mathbb{R}^n$ ,  $\theta_0 \in T^n$  be such that  $Y\pi(x_0) = Y(\theta_0)$ . Let  $w_{x_0} \in \mathbf{T}_{x_0} \mathbb{R}^n$  be the only vector such that  $\mathbf{T}\pi(w_{x_0}) = Y(\theta_0)$ . By the Lifting Theorem (Theorem 4.1, p.143 [6]), there exists a unique lift  $Z : \mathbb{R}^n \rightarrow \mathbf{T}\mathbb{R}^n$  such that  $Y\pi = \mathbf{T}\pi Z$  and  $Z(x_0) = w_{x_0}$ . It needs only be checked that this  $Z$  is a vector field. Because  $Y$  is a vector field on  $T^n$ ,  $Z$  is a lift of  $Y\pi$ , and  $\tau_{\mathbb{R}^n}$  is a lift of  $\tau_{T^n}$ , it follows that

$$\pi(x) = \tau_{T^n} Y\pi(x) = \tau_{T^n} \mathbf{T}\pi Z(x) = \pi \tau_{\mathbb{R}^n} Z(x).$$

So the difference  $x - \tau_{\mathbb{R}^n} Z(x)$  is a discrete valued map. Because  $\mathbb{R}^n$  is connected, this difference is a constant (see Proposition 4.5, p.10 [6]). This constant is zero because  $\tau_{\mathbb{R}^n} Z(x_0) = x_0$ , and so  $\tau_{\mathbb{R}^n} Z = \text{id}_{\mathbb{R}^n}$ . The equation  $Y\pi = \mathbf{T}\pi Z$  implies that  $Z$  is smooth because  $\pi$  and  $\mathbf{T}\pi$  are local diffeomorphisms and because  $Y$  is smooth. The choice of the only vector  $w \in \mathbf{T}_{x_0+m} \mathbb{R}^n$  for any  $0 \neq m \in \mathbb{Z}^n$  such that  $\mathbf{T}\pi(w) = Y(\theta_0)$  would lead to a lift  $Z_m$  of  $Y$  that is not a vector field on  $\mathbb{R}^n$  because  $\tau_{\mathbb{R}^n} Z_m(x) = x + m$ . The collection  $\{Z_m : m \in \mathbb{Z}\}$ , with  $Z_0 = Z$ , accounts for all the lifts of  $Y$  by the uniqueness of the lift and the uniqueness of the vector  $w$ . Therefore  $Z$  is the only lift of  $Y$  that is a vector field on  $\mathbb{R}^n$ .  $\square$

For a vector field  $X$  on  $T^n$ , let  $\hat{X}$  denote the only lift of  $X$  that is a vector field on  $\mathbb{R}^n$  as described in Lemma 3.2;  $\hat{X}$  satisfies  $X\pi = \mathbf{T}\pi \hat{X}$ . For a diffeomorphism  $R$  of  $T^n$ , let  $\hat{R}$  be a lift of  $R$ ; the lift  $\hat{R}$  is a diffeomorphism of  $\mathbb{R}^n$  (by Theorem 3.1) for which  $R\pi = \pi \hat{R}$ .

**Lemma 3.3.** *The only lift of the vector field  $R_* X$  on  $T^n$  that is a vector field on  $\mathbb{R}^n$  is  $\hat{R}_* \hat{X}$ .*

*Proof.* A lift of  $R_* X$  is  $\hat{R}_* \hat{X}$  because

$$\begin{aligned} \mathbf{T}\pi \hat{R}_* \hat{X} &= \mathbf{T}\pi \mathbf{T}\hat{R} \hat{X} \hat{R}^{-1} = \mathbf{T}(\pi \hat{R}) \hat{X} \hat{R}^{-1} = \mathbf{T}(R\pi) \hat{X} \hat{R}^{-1} \\ &= \mathbf{T}R \mathbf{T}\pi \hat{X} \hat{R}^{-1} = \mathbf{T}R X \pi \hat{R}^{-1} = \mathbf{T}R X R^{-1} \pi = R_* X \pi. \end{aligned}$$

By definition,  $\hat{R}_* \hat{X}$  is a vector field on  $\mathbb{R}^n$ . By Lemma 3.2, it is the only lift of  $R_* X$  that is a vector field on  $\mathbb{R}^n$ .  $\square$

**Lemma 3.4.** *For any  $\alpha \in \mathbb{R}^*$ , the only lift of the vector field  $\alpha X$  on  $T^n$  that is a vector field on  $\mathbb{R}^n$  is  $\alpha \hat{X}$ .*

*Proof.* A lift of  $\alpha X$  is  $\alpha \hat{X}$  because  $\mathbf{T}\pi(\alpha \hat{X}) = \alpha \mathbf{T}\pi \hat{X} = \alpha X \pi$ . Only one lift of  $\alpha X$  is a vector field (Lemma 3.2), and  $\alpha \hat{X}$  is this lift.  $\square$

**Theorem 3.5.** *Let  $X$  be a vector field on  $T^n$ ,  $\hat{X}$  the lift of  $X$  that is a vector field on  $\mathbb{R}^n$ ,  $R$  a diffeomorphism of  $T^n$ ,  $\hat{R}$  a lift of  $R$ , and  $\alpha$  a nonzero real number. Then  $R_*X = \alpha X$  if and only if  $\hat{R}_*\hat{X} = \alpha \hat{X}$ .*

*Proof.* Suppose that  $R_*X = \alpha X$ . By Lemma 3.3,  $\hat{R}_*\hat{X}$  is a lift of  $R_*X$ :  $\mathbf{T}\pi \hat{R}_*\hat{X} = R_*X \pi$ . By Lemma 3.4,  $\alpha \hat{X}$  is a lift of  $\alpha X$ :  $\mathbf{T}\pi(\alpha \hat{X}) = \alpha X \pi$ . Then

$$\mathbf{T}\pi(\hat{R}_*\hat{X} - \alpha \hat{X}) = (R_*X - \alpha X)\pi = \mathbf{0}_{T^n}\pi,$$

where  $\mathbf{0}_{T^n}$  is the zero vector field on  $T^n$ . So  $\hat{R}_*\hat{X} - \alpha \hat{X}$  is a lift of  $\mathbf{0}_{T^n}$ . The only lift of  $\mathbf{0}_{T^n}$  that is a vector field on  $\mathbb{R}^n$  is  $\mathbf{0}_{\mathbb{R}^n}$ , the zero vector field on  $\mathbb{R}^n$ . By Lemma 3.3 and Lemma 3.4, the difference  $\hat{R}_*\hat{X} - \alpha \hat{X}$  is a vector field on  $\mathbb{R}^n$ . By Lemma 3.2,  $\hat{R}_*\hat{X} - \alpha \hat{X} = \mathbf{0}_{\mathbb{R}^n}$ . Thus,  $\hat{R}_*\hat{X} = \alpha \hat{X}$ . Suppose that  $\hat{R}_*\hat{X} = \alpha \hat{X}$ . Then

$$\begin{aligned} R_*X \pi &= \mathbf{T}R X R^{-1} \pi = \mathbf{T}R X \pi \hat{R}^{-1} = \mathbf{T}R \mathbf{T}\pi \hat{X} \hat{R}^{-1} \\ &= \mathbf{T}(R\pi) \hat{X} \hat{R}^{-1} = \mathbf{T}(\pi \hat{R}) \hat{X} \hat{R}^{-1} = \mathbf{T}\pi \mathbf{T}\hat{R} \hat{X} \hat{R}^{-1} \\ &= \mathbf{T}\pi \hat{R}_*\hat{X} = \mathbf{T}\pi(\alpha \hat{X}) = \alpha \mathbf{T}\pi \hat{X} = \alpha X \pi. \end{aligned}$$

The surjectivity of  $\pi$  implies that  $R_*X = \alpha X$ .  $\square$

#### 4. SOLVING THE LIFTED GENERALIZED SYMMETRY EQUATION

The lift of  $R_*X = \alpha X$  is an equation on  $\mathbf{T}\mathbb{R}^n$  of the form  $Q_*\hat{X} = \alpha \hat{X}$  for  $Q \in \text{Diff}(\mathbb{R}^n)$ . With global coordinates  $x = (x_1, x_2, \dots, x_n)$  on  $\mathbb{R}^n$ , the diffeomorphism  $Q$  has the form

$$Q(x_1, x_2, \dots, x_n) = (f_1(x_1, x_2, \dots, x_n), \dots, f_n(x_1, x_2, \dots, x_n))$$

for smooth functions  $f_i : \mathbb{R}^n \rightarrow \mathbb{R}$ ,  $i = 1, \dots, n$ . Let  $\theta = (\theta_1, \theta_2, \dots, \theta_n)$  be global coordinates on  $T^n$  such that  $\theta_i = x_i \bmod 1$ ,  $i = 1, 2, \dots, n$ . If

$$X(\theta) = a_1 \frac{\partial}{\partial \theta_1} + a_2 \frac{\partial}{\partial \theta_2} + \dots + a_n \frac{\partial}{\partial \theta_n}$$

for constants  $a_i \in \mathbb{R}$ ,  $i = 1, \dots, n$ , then

$$\hat{X}(x) = a_1 \frac{\partial}{\partial x_1} + a_2 \frac{\partial}{\partial x_2} + \dots + a_n \frac{\partial}{\partial x_n},$$

so that  $Q_*\hat{X} = \alpha \hat{X}$  has the form

$$\sum_{j=1}^n a_j \frac{\partial f_i}{\partial x_j} = \alpha a_i, \quad i = 1, \dots, n.$$

This is an uncoupled system of linear, first order equations which is readily solved for its general solution.

**Lemma 4.1.** *For real numbers  $a_1, a_2, \dots, a_n$  and  $\alpha$  with  $a_n \neq 0$ , the general solution of the system of  $n$  linear partial differential equations*

$$\sum_{j=1}^n a_j \frac{\partial f_i}{\partial x_j} = \alpha a_i, \quad i = 1, \dots, n$$

is

$$f_i(x) = \alpha \frac{a_i}{a_n} x_n + h_i \left( x_1 - \frac{a_1}{a_n} x_n, x_2 - \frac{a_2}{a_n} x_n, \dots, x_{n-1} - \frac{a_{n-1}}{a_n} x_n \right),$$

for arbitrary smooth functions  $h_i : \mathbb{R}^{n-1} \rightarrow \mathbb{R}$ ,  $i = 1, \dots, n$ .

*Proof.* For each  $i = 1, \dots, n$ , consider the initial value problem

$$\begin{aligned} \sum_{j=1}^n a_j \frac{\partial f_i}{\partial x_j} &= \alpha a_i \\ x_j(0, s_1, s_2, \dots, s_{n-1}) &= s_j \text{ for } j = 1, \dots, n-1 \\ x_n(0, s_1, s_2, \dots, s_{n-1}) &= 0 \\ f_i(0, s_1, s_2, \dots, s_{n-1}) &= h_i(s_1, s_2, \dots, s_{n-1}) \end{aligned}$$

for parameters  $(s_1, s_2, \dots, s_{n-1}) \in \mathbb{R}^{n-1}$  and initial data  $h_i : \mathbb{R}^{n-1} \rightarrow \mathbb{R}$ . Using the method of characteristics (see [9] for example), the solution of the initial value problem in parametric form is

$$\begin{aligned} x_j(t, s_1, s_2, \dots, s_{n-1}) &= a_j t + s_j \text{ for } j = 1, \dots, n-1 \\ x_n(t, s_1, s_2, \dots, s_{n-1}) &= a_n t \\ f_i(t, s_1, s_2, \dots, s_{n-1}) &= \alpha a_i t + h_i(s_1, s_2, \dots, s_{n-1}). \end{aligned}$$

The coordinates  $(x_1, x_2, \dots, x_n)$  and the parameters  $(t, s_1, s_2, \dots, s_{n-1})$  are related by

$$\begin{bmatrix} x_1 \\ x_2 \\ x_3 \\ \vdots \\ x_{n-1} \\ x_n \end{bmatrix} = \begin{bmatrix} a_1 & 1 & 0 & 0 & \dots & 0 \\ a_2 & 0 & 1 & 0 & \dots & 0 \\ a_3 & 0 & 0 & 1 & \dots & 0 \\ \vdots & \vdots & \vdots & \vdots & \ddots & \vdots \\ a_{n-1} & 0 & 0 & 0 & \dots & 1 \\ a_n & 0 & 0 & 0 & \dots & 0 \end{bmatrix} \begin{bmatrix} t \\ s_1 \\ s_2 \\ \vdots \\ s_{n-2} \\ s_{n-1} \end{bmatrix}$$

The determinant of the  $n \times n$  matrix is  $(-1)^n a_n$ , which is nonzero by hypothesis. Inverting the matrix equation gives

$$\begin{bmatrix} t \\ s_1 \\ s_2 \\ \vdots \\ s_{n-2} \\ s_{n-1} \end{bmatrix} = \begin{bmatrix} 0 & 0 & \dots & 0 & 0 & 1/a_n \\ 1 & 0 & \dots & 0 & 0 & -a_1/a_n \\ 0 & 1 & \dots & 0 & 0 & -a_2/a_n \\ \vdots & \vdots & \ddots & \vdots & \vdots & \vdots \\ 0 & 0 & \dots & 1 & 0 & -a_{n-2}/a_n \\ 0 & 0 & \dots & 0 & 1 & -a_{n-1}/a_n \end{bmatrix} \begin{bmatrix} x_1 \\ x_2 \\ x_3 \\ \vdots \\ x_{n-1} \\ x_n \end{bmatrix}$$

Substitution of the expressions for  $t$  and the  $s_i$ 's in terms of the  $x_i$ 's into

$$f_i(x_1, x_2, \dots, x_n) = \alpha a_i t + h_i(s_1, s_2, \dots, s_{n-1})$$

gives the desired form of the general solution.  $\square$

**Lemma 4.2.** *If  $a_1, a_2, \dots, a_n$  are independent over  $\mathbb{Q}$ , then*

$$J = \left\{ \left( m_1 - \frac{a_1}{a_n} m_n, \dots, m_{n-1} - \frac{a_{n-1}}{a_n} m_n \right) : m_1, \dots, m_n \in \mathbb{Z} \right\}$$

*is a dense subset of  $\mathbb{R}^{n-1}$ .*

*Proof.* Suppose  $a_1, a_2, \dots, a_n$  are independent over  $\mathbb{Q}$ . This implies that none of the  $a_i$ 's are zero. In particular,  $a_n \neq 0$ . Consider the flow

$$\psi_t(\theta_1, \dots, \theta_{n-1}, \theta_n) = (\theta_1 - (a_1/a_n)t, \dots, \theta_{n-1} - (a_{n-1}/a_n)t, \theta_n - t)$$

on  $T^n$  which is generated by the vector field

$$Y = -\frac{a_1}{a_n} \frac{\partial}{\partial \theta_1} - \frac{a_2}{a_n} \frac{\partial}{\partial \theta_2} - \dots - \frac{a_{n-1}}{a_n} \frac{\partial}{\partial \theta_{n-1}} - \frac{\partial}{\partial \theta_n}.$$

The coefficients of  $Y$  are independent over  $\mathbb{Q}$  because  $a_1, a_2, \dots, a_n$  are independent over  $\mathbb{Q}$  and

$$m_1 a_1 + \dots + m_n a_n = 0 \Leftrightarrow -m_1 \frac{a_1}{a_n} - \dots - m_{n-1} \frac{a_{n-1}}{a_n} - m_n = 0.$$

So the orbit of  $\psi$  through any point  $\theta_0 \in T^n$ ,

$$\gamma_\psi(\theta_0) = \{\psi_t(\theta_0) : t \in \mathbb{R}\},$$

is dense in  $T^n$  (Corollary 1, p. 287 [2]). The submanifold

$$P = \{(\theta_1, \dots, \theta_{n-1}, \theta_n) : \theta_n = 0\}$$

of  $T^n$ , which is diffeomorphic to  $T^{n-1}$ , is a global Poincaré section for  $\psi$  because  $X(\theta) \notin \mathbf{T}_\theta P$  for every  $\theta \in P$  and because  $\gamma_\psi(\theta_0) \cap P \neq \emptyset$  for every  $\theta_0 \in T^n$ . Define the projection  $\wp : T^n \rightarrow T^{n-1}$  by

$$\wp(\theta_1, \theta_2, \dots, \theta_{n-1}, \theta_n) = (\theta_1, \theta_2, \dots, \theta_{n-1})$$

and the injection  $\iota : T^{n-1} \rightarrow T^n$  by

$$\iota(\theta_1, \theta_2, \dots, \theta_{n-1}) = (\theta_1, \theta_2, \dots, \theta_{n-1}, 0).$$

The Poincaré map induced on  $\wp(P)$  by  $\psi$  is given by  $\bar{\psi} = \wp \psi_1 \iota$  because  $\psi_1(\theta_0) \in P$  when  $\theta_0 \in P$ . For any  $\kappa \in \mathbb{Z}$ ,  $\bar{\psi}^\kappa = \wp \psi_\kappa \iota$ . So, for instance, with  $0 = (0, 0, \dots, 0) \in T^n$  and  $\bar{0} = \wp(0)$ ,

$$\wp(\gamma_\psi(0) \cap P) = \{\bar{\psi}^\kappa(\bar{0}) : \kappa \in \mathbb{Z}\} = \left\{ \left( -\frac{a_1}{a_n} \kappa, -\frac{a_2}{a_n} \kappa, \dots, -\frac{a_{n-1}}{a_n} \kappa \right) : \kappa \in \mathbb{Z} \right\},$$

where for each  $i = 1, \dots, n - 1$ , the quantity  $-(a_i/a_n)\kappa$  is taken mod 1. With  $\bar{\pi} : \mathbb{R}^{n-1} \rightarrow T^{n-1}$  as the covering map,

$$J = \bar{\pi}^{-1}(\wp(\gamma_\psi(0) \cap P)).$$

If  $\wp(\gamma_\psi(0) \cap P)$  were dense in  $\wp(P)$ , then  $J$  would be dense in  $R^{n-1}$  because  $\bar{\pi}$  is a covering map. (That is, if  $\wp(\gamma_\psi(0) \cap P) \cap [0, 1]^{n-1}$  is dense in the fundamental domain  $[0, 1]^{n-1}$  of the covering map  $\bar{\pi}$ , then by translation, it is dense in  $\mathbb{R}^{n-1}$ .) Define  $\chi : \mathbb{R} \times T^{n-1} \rightarrow T^n$  by

$$\chi(t, \theta_1, \theta_2, \dots, \theta_{n-1}) = \psi(t, \iota(\theta_1, \theta_2, \dots, \theta_{n-1})).$$

The map  $\chi$  is a local diffeomorphism by the Inverse Function Theorem because

$$\mathbf{T}\chi = \begin{bmatrix} -a_1/a_n & 1 & 0 & \dots & 0 \\ -a_2/a_n & 0 & 1 & \dots & 0 \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ -a_{n-1}/a_n & 0 & 0 & \dots & 1 \\ -1 & 0 & 0 & \dots & 0 \end{bmatrix}$$

has determinant of  $(-1)^{n+1}$ . Let  $O$  be a small open subset of  $\wp(P)$ . For  $\epsilon > 0$ , the set  $O_\epsilon = (-\epsilon, \epsilon) \times O$  is an open subset in the domain of  $\chi$ . For  $\epsilon$  small enough, the

image  $\chi(O_\epsilon)$  is open in  $T^n$  because  $\chi$  is a local diffeomorphism. By the denseness of  $\gamma_\psi(0)$  in  $T^n$ , there is a point  $\theta_0$  in  $\chi(O_\epsilon) \cap \gamma_\psi(0)$ . By the definition of  $\chi(O_\epsilon)$ , there is an  $\bar{\epsilon} \in (-\epsilon, \epsilon)$  and a  $\bar{\theta}_0 \in O$  such that  $\chi(\bar{\epsilon}, \bar{\theta}_0) = \theta_0$ . Thus  $\iota(\bar{\theta}_0) \in \gamma_\psi(0)$ , and so  $\wp(\gamma_\psi(0) \cap P)$  intersects  $O$  at  $\bar{\theta}_0$ . Since  $O$  is any small open subset of  $\wp(P)$ , the set  $\wp(\gamma_\psi(0) \cap P)$  is dense in  $\wp(P)$ .  $\square$

**Theorem 4.3.** *If  $\alpha \in \mathbb{R}^*$  and the coefficients of  $X = \sum_{i=1}^n a_i \partial / \partial \theta_i$  are independent over  $\mathbb{Q}$ , then for each  $R \in \text{Diff}(T^n)$  that satisfies  $R_* X = \alpha X$  there exist  $B = (b_{ij}) \in \text{GL}(n, \mathbb{Z})$  and  $c \in \mathbb{R}^n$  such that*

$$\hat{R}(x) = Bx + c$$

for  $x = (x_1, x_2, \dots, x_n)$ , in which

$$b_{in} = \alpha \frac{a_i}{a_n} - \sum_{j=1}^{n-1} b_{ij} \frac{a_j}{a_n}, \quad i = 1, \dots, n.$$

*Proof.* Suppose that the  $a_1, a_2, \dots, a_n$  are independent over  $\mathbb{Q}$ . For  $\alpha \in \mathbb{R}^*$ , suppose that  $R \in \text{Diff}(T^n)$  is a solution of  $R_* X = \alpha X$ . A lift  $\hat{R}$  of  $R$  is a diffeomorphism of  $\mathbb{R}^n$  by Theorem 3.1. The lift of  $X$  that is a vector field on  $\mathbb{R}^n$  is  $\hat{X} = \sum_{i=1}^n a_i (\partial / \partial x_i)$ . By Theorem 3.5,  $\hat{R}$  is a solution of  $\hat{R}_* \hat{X} = \alpha \hat{X}$ . With global coordinates  $(x_1, x_2, \dots, x_n)$  on  $\mathbb{R}^n$  write

$$\hat{R}(x) = (f_1(x_1, \dots, x_n), \dots, f_n(x_1, \dots, x_n)).$$

In terms of this coordinate description, the equation  $\hat{R}_* \hat{X} = \alpha \hat{X}$  written out is

$$\sum_{j=1}^n a_j \frac{\partial f_i}{\partial x_j} = \alpha a_i, \quad i = 1, \dots, n.$$

The independence of the coefficients of  $\hat{X}$  over  $\mathbb{Q}$  implies that  $a_n \neq 0$ . By Lemma 4.1, there are smooth functions  $h_i : \mathbb{R}^{n-1} \rightarrow \mathbb{R}$ ,  $i = 1, \dots, n$ , such that

$$f_i(x_1, \dots, x_n) = \alpha \frac{a_i}{a_n} x_n + h_i(s_1, s_2, \dots, s_{n-1})$$

where

$$s_i = x_i - \frac{a_i}{a_n} x_n, \quad i = 1, \dots, n-1.$$

By Theorem 3.1,  $\hat{R}(x+m) - \hat{R}(x)$  is independent of  $x$  for each  $m \in \mathbb{R}^n$ . This implies for each  $i = 1, \dots, n$  that

$$\begin{aligned} & f_i(x+m) - f_i(x) \\ &= f_i(x_1 + m_1, x_2 + m_2, \dots, x_n + m_n) - f_i(x_1, x_2, \dots, x_n) \\ &= \alpha \frac{a_i}{a_n} m_n + h_i\left(s_1 + m_1 - \frac{a_1}{a_n} m_n, \dots, s_{n-1} + m_{n-1} - \frac{a_{n-1}}{a_n} m_n\right) - h_i(s_1, \dots, s_{n-1}) \end{aligned}$$

is independent of  $x$  for every  $m = (m_1, m_2, \dots, m_n) \in \mathbb{Z}^n$ . This independence means that  $f_i(x+m) - f_i(x)$  is a function of  $m$  only. So for each  $j = 1, \dots, n-1$ ,

$$\begin{aligned} 0 &= \frac{\partial}{\partial x_j} [f_i(x_1 + m_1, x_2 + m_2, \dots, x_n + m_n) - f_i(x_1, x_2, \dots, x_n)] \\ &= \frac{\partial h_i}{\partial s_j} \left( s_1 + m_1 - \frac{a_1}{a_n} m_n, \dots, s_{n-1} + m_{n-1} - \frac{a_{n-1}}{a_n} m_n \right) - \frac{\partial h_i}{\partial s_j} (s_1, \dots, s_{n-1}). \end{aligned}$$

So, in particular

$$\frac{\partial h_i}{\partial s_j} \left( m_1 - \frac{a_1}{a_n} m_n, \dots, m_{n-1} - \frac{a_{n-1}}{a_n} m_n \right) = \frac{\partial h_i}{\partial s_j} (0, \dots, 0)$$

for all  $(m_1, \dots, m_n) \in \mathbb{Z}^n$ . By Lemma 4.2, the set

$$\left\{ \left( m_1 - \frac{a_1}{a_n} m_n, \dots, m_{n-1} - \frac{a_{n-1}}{a_n} m_n \right) : m_1, \dots, m_n \in \mathbb{Z} \right\}$$

is dense in  $\mathbb{R}^{n-1}$ , which together with the smoothness of  $h_i$  implies that  $\partial h_i / \partial s_j$  is a constant. Let this constant be  $b_{ij}$  for  $i = 1, \dots, n, j = 1, \dots, n - 1$ . By Taylor's Theorem,

$$h_i(s_1, \dots, s_{n-1}) = c_i + \sum_{j=1}^{n-1} b_{ij} s_j$$

for constants  $c_i \in \mathbb{R}$ . Thus,

$$\begin{aligned} f_i(x_1, \dots, x_n) &= c_i + \alpha \frac{a_i}{a_n} x_n + \sum_{j=1}^{n-1} b_{ij} \left( x_j - \frac{a_j}{a_n} x_n \right) \\ &= c_i + \sum_{j=1}^{n-1} b_{ij} x_j + \left( \alpha \frac{a_i}{a_n} - \sum_{j=1}^{n-1} b_{ij} \frac{a_j}{a_n} \right) x_n. \end{aligned}$$

For each  $i = 1, 2, \dots, n$ , set

$$b_{in} = \alpha \frac{a_i}{a_n} - \sum_{j=1}^{n-1} b_{ij} \frac{a_j}{a_n}$$

Then for each  $i = 1, 2, \dots, n$ ,

$$f_i(x_1, x_2, \dots, x_n) = c_i + \sum_{j=1}^n b_{ij} x_j.$$

So  $\hat{R}$  has the form  $\hat{R}(x) = Bx + c$  where  $B = (b_{ij})$  is an  $n \times n$  matrix, and  $c \in \mathbb{R}^n$ . By Theorem 3.1, the map  $l_{\hat{R}}(m) = \hat{R}(x + m) - \hat{R}(x)$  is an isomorphism of  $\mathbb{Z}^n$ . By the formula for  $f_i$  derived above,

$$f_i(x_1 + m_1, \dots, x_n + m_n) - f_i(x_1, x_2, \dots, x_n) = \sum_{j=1}^n b_{ij} m_j$$

for each  $i = 1, 2, \dots, n$ . This implies that  $l_{\hat{R}}(m) = Bm$ . Since  $l_{\hat{R}}$  is an isomorphism of  $\mathbb{Z}^n$ , it follows that  $B \in \text{GL}(n, \mathbb{Z})$ .  $\square$

Theorem 4.3 restricts the search for lifts of generalized symmetries of a quasiperiodic flow on  $T^n$  to affine maps on  $\mathbb{R}^n$  of the form  $Q(x) = Bx + c$  for  $B \in \text{GL}(n, \mathbb{Z})$  and  $c \in \mathbb{R}^n$ . For an affine map of this form, the difference

$$Q(x + m) - Q(x) = B(x + m) + c - (Bx + c) = Bm$$

is independent of  $x$ , and the map  $l_Q(m) = Q(x + m) - Q(x)$  is an isomorphism of  $\mathbb{Z}^n$ , so that  $Q$  is a lift of a diffeomorphism  $R$  on  $T^n$  by Theorem 3.1. If  $Q$  is a solution of  $Q_* \tilde{X} = \alpha \tilde{X}$ , then by Theorem 3.5,  $R$  is a solution of  $R_* X = \alpha X$ , so that by Theorem 2.1,  $R \in S_\phi$ . The following two corollaries of Theorem 4.3 restrict the possibilities for the multipliers of the generalized symmetries of a quasiperiodic flow on  $T^n$ . One restriction employs the notion of an *algebraic integer*, which is a

complex number that is a root of a monic polynomial in the polynomial ring  $\mathbb{Z}[z]$ . If  $m$  is the smallest degree of a monic polynomial in  $\mathbb{Z}[z]$  for which an algebraic integer is a root, then  $m$  is the *degree* of that algebraic integer (Definition 1.1, p.1 [11]).

**Corollary 4.4.** *If  $\phi$  is a quasiperiodic flow on  $T^n$  with generating vector field  $X = \sum_{i=1}^n a_i \partial / \partial \theta_i$ , then each  $\alpha \in \rho_\phi(S_\phi)$  is a real algebraic integer of degree at most  $n$ , and  $\rho_\phi(S_\phi) \cap \mathbb{Q} = \{1, -1\}$ .*

*Proof.* For each  $\alpha \in \rho_\phi(S_\phi)$  (which is real) there is an  $R \in S_\phi$  such that  $\rho_\phi(R) = \alpha$ . By Theorem 4.3 there is a  $B \in \text{GL}(n, \mathbb{Z})$  such that  $\mathbf{T}\hat{R} = B$ . Then by Theorem 2.1 and Theorem 3.5,

$$B\hat{X} = \hat{R}_*\hat{X} = \alpha\hat{X}.$$

So,  $\alpha$  is an eigenvalue of  $B$  (and  $\hat{X}$  is an eigenvector of  $B$ .) The characteristic polynomial of  $B$  is an  $n$ -degree monic polynomial in  $\mathbb{Z}[z]$ :

$$z^n + d_{n-1}z^{n-1} + \cdots + d_1z + d_0.$$

Thus  $\alpha$  is a real algebraic integer of degree at most  $n$ . The value of  $d_0$  is  $\det(B)$ , which is a unit in  $\mathbb{Z}$  (Theorem 3.5, p.351 [8]). The only units in  $\mathbb{Z}$  are  $\pm 1$ . So the only possible rational roots of the characteristic polynomial of  $B$  are  $\pm 1$  (Proposition 6.8, p.160 [8]). This means that  $\rho_\phi(S_\phi) \cap \mathbb{Q} \subset \{1, -1\}$ . But  $\rho_\phi(S_\phi) \cap \mathbb{Q} \supset \{1, -1\}$  by Theorem 2.3. Thus,  $\rho_\phi(S_\phi) \cap \mathbb{Q} = \{1, -1\}$ .  $\square$

The other restriction on the possibilities for the multipliers of any generalized symmetries of  $\phi$  employs linear combinations over  $\mathbb{Z}$  of pair wise ratios of the entries of the “eigenvector”  $\hat{X}$  (which entries are the frequencies of  $\phi$ ).

**Corollary 4.5.** *If  $\phi$  is a quasiperiodic flow on  $T^n$  with generating vector field  $X = \sum_{i=1}^n a_i \partial / \partial \theta_i$ , then for any  $\alpha \in \rho_\phi(S_\phi)$  there exists a  $B = (b_{ij}) \in \text{GL}(n, \mathbb{Z})$  such that*

$$\alpha = \sum_{j=1}^n b_{ij} \frac{a_j}{a_i}, \quad i = 1, \dots, n.$$

*Proof.* Suppose that  $\alpha \in \rho_\phi(S_\phi)$ . Then there is an  $R \in S_\phi$  such that  $\alpha = \rho_\phi(R)$ . By Theorem 4.3, there is a  $B = (b_{ij}) \in \text{GL}(n, \mathbb{Z})$  such that  $\mathbf{T}\hat{R} = B$  with

$$b_{in} = \alpha \frac{a_i}{a_n} - \sum_{j=1}^{n-1} b_{ij} \frac{a_j}{a_n}, \quad i = 1, \dots, n.$$

Solving this equation for  $\alpha$  gives

$$\alpha = \sum_{j=1}^n b_{ij} \frac{a_j}{a_i}, \quad i = 1, \dots, n.$$

$\square$

The multiplier group of any quasiperiodic flow  $\phi$  always contains  $\{1, -1\}$  as stated in Theorem 2.3. For each  $t \in \mathbb{R}$ , the diffeomorphism  $\phi_t$  is in  $S_\phi$  by definition. A lift of  $\phi_t$  is  $\hat{\phi}_t(x) = Ix + t\hat{X}$ , where  $I = \delta_{ij}$  is the  $n \times n$  identity matrix, so that by Corollary 4.5,

$$\alpha = \sum_{j=1}^n \delta_{ij} \frac{a_j}{a_i} = \frac{a_i}{a_i} = 1$$

for each  $i = 1, \dots, n$ . A lift of the reversing involution  $N$  defined in the proof of Theorem 2.3 is  $\hat{N}(x) = -Ix$ , so that by Corollary 4.5,

$$\alpha = -\sum_{j=1}^n \delta_{ij} \frac{a_j}{a_i} = -\frac{a_i}{a_i} = -1$$

for each  $i = 1, \dots, n$ . Corollary 4.5 enables a complete description of all symmetries and reversing symmetries of  $\phi$ .

**Theorem 4.6.** *Suppose that  $\phi$  is a quasiperiodic flow on  $T^n$  with generating vector field  $X = \sum_{i=1}^n a_i \partial/\partial\theta_i$ . If  $\rho_\phi(R) = \pm 1$  for an  $R \in S_\phi$ , then there is  $c \in \mathbb{R}^n$  such that  $\hat{R}(x) = \rho_\phi(R)Ix + c$ .*

*Proof.* Let  $R \in S_\phi$ . By Theorem 4.3 there exists a  $B = (b_{ij}) \in \text{GL}(n, \mathbb{Z})$  and a  $c \in \mathbb{R}^n$  such that  $\hat{R}(x) = Bx + c$ . By Corollary 4.5, the entries of  $B$  satisfy

$$\rho_\phi(R) = \sum_{j=1}^n b_{ij} \frac{a_j}{a_i}$$

for each  $i = 1, 2, \dots, n$ . By hypothesis,  $\rho_\phi(R) = \pm 1$ . Then for each  $i = 1, 2, \dots, n$ ,

$$b_{i1}a_1 + \dots + (b_{ii} \mp 1)a_i + \dots + b_{in}a_n = 0.$$

By the independence of  $a_1, a_2, \dots, a_n$  over  $\mathbb{Q}$ ,  $b_{ij} = 0$  when  $i \neq j$  and  $b_{ii} = \rho_\phi(R)$  for all  $i = 1, 2, \dots, n$ . Therefore,  $\hat{R}(x) = \rho_\phi(R)Ix + c$ .  $\square$

**Corollary 4.7.** *If  $\phi$  is a quasiperiodic flow on  $T^n$ , then  $\ker \rho_\phi \cong T^n$ .*

*Proof.* Let  $R \in S_\phi$  such that  $\rho_\phi(R) = 1$ . By Theorem 4.6,  $\hat{R}(x) = Ix + c$  for some  $c \in \mathbb{R}^n$ . Now, for any  $c \in \mathbb{R}^n$ , the  $Q \in \text{Diff}(T^n)$  induced by  $\hat{Q}(x) = Ix + c$  satisfies  $Q_*X = X$  by Theorem 3.5 because  $\hat{Q}_*\hat{X} = \hat{X}$ . So, by Theorem 2.1,  $Q \in \ker \rho_\phi$ . Since  $c$  is arbitrary,  $Q\pi = \pi\hat{Q}$ , and  $\pi(\mathbb{R}^n) = T^n$ , it follows that  $\ker \rho_\phi \cong T^n$ .  $\square$

**Corollary 4.8.** *If  $\phi$  is a quasiperiodic flow on  $T^n$ , then every reversing symmetry of  $\phi$  is an involution.*

*Proof.* Suppose  $R \in S_\phi$  is a reversing symmetry. By Theorem 4.6,  $\hat{R}(x) = -Ix + c$  for some  $c \in \mathbb{R}^n$ , and so  $\hat{R}^2(x) = Ix$ . This implies that  $R^2 = \text{id}_{T^n}$ .  $\square$

**Example 4.9.** Recall the quasiperiodic flow  $\phi$  on  $T^3$  and its generating vector field

$$X = \frac{\partial}{\partial\theta_1} + 7^{1/3} \frac{\partial}{\partial\theta_2} + 7^{2/3} \frac{\partial}{\partial\theta_3}$$

from Example 2.2. By Corollary 4.7, the symmetry group of  $\phi$  is exactly the group of translations  $\{R_c : c \in T^n\}$  on  $T^n$ , where  $R_c(\theta) = \theta + c$ . By Corollary 4.8, every reversing symmetry of  $\phi$  is an involution. In particular, this implies that the reversing symmetry group of  $\phi$  is a semidirect product of the symmetry group of  $\phi$  by the  $\mathbb{Z}_2$  subgroup generated by reversing involution  $N(\theta) = -\theta$  (see p.8 in [10]). Are there symmetries of  $\phi$  with multipliers other than  $\pm 1$ ? The  $\text{GL}(3, \mathbb{Z})$  matrix

$$B = (b_{ij}) = \begin{bmatrix} -2 & 1 & 0 \\ 0 & -2 & 1 \\ 7 & 0 & -2 \end{bmatrix}$$

induces a  $Q \in \text{Diff}(T^3)$  by Theorem 3.1. Since

$$\hat{Q}_* \hat{X} = \mathbf{T} \hat{Q} \hat{X} = B \hat{X} = (-2 + 7^{1/3}) \hat{X},$$

Theorem 3.5 implies that  $Q_* X = (-2 + 7^{1/3})X$ . Hence, by Theorem 2.1,  $Q \in S_\phi$ . The number  $-2 + 7^{1/3}$  is  $\rho_\phi(Q)$ , the multiplier of  $Q$ , is an algebraic integer of degree at most 3 by Corollary 4.4, and satisfies

$$-2 + 7^{1/3} = \sum_{j=1}^3 b_{ij} \frac{a_j}{a_i}, \quad i = 1, 2, 3,$$

by Corollary 4.5. (The matrix  $B$  was found by using Theorem 3.1 in [3], a result which characterizes the matrices in  $\text{GL}(3, \mathbb{Z})$  inducing generalized symmetries of a quasiperiodic flow generated by a vector field of a certain type, of which  $X$  above is.) Since  $S_\phi$  is a group and  $\rho_\phi : S_\phi \rightarrow \mathbb{R}^*$  is a homomorphism, it follows for each  $k \in \mathbb{Z}$  that  $Q^k \in S_\phi$  with  $\rho_\phi(Q^k) = (\rho_\phi(Q))^k = (-2 + 7^{1/3})^k$ , and that  $NQ^k \in S_\phi$  with  $\rho_\phi(NQ^k) = -(-2 + 7^{1/3})^k$ .

## 5. A SPLITTING MAP FOR THE EXTENSION

For a quasiperiodic flow  $\phi$  on  $T^n$ , Theorem 4.3 implies that  $\mathbf{T} \hat{R} \in \text{GL}(n, \mathbb{Z})$  for every  $R \in S_\phi$ . Set

$$\Pi_\phi = \{B \in \text{GL}(n, \mathbb{Z}) : \text{there is } R \in S_\phi \text{ for which } B = \mathbf{T} \hat{R}\},$$

and define a map  $\nu_\phi : \Pi_\phi \rightarrow \rho_\phi(S_\phi)$  by  $\nu_\phi(B) = \rho_\phi(R)$  where  $R \in S_\phi$  with  $\mathbf{T} \hat{R} = B$ .

**Lemma 5.1.** *If  $\phi$  is a quasiperiodic flow on  $T^n$  with generating vector field  $X$ , then  $\nu_\phi$  is well-defined.*

*Proof.* Let  $B \in \Pi_\phi$ , and suppose there are  $R, Q \in S_\phi$  with  $\mathbf{T} \hat{R} = B = \mathbf{T} \hat{Q}$ . Then  $RQ^{-1} \in S_\phi$  and  $\hat{R}\hat{Q}^{-1}$  is a lift of  $RQ^{-1}$  for which  $\mathbf{T}(\hat{R}\hat{Q}^{-1}) = BB^{-1} = I$ . Hence  $\hat{R}\hat{Q}^{-1}(x) = Ix + c$  for some  $c \in \mathbb{R}^n$ . This implies that  $(\hat{R}\hat{Q}^{-1})_* \hat{X} = \hat{X}$ , so that by Theorem 3.5,  $(RQ^{-1})_* X = X$ . By Theorem 2.1,  $\rho_\phi(RQ^{-1}) = 1$ . Because  $\rho_\phi$  is a homomorphism,  $\rho_\phi(R) = \rho_\phi(Q)$ .  $\square$

**Lemma 5.2.** *If  $\phi$  is a quasiperiodic flow on  $T^n$  with generating vector field  $X$ , then  $\Pi_\phi$  is a subgroup of  $\text{GL}(n, \mathbb{Z})$ .*

*Proof.* Let  $B, C \in \Pi_\phi$ . Then there are  $R, Q \in S_\phi$  such that  $\mathbf{T} \hat{R} = B$  and  $\mathbf{T} \hat{Q} = C$ . The latter implies that  $\mathbf{T} \hat{Q}^{-1} = (\mathbf{T} \hat{Q})^{-1} = C^{-1}$ . Then  $BC^{-1} = \mathbf{T} \hat{R} \mathbf{T} \hat{Q}^{-1} = \mathbf{T}(\hat{R}\hat{Q}^{-1})$ . The diffeomorphism  $x \rightarrow \hat{R}\hat{Q}^{-1}x$  of  $\mathbb{R}^n$  satisfies conditions a) and b) of Theorem 3.1, and so is a lift of a diffeomorphism  $V$  of  $T^n$ . Let  $\alpha = \rho_\phi(R)$  and  $\beta = \rho_\phi(Q)$ . Then  $\rho_\phi(Q^{-1}) = \beta^{-1}$  because  $\rho_\phi$  is a homomorphism, and so  $(\hat{Q}^{-1})_* \hat{X} = \beta^{-1} \hat{X}$ . Thus,  $\mathbf{T}(\hat{R}\hat{Q}^{-1}) \hat{X} = (\hat{R}\hat{Q}^{-1})_* \hat{X} = \alpha \beta^{-1} \hat{X}$ . By Theorem 3.5,  $V_* X = \alpha \beta^{-1} X$ , so that by Theorem 2.1,  $V \in S_\phi$ . The lifts  $\hat{R}\hat{Q}^{-1}$  and  $\hat{V}$  of  $V$  differ by a deck transformation of  $\pi$ , so that  $BC^{-1} = \mathbf{T}(\hat{R}\hat{Q}^{-1}) = \mathbf{T} \hat{V}$ . Therefore,  $BC^{-1} \in \Pi_\phi$ .  $\square$

**Theorem 5.3.** *If  $\phi$  is a quasiperiodic flow on  $T^n$  with generating vector field  $X$ , then  $\nu_\phi$  is an isomorphism and  $\Pi_\phi$  is an Abelian subgroup of  $\text{GL}(n, \mathbb{Z})$ .*

*Proof.* Let  $B, C \in \Pi_\phi$ . Then there are  $R, Q \in S_\phi$  such that  $\mathbf{T}\hat{R} = B$  and  $\mathbf{T}\hat{Q} = C$ . Let  $\alpha = \rho_\phi(R)$  and  $\beta = \rho_\phi(Q)$ . By Theorem 2.1 and Theorem 3.5,  $\mathbf{T}\hat{R}\hat{X} = \alpha\hat{X}$  and  $\mathbf{T}\hat{Q}\hat{X} = \beta\hat{X}$ . By Lemma 5.2,  $BC \in \Pi_\phi$ , so that there is a  $V \in S_\phi$  such that  $\mathbf{T}\hat{V} = BC$ . Hence,  $\hat{V}_*\hat{X} = \mathbf{T}\hat{V}\hat{X} = BC\hat{X} = \alpha\beta\hat{X}$ . By Theorem 3.5 and Theorem 2.1,  $\rho_\phi(V) = \alpha\beta$ . Thus,  $\nu_\phi(BC) = \alpha\beta = \nu_\phi(B)\nu_\phi(C)$ . By definition,  $\nu_\phi$  is surjective, and by Theorem 4.6,  $\ker \nu_\phi = \{I\}$ . Therefore,  $\nu_\phi$  is an isomorphism. The multiplier group  $\rho_\phi(S_\phi)$  is Abelian because it is a subgroup of the Abelian group  $\mathbb{R}^*$ . Thus  $\Pi_\phi$  is Abelian.  $\square$

A splitting map for the short exact sequence,

$$\text{id}_{T^n} \rightarrow \ker \rho_\phi \rightarrow \rho_\phi^{-1}(\Lambda) \xrightarrow{j_\Lambda} \Lambda \rightarrow 1,$$

is a homomorphism  $h_\Lambda : \Lambda \rightarrow \rho_\phi^{-1}(\Lambda)$  such that  $j_\Lambda h_\Lambda$  is the identity isomorphism on  $\Lambda$ . Take for  $h_\Lambda$  the map where for each  $\alpha \in \Lambda$ , the image  $h_\Lambda(\alpha)$  is the diffeomorphism in  $\rho_\phi^{-1}(\Lambda)$  induced by the  $\text{GL}(n, \mathbb{Z})$  matrix  $\nu_\phi^{-1}(\alpha)$ .

**Theorem 5.4.** *If  $\phi$  is a quasiperiodic flow on  $T^n$ , then  $h_\Lambda$  is a splitting map for the extension  $\text{id}_{T^n} \rightarrow \ker \rho_\phi \rightarrow \rho_\phi^{-1}(\Lambda) \rightarrow \Lambda \rightarrow 1$  for each  $\Lambda < \rho_\phi(S_\phi)$ .*

*Proof.* For arbitrary  $\alpha, \beta \in \Lambda$ , set  $R = h_\Lambda(\alpha)$ ,  $Q = h_\Lambda(\beta)$ , and  $V = h_\Lambda(\alpha\beta)$ . Then  $\hat{R}(x) = \nu_\phi^{-1}(\alpha)x$ ,  $\hat{Q}(x) = \nu_\phi^{-1}(\beta)x$ , and  $\hat{V}(x) = \nu_\phi^{-1}(\alpha\beta)x$ . By Theorem 5.3,  $\nu_\phi^{-1}$  is an isomorphism, so that  $\hat{V}(x) = \nu_\phi^{-1}(\alpha)\nu_\phi^{-1}(\beta)x$ . Because

$$\begin{aligned} h_\Lambda(\alpha)h_\Lambda(\beta)\pi(x) &= RQ\pi(x) = \pi\hat{R}\hat{Q}(x) = \pi\nu_\phi^{-1}(\alpha)\nu_\phi^{-1}(\beta)x \\ &= \pi\nu_\phi^{-1}(\alpha\beta)x = \pi\hat{V}(x) = V\pi(x) = h_\Lambda(\alpha\beta)\pi(x), \end{aligned}$$

and because  $\pi$  is surjective,  $h_\Lambda(\alpha)h_\Lambda(\beta) = h_\Lambda(\alpha\beta)$ . Let  $B = \mathbf{T}\hat{R} = \nu_\phi^{-1}(\alpha)$ . Then  $\nu_\phi(B) = \rho_\phi(R)$ , so that

$$j_\Lambda h_\Lambda(\alpha) = j_\Lambda(R) = \rho_\phi(R) = \nu_\phi(B) = \nu_\phi(\nu_\phi^{-1}(\alpha)) = \alpha.$$

Therefore,  $h_\Lambda$  is a splitting map for the extension.  $\square$

**Theorem 5.5.** *If  $\phi$  is a quasiperiodic flow on  $T^n$ , then*

$$\rho_\phi^{-1}(\Lambda) = \ker \rho_\phi \rtimes_\Gamma h_\Lambda(\Lambda)$$

*for each  $\Lambda < \rho_\phi(S_\phi)$ , where  $\Gamma : h_\Lambda(\Lambda) \rightarrow \text{Aut}(\ker \rho_\phi)$  is the conjugating homomorphism. Moreover, if  $\Lambda$  is a nontrivial subgroup of  $\rho_\phi(S_\phi)$ , then  $\Gamma$  is nontrivial.*

*Proof.* By Theorem 5.4,  $h_\Lambda$  is a splitting map for the extension

$$\text{id}_{T^n} \rightarrow \ker \rho_\phi \rightarrow \rho_\phi^{-1}(\Lambda) \xrightarrow{j_\Lambda} \Lambda \rightarrow 1.$$

Thus,  $\rho_\phi^{-1}(\Lambda) = (\ker \rho_\phi)(h_\Lambda(\Lambda))$  and  $\ker \rho_\phi \cap h_\Lambda(\Lambda) = \text{id}_{T^n}$  (Theorem 9.5.1, p.240 [12]). Since  $\ker \rho_\phi$  is a normal subgroup of  $\rho_\phi^{-1}(\Lambda)$ , then  $\rho_\phi^{-1}(\Lambda) = \ker \rho_\phi \rtimes_\Gamma h_\Lambda(\Lambda)$  where  $\Gamma : h_\Lambda(\Lambda) \rightarrow \text{Aut}(\ker \rho_\phi)$  is the conjugating homomorphism (see p.21 in [1]). If  $\Gamma$  is the trivial homomorphism, then  $\rho_\phi^{-1}(\Lambda)$  is Abelian since  $\ker \rho_\phi$  is Abelian by Corollary 4.7 and  $h_\Lambda(\Lambda)$  is Abelian by Theorem 5.3 (see p.21 in [1]). But  $\rho_\phi^{-1}(\Lambda)$  is non-Abelian by Theorem 2.4 whenever  $\Lambda$  is a nontrivial subgroup of  $\rho_\phi(S_\phi)$ .  $\square$

**Example 5.6.** For the quasiperiodic flow  $\phi$  on  $T^3$  with frequencies 1,  $7^{1/3}$ , and  $7^{2/3}$ , it was shown in Example 4.9 that  $\alpha = -2 + 7^{1/3} \in \rho_\phi(S_\phi)$ . The set

$$\Lambda = \{(-1)^j \alpha^k : j \in \{0, 1\}, k \in \mathbb{Z}\}$$

is a nontrivial subgroup of  $\rho_\phi(S_\phi)$  that is isomorphic to  $\mathbb{Z}_2 \times \mathbb{Z}$ . By Theorem 5.5 and Corollary 4.7,

$$\rho_\phi^{-1}(\Lambda) \cong T^3 \rtimes_\Gamma (\mathbb{Z}_2 \times \mathbb{Z}),$$

where  $\Gamma$  is the (nontrivial) conjugating homomorphism. In particular, every element of  $\rho_\phi^{-1}(\Lambda)$  can be written uniquely as  $R_c N^j Q^k$  where  $R_c \in \ker \rho_\phi$  is a translation by  $c$  on  $T^n$  (as defined in Example 2.2),  $N$  is the reversing involution (as defined Example 2.2), and  $Q$  is the generalized symmetry of  $\phi$  whose multiplier is  $\alpha$  (as defined in Example 4.9). Thus

$$\rho_\phi^{-1}(\Lambda) = \{R_c N^j Q^k : c \in T^n, j \in \{0, 1\}, k \in \mathbb{Z}\}.$$

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